- Supergene formation is associated with a major shift in genome-wide patterns of diversity in a
   butterfly
- Balancing selection at a wing pattern locus is associated with major shifts in genome-wide patterns
  of diversity and gene flow
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Abstract: Selection shapes genetic diversity around target mutations, yet little is known about how 28 selection on specific loci affects the genetic trajectories of populations, including their genome-29 wide patterns of diversity and demographic responses. Adaptive introgression provides a way to 30 assess how adaptive evolution at one locus impacts whole-genome biology. Here we study the 31 patterns of genetic variation and geographic structure in a neotropical butterfly, *Heliconius numata*, 32 and its closely related allies in the so-called melpomene-silvaniform subclade. H. numata is known 33 to have evolved an inversion supergene via the introgression of an adaptive inversion about 2.2 34 million years ago, triggering a polymorphism maintained by balancing selection. This locus which 35 controls variation in wing patterns involved in mimicry associations with distinct groups of co-36 37 mimics., and bButterflies show disassortative mate preferences and heterozygote advantage at this locus. We contrasted patterns of genetic diversity and structure 1) among extant polymorphic and 38 monomorphic populations of *H. numata*, 2) between *H. numata* and its close relatives, and 3) 39 between ancestral lineages in a phylogenetic framework. We show that H. numata populations 40 41 which carry the introgressed inversions as a balanced polymorphism show markedly distinct patterns of diversity compared to all other taxa. They show the highest genetic diversity and 42 demographiceffective population size estimates in the entire clade, as well as a remarkably low 43 level of geographic structure and isolation by distance across the entire Amazon basin. By contrast, 44 monomorphic populations of *H. numata* as well as its sister species and their ancestral lineages all 45 show the lowerst effective population sizes and genetic diversity in the clade, and higher levels of 46 geographical structure across the continent. This suggests One hypothesis is that the large effective 47 population size of polymorphic populations could be <u>caused by the shift to a regime of balancing</u> 48 selection a property associated with harbouring the supergenedue to the genetic load and 49 50 disassortative preferences associated with inversions. Testing this hypothesis with forward simulations supported the observation of increased diversity in populations with the supergene. Our 51

simulations supported the observation of increased diversity in populations with the supergene. Our
 results are consistent with the hypothesis that the adaptive introgression formation of the inversion
 supergene triggered a shift from directional to balancing selection and a change in gene flow due to
 disassortative mating, causing a general increase in genetic diversity and the homogenisation of
 genomes at the continental scale.

**Introduction:** Genetic diversity is shaped by selective processes such as stabilizing or disruptive 57 58 selection, and by demographic processes such as fluctuations in effective population size. Empirical studies on genetic diversity within and among populations abound, fuelled by an increasing 59 availability of whole genome data, and spurred by our interest in understanding the underlying 60 causes of variation in diversity (e.g. Beichmann 2018, Muers 2009; Murray 2017; Nielsen et al. 61 2009). At the locus scale, strong directional or disruptive selection tends to reduce diversity within 62 63 populations (Mitchell-Olds et al. 2007), while balancing selection tends to enhance diversity (Charlesworth 2006). Genome-wide factors reducing diversity include low effective population 64 sizes, generating drift, while high genetic diversity is enhanced by large population sizes and gene 65 flow. Overall, it is well recognised that demographic changes should have a genome-wide effect on 66 67 diversity, while positive selection is expected to play a role on the sites within and around the genes involved in trait variation (Glinka et al. 2003, Muers 2009, Nielsen et al. 2009). 68

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Variation in behaviour and life-history traits, for instance involving changes in offspring viability or
dispersal distance, may also affect species demography, and thus whole genome genetic diversity.
However, whether and how genetic variability in a population may be driven by phenotypic
evolution at certain traits is poorly understood, and confounding effects may affect patterns of

genomic diversity, such as variation in census population size or colonization history. Dissecting how selection on a trait may affect genome-wide diversity can be tackled by comparing closelyrelated populations differing at this trait coupled with knowledge of when the differences evolved. Here, we took advantage of the dated introgressive origin of a chromosomal inversion associated with major life-history variation to study the demographics and whole genome consequences of changes in the selection regime at a major-effect locus.

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Heliconius butterflies are aposematic, chemically-defended butterflies distributed over the 81 American tropics from Southern Brazil to Southern USA (Emsley 1965; Brown 1979) (Fig 1A). 82 Heliconius butterflies are well-known for visual resemblance among coexisting species, a 83 relationship called Müllerian mimicry which confers increased protection from bird predators 84 through the evolution of similar warning signals (Sheppard et al. 1985). Most species are locally 85 monomorphic, but their mimicry associations vary among regions, and most species display a 86 87 geographic mosaic of distinct mimetic "races" through their range. In contrast to most Heliconius species, the tiger-patterned Heliconius numata is well-known for maintaining both mimicry 88 polymorphism within localities, with up to seven differentiated coexisting forms, and extensive 89 geographic variation in the distribution of wing phenotypes (Brown & Benson 1974; Joron et al. 90 1999). Forms of *H. numata* combine multiple wing characters conveying resemblance to distinct 91 sympatric species in the genus Melinaea and other local Ithomiini species (Nymphalidae: 92 Danainae). Polymorphism in *H. numata* is controlled by a supergene, i.e. a group of multiple linked 93 functional loci segregating together as a single Mendelian locus, coordinating the variation of 94 distinct elements of phenotype (Brown & Benson 1974; Joron et al. 2006). Supergene alleles are 95 96 characterized by rearrangements of the ancestral chromosomal structure, forming three distinct chromosomal forms with zero (ancestral type, Hn0), one (Hn1) or three chromosomal 97 rearrangements (Hn123) (Fig 1B). The ancestral arrangement, Hn0, devoid of inversions, is fixed in 98 most Heliconius species (although an inversion in the same region evolved independently in a 99 distantly-related Heliconius lineage (Edelman et al. 2019)). Arrangement Hn1 contains a 400kb 100 inversion called P<sub>1</sub> originating from an introgression event about 2.2 My ago from *H. pardalinus*, in 101 which P<sub>1</sub> is fixed (Jay et al. 2018). This introgression is thought to be the founding event triggering 102 the formation of the supergene and the maintenance of polymorphism in *H. numata* (Jay et al. 103 104 2018). Arrangement Hn123 displays two additional inversions, P2 and P3, in linkage with P1, and therefore originated after the introgression of P<sub>1</sub> into the *H. numata* lineage (Jay et al. 2021). 105

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*Heliconius numata* is widespread in the lowland and foothill tropical forests of the Amazon basin, 107 the Guianas, and the Brazilian Atlantic Forest (Mata Atlântica), but the frequencies of the three 108 109 chromosome arrangements vary across the range. Ancestral type Hn0 is fixed in the Atlantic Forest populations of Brazil (forms robiqus or ethra), but segregates at intermediate frequencies in all 110 other H. numata populations throughout the range (forms silvana and laura) (Fig 1C). Chromosome 111 type Hn1 is associated with the Andean mimetic form *bicoloratus* and is found in the Eastern 112 113 Andean foothills of Ecuador, Peru, and Bolivia. Chromosome type Hn123 is associated with a large diversity of wing-pattern forms of intermediate allelic dominance, including *tarapotensis*, *arcuella* 114 and *aurora*, and is reported from Andean, lowland Amazonian and Guianese populations. Inversion 115 polymorphism is therefore structured across the range, with populations being fixed for the 116 ancestral chromosome (Atlantic Forest, see Text S1 & Table S1-2), or displaying a polymorphism 117 with two (Amazon-Guiana) or three (Andes) chromosomal types in coexistence (Joron et al. 2011). 118 Monomorphic populations of the Atlantic forest, devoid of rearrangements at the supergene locus, 119

might represent the ancestral state displayed by *H. numata* populations before the evolution of thesupergene via introgression (Fig 1C).

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The wing patterns of *H. numata* are subject to selection on their resemblance to local co-mimics 123 (Chouteau et al. 2016), but the polymorphism is maintained by balancing selection on the 124 chromosome types. Balancing selection is indeed mediated by disassortative mating favouring 125 mixed-form mating (Chouteau et al. 2017) and is likely to have evolved in the response to the 126 deleterious mutational load carried by inversions, which causes heterozygous advantage in H. 127 numata (Jay et al. 2021, Faria et al. 2019, Maisonneuve et al. 2019). The introgression of P<sub>1</sub> and the 128 formation of a supergene were associated with a major shift in the selection regime (Jay et al. 129 2018). and in tThe mating system was also changed during or after introgression. These events and 130 may therefore have profoundly affected the population biology of the recipient species, *H. numata*. 131 We investigate here whether the adaptive introgression of a balanced inversion is associated with a 132 133 signature in the genetic diversity and geographic structure. In particular, we predict that genetic diversity should be higher in *H. numata* than in closely related taxa. Similarly nucleotide diversity 134 should be higher in all polymorphic populations carrying either one segment (Hn1) or two 135 (Hn1,Hn123) compared to the population that is monomorphic and only carries vonly the non-136 inverted segment (Hn0) in the Brazilian Atlantic Forest. We analyse changes in the demographic 137 history of the clade containing *H. numata* and closely related taxa, as well as their current patterns 138 of diversity and demography, using three well separated populations of *H. numata* representing 139 different states of inversion polymorphism. Our results are consistent with the selection regime and 140 mating system associated with supergene formation having enhanced gene flow among populations 141 and increased effective population size. Our results suggest that following supergene formation, a 142 change in the selection regime and mating system may have facilitated gene flow among morphs 143 and had key consequences in current patterns of genetic structure. Moreover, our findings highlight 144 that balancing selection and a shift in mating systems associated with chromosomal polymorphism 145 may reshape genomewide diversity, with crucial consequences on current patterns of genetic 146 structure and population ecology. 147

#### 149 Material and Methods

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150 We used here whole genome resequencing from 137 specimens of Heliconius, including 68 H. numata. Sampling included specimens from populations in the Andean foothills (3 chromosome 151 types), from the upper Amazon (2 chromosome types), from French Guiana (2 chromosome types) 152 and from the Brazilian Atlantic Forests (1 chromosome type) (Fig 1C; Table S3). Related taxa were 153 represented by the sister species *H. ismenius*, found west of the Andes (parapatric to *H. numata*), by 154 Amazonian representatives of the lineage H. pardalinus (donor of the inversion), H. elevatus, H. 155 ethilla, H. besckei as well as H. hecale, and by H. melpomene and H. cydno as outgroups. Only 156 Andean, Amazonian and Guianese populations of *H. numata* display chromosomal polymorphism, 157 all other taxa being fixed for the standard gene arrangement (Hn0), or for the inverted arrangement 158 159 Hn1 (H. pardalinus) (Jay et al. 2018). Hereafter, H. numata populations from the Andes, Amazon and French Guiana will be collectively referred to as "Amazonian", and populations from the 160 Atlantic Forest as "Atlantic". Butterfly bodies were preserved in NaCl saturated DMSO solution at 161 20°C and DNA was extracted using QIAGEN DNeasy blood and tissue kits according to the 162 manufacturer's instructions with RNase treatment. Illumina Truseq paired-end whole genome 163 libraries were prepared and 2x100bp reads were sequenced on the Illumina HiSeq 2000 platform. 164

165 Reads were mapped to the *H. melpomene* Hmel2 reference genome (Davey et al., 2016) using

Stampy (version 1.0.28; Lunter and Goodson, 2011) with default settings except for the substitution 166 rate which was set to 0.05 to allow for the expected divergence from the reference of individuals in 167 the so-called silvaniform clade (*H. numata*, *H. pardalinus*, *H. elevatus*, *H. hecale*, *H. ismenius*, *H.* 168 besckei and H. ethilla). H. melpomene and H. cydno belonging to the so-called melpomene clade, 169 their genomes were mapped with a substitution rate of 0.02. Alignment file manipulations were 170 performed using SAMtools v0.1.3 (Li et al. 2009). After mapping, duplicate reads were excluded 171 using the MarkDuplicates tool in Picard (v1.1125; http://broadinstitute.github.io/picard) and local 172 indel realignment using IndelRealigner was performed with GATK (v3.5; DePristo et al. 2011). 173 Invariant and polymorphic sites were called with GATK HaplotypeCaller, with options --174 min base quality score 25 --min mapping quality score 25 -stand emit conf 20 --heterozygosity 175 0.015. 176

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 $F_{ST}$ ,  $d_{XY}$  and  $\pi$ , were calculated in overlapping windows of 25 kb based on linkage disequilibrium 178 decay (Heliconius Genome Consortium 2012) using custom scripts provided by Simon H. Martin 179 (https://github.com/simonhmartin), and the genome-wide average was calculated using our own 180 scripts (available from https://github.com/angelesdecara). Distance in km between sampling sites 181 was measured along a straight line, not taking into account potential physical barriers. Following 182 Rousset (1997), in a 2-dimensional habitat, under a model of isolation by distance (IBD) 183 differentiation, measured as F<sub>ST</sub>/(1-F<sub>ST</sub>), should increase as a function of the logarithm of the 184 distance. Therefore, we tested for the existeance and intensity of an IBD signal among species and 185 between populations of *H. numata* using a linear model. If IBD is stronger in species not 186 polymorphic for the inversion we should observed significantly steeper slopes in these species. To 187 188 test this, we measured IBD (I) within populations of each species separately, (ii) for all *H. numata* within the Amazonian forest region but without (excluding the Atlantic forest populations) and (iii) 189 for all *H. numata* including the Atlantic region. The slopes of F<sub>ST</sub>/<u>1- F<sub>ST</sub></u> versus <u>log(</u>distance) were 190 calculated using the R package lsmeans (Lenth 2016); the slope difference among species or 191 between populations within species was estimated with an ANOVA and its significance evaluated 192 with function pairs of this package (Text S1 and see example script on github.com/angelesdecara/). 193

Admixture (Alexander et al. 2009) analyses were run on a subset of the 68 H. numata genomes, 195 196 keeping only 15 individuals from Peru to have a more balanced representation of individuals across the geographic distribution. Filters were applied to keep biallelic sites with minimum mean depth 197 of 8, maximum mean depth of 200 and at most 50% genotypes missing. We only kept 1 SNP per 198 kilobase to remove linked variants using the thinning function in vcftools, and we obtained the 199 optimal number of clusters using cross-validation for values of K from 1 to 10 (Alexander et Lange, 200 2011al. 2009). Principal component analyses (PCA) were performed with the same filters as for 201 admixture, using the same *H. numata* genomes as for the admixture analyses, using smartpea 202 (Patterson et al. 2006) plink2 (Chang et al. 2015). 203

In order to estimate demographic parameters independently of the effect of selection on diversity, we performed stringent filtering on the dataset. We removed all predicted genes and their 10,000 base-pair flanking regions, before performing G-PhoCS (Gronau et al. 2011) analyses as detailed below. Repetitive regions were masked using RepeatMasker and Tandem Repeat Finder (Benson 1999). GC islands detected with CpGcluster.pl with parameters 50 and 1E-5 (Hackenberg et al., 2006) were also masked. Scaffolds carrying the supergene rearrangements (Hmel215006 to Hmel215028) were excluded, as were scaffolds from the sex chromosome (Z) and mtDNA, since those are expected to show unusual patterns of diversity due to selection and different effective population sizes.

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We analysed the demographic history of *H. cydno*, *H. numata*, *H. ismenius*, *H. pardalinus* and *H.* 215 elevatus with G-PhoCS, which allows for the joint inference of divergence time, effective 216 population sizes and gene flow. In order to detect differences in demography correlating with the 217 presence of the supergene in *H. numata*, we conducted analyses separating the Atlantic population 218 of H. numata from Amazonian populations. G-PhoCS is an inference method based on a full 219 coalescent isolation-with-migration model. Inferences are conditioned on a given population 220 221 phylogeny (based on Kozak et al. 2015) with migration bands (i.e. priors in the migration rates) that describe allowed scenarios of post-divergence gene flow. The model assumes distinct migration rate 222 parameters associated with each pair of populations, and allows for asymmetric gene flow. Given 223 the computational burden of G-PhoCS, we selected two individuals per taxon or population, 224 retaining those with the highest sequencing depth (see Table S3). The input dataset consisted of 225 4092 genomic regions, each 1kb in length and spaced at approximately 30kb intervals (above the 226 value at which LD decay at more than half of its value) and with genotypes in at least one of the 227 two samples of each taxon We used as priors for coalescence times ( $\tau$ ) and genetic diversity ( $\theta$ ), 228 Gamma functions with  $\alpha$ =1 and  $\beta$ =100, and for\_migration bands rates  $\alpha$ =0.002 and  $\beta$ =0.00001. 229 These priors were chosen to allow good convergence while also ensuring non informativity. In 230 order to calculate the highest posterior density interval, we used the library HDInterval in R, and to 231 integrate such posterior densities we used the library sfsmisc in R. We rescaled the results using a 232 mutation rate of 1.9E-9 (Martin et al. 2016) and 4 generations per year (i.e., g=0.25). Migration 233 234 bands were considered significant following the criteria of Freedman et al. (2012): if the 95% HPD interval did not include 0 or if the total migration rate (migration rate times the duration of the 235 migration band) was larger than 0.03 with posterior probability larger than 0.5. 236

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#### 238 **Demographic Reconstruction of population size changes, split and mixtures**

The G-phocs method provided useful information across all species but i) do not allow to quantify 239 the time scale of population size change, ii) is limited in the number of individuals it can handle and 240 iii) displayed limited accuracy to distinguish Ne and m in a simulation study (Gronau et al. 2011). 241 We thus constructed additional models to test the hypothesis that *H. numata* populations with 242 inversion polymorphism display an increased effective population size due to disassortative mating 243 To test this, we used  $\partial a \partial i$  to reconstruct the demographic history of *H*. numata individuals from the 244 Amazonian forest, quantify their historical changes in effective population size and test their 245 divergence history from 1) *H. numata* from the Brazilian area, which do not carry the inversion; and 246 2) *H. pardalinus* individuals. We allowed for change in effective population size in both the 247 ancestral populations. Theoretically, the change in effective population size in H. numata associated 248 with the change in mating system should be more recent than the time of introgression of the 249 inversion into *H. numata*. To verify this hypothesis we allowed for change in *Ne* of the daughter 250 population at any time after the split. We tested different models of divergence with and without 251 (asymmetric) migration and included the effect of linked selection (e.g. Roux et al. 2016). 252 Since, the conditions of historical divergence are not known, we tested a model of divergence with 253

Since, the conditions of historical divergence are not known, we tested a model of divergence with
 ongoing migration (IM) a model of divergence with ancient migration if gene-flow has stopped
 recently (AM) and, in the case of divergence into multiple refugia, a model of secondary contact
 (SC). We also included a model of Strict Isolation (SI) as a null model.

257The models shared the following parameters: the ancestral populations of size  $N_{anc}$  can grow or258shrink to a size  $N_{anc2}$  between  $T_{anc}$  and up until its splits at time  $T_{split}$  into two daughter populations of259size  $N_1$  and  $N_2$ . Under the SI model, no gene flow occurs between the two populations. Under AM,

260 gene flow occurred between T<sub>split</sub> and T<sub>am</sub> and is followed by a period of strict isolation. Under IM, gene flow occurs at a constant rate at each generation between the two populations. Gene flow can 261 be asymmetric, so that two independent migration rates  $m_{12}$  (from population 2 to 1) and  $m_{21}$  (from 262 population 1 to 2) were modeled. Under the SC model, the population evolved in strict isolation 263 between *T<sub>split</sub>* and until *T<sub>sc</sub>* where a secondary contact occurs continuously up to present time. Gene 264 flow is modeled as M =  $2N_{\text{REF}}$ .m. In  $\partial a \partial i$ , heterogeneity in effective population size was used to 265 266 account for linked selection by defining two categories of loci with varying effective population sizes (proportion 1-Q of loci with a "neutral Ne" and a proportion Q of loci with a reduced effective 267 population size due to either selection at linked site). To quantify how linked selection affects 268 reduced N<sub>e</sub>, we used a Hill-Robertson scaling factor (Hrf) to relate the effective population size of 269 loci influenced by selection (Nr = Hrf  $* N_e$ ) to that of neutral loci ( $N_e$ ). A hierarchical approach was 270 used to avoid over-fitting: first we compared models assuming constant effective population size. 271 Second, the best identified models were modified to incorporate population expansion or decline, as 272 expected given the observed distribution of genetic diversity. Population expansion was 273 implemented using two additional parameters for population 1 and population 2, allowing each 274 population to either grow or decline exponentially at any time after their split from the ancestral 275 population (controlled by parameters s1 and s2 for population 1 and 2 respectively). 276

- 277 Models were fitted using the diffusion theory implemented in ∂a∂i (Gutenkunst et al. 2009) and
  278 includes the effect of linked selection. ∂a∂i uses the SFS as a summary of the data. For a given
  279 demographic model, the SFS is computed using diffusion approximation and compared to the
  280 empirical SFS using AIC.
- We used stringent filtering (GQ>30, 4 < mean depth < 80) and no missing data to keep high</li>
   quality sites and remove potential paralogs or PCR duplicates exhibiting excessive read depth. To
   minimize linkage we subset our data to keep one SNP every 5kb. No MAF filter was used and
   singletons were kept to avoid ascertainment bias in estimates of demographic parameters. For each
   model, 32 independent replicate runs were performed and only models with the lowest AIC and
   ΔAIC were kept.

## 288 **Forward Simulations**

In order to better understand the nature of the processes that generate higher genetic diversity in *H*. *numata* compared to closely related taxa, we used simulations to test the hypothesis that
disassortative mating generates an increase in levels of genetic diversity at a genome-wide scale.
We hypothesized that such level of genetic diversity is higher than expected under i) random mating
(a model similar to panmixia) or ii) assortative mating, as commonly observed in other *Heliconius*species. To test this hypothesis we run forward simulation under disassortative, assortative and
random matting using slim v3.6 (Messer et al. 2013).

We simulated a stepping stone model with 10 demes, each composed of 1,000 diploid individuals 296 and connected by a (symmetric) migration parameter (m). Each individual received neutral and 297 deleterious (ratio 16:6) mutations at a rate  $\mu = 1e-8 \mu/bp/generation$  (rescaled to  $\mu = 1e-6$  for faster 298 simulation of a larger population). We simulated an individual with a pair of 1Mb chromosome, 299 including a single locus with 5 alleles with perfect dominance (allele 1 > allele 2 > allele 3 > allele 300 <u>4 > allele 5) given 5 possible alternative phenotypes (referred hereafter as "morph"). Each allele</u> 301 was fully linked (no recombination) with a given deleterious recessive mutation, generating 302 overdominance at this loci so that polymorphism is always maintained. Local adaptation was 303 introduced in the model through a single parameter defining randomly which morphs were favored 304 in each population. In each population, either 2 or 3 morphs benefited from a fitness advantage 305 compared to the others. The fitness reduction varied between 0 (= fitness of zero for migrants in a 306 demes) and 1 (no reduction of fitness). We tested 3 possible values for this parameter (0, 0.25 and 307 308 <u>0.5).</u>

309	Finally, disassortative mating was controlled by a mate choice parameter defining whether a morph
310	would reproduce with another morph. The strength of the parameter varied between 0 (= complete
311	disassortative mating) and 1 (= no mating weight). We tested 3 possible values for this parameter
312	(0, 0.25 and 0.5).
313	We run the model for 80,000 generations to reach demographic equilibrium and assessed levels of
314	synonymous diversity ( $\pi_s$ ). We tested all combinations of the 3 values for levels of disassortative
315	mating and local adaptation and ran 10 replicates per combination in order to estimate the variance
316	around $\pi_s$
317	Similarly, we run a model with strict assortative mating, controlled by a parameter defining whether
318	similar morphs reproduced together. The strength of the parameter varied between 0 (complete
319	assortative mating where a given individual mate only with an identical morph) and 1 (where
320	individual mate randomly with regards to the morph). We tested 3 possible values for this parameter
321	(0, 0.25 and 0.5). As for disassortative mating, all combinations of assortative mating and local
322	adaptation values were tested. For each model we tested 3 values for the migration rate, m = 1e-4,
323	<u>1e-6 and 1e-8, resulting in a total of 54 comparisons.</u>
324	For graphical display in Figure 4, the values of assortative/disassortative mating were rescaled on a
325	scale between (0 and 1) with 0 indicating no disassortative mating but complete assortative mating
326	and 1 complete disassortative mating (or no assoartive mating). A value of 0.5 was equivalent to
327	random mating.

## 329 **Results**

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330 Using cross validation error as a measure of the optimal number of clusters with Admixture, we 331 found that K=2 was the optimal cluster number describing within-species genetic variation in *H*. *numata* (Fig 2A). One cluster corresponds to the Atlantic population, forming a well-differentiated 332 genetic entity compared to all other *H. numata* populations. All Amazonian populations of *H.* 333 numata showed a remarkable uniformity, with the exception of a few individuals sharing some 334 335 variation with SE Brazil. This pattern is consistent with the population structure inferred using microsatellite markers (Fig S1). Population structure revealed by PCA is in line with the admixture 336 analysis (Fig 2B). -Individuals from the Atlantic populations of *H. numata* clustered together to one 337 side of the first PCA axis, whereas all other individuals from all other populations clustered to the 338 339 other side. The second axis of the PCA separates individuals from French Guiana from the other samples of the <u>upper</u> Amazon. This <u>clustering separation</u> was not found with Admixture (i.e. with 340 K=3) from the complete dataset. To better investigate the existence of a hierarchical population 341 structure, we excluded individuals from the Atlantic populations and compared individuals from 342 French Guiana to a randomly sampled set of Peruvian individuals. In this case we found a clear 343 separation in two groups corresponding to French Guiana and Peru (Fig S2A). The same pattern 344 was observed when replacing Peru by Colombia or Ecuador (Fig S2B,C)., suggesting that the 345 divergence between Amazonian populations is very reduced. In accordance, pairwise genome-wide 346 estimates of differentiation (F<sub>ST</sub>) between *H. numata* populations showed elevated values when 347 348 comparing the Atlantic population to other populations, low values between French Guiana and other Amazonian population, and were the lower but very small values when comparing pairs of 349 Amazonian populations outside of French Guiana., even at a large distance (Fig 2C, Table S4). For 350 instance, the population from La Merced in Peru shows an  $F_{ST} = 0.032$  with the population from 351 French Guiana at a distance of 3019km, but an  $F_{ST} = 0.311$  (an order of magnitude higher) with the 352 Atlantic population at a similar distance. The comparison between La Merced and Ecuador was 353 even lower (Fst = 0.0159). Isolation by distance among Amazonian populations of *H. numata*, 354

estimated using the proxy  $F_{ST}/1$ -Fst ~ log10(km) was significant ( $R^2 = 0.41$ , p = 1.61e-06, slope = 10.02), Comparison among other species did not revealed any significant IBD ( $R^2 = 0.01$ , p = 0.29, slope = 0.12). shows a very different pattern to other species, with a highly significantly shall An analysis of the slope revealed a lower rate of increase in  $F_{ST}$  with distance in *H. numata* compared to all other taxa (Fig 2C, Table S4, Supp Text S1). By contrast, differentiation as a function of distanceIBD between Atlantic and Amazonian populations of *H. numata* is close to what is observed in other species, and not significantly different (see Supp. Text S1).

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Analyses of genetic diversity show that all populations of *H. numata*, except those from the Atlantic 363 Forest, have a similarly high genetic diversity (Fig 3A). By By comparison contrast, closely related 364 Heliconius taxa show significantly lower genetic diversity (Fig 3A). These patterns are similar to 365 those obtained using G-PhoCS to analyse the demographic histories in a phylogenetic context, 366 where Amazonian populations of *H. numata* show higher population sizes compared to the Atlantic 367 populations (Fig 3B, Table S5). G-PhoCS analyses also show a demographic history in which gene 368 flow plays a crucial role (Table S6). For instance, our analyses show-strong significant gene flow 369 right at the beginning of the divergence between *H. ismenius* and the other silvaniforms, as well as 370 in the divergence between *H. pardalinus* and *H. elevatus*. The effective population sizes inferred 371 from Atlantic genomes are one order of magnitude lower than that those obtained using *H. numata* 372 populations from other localities (Fig 3A and Table S5). In our cladogram, the increase in H. 373 numata population size is restricted to the Amazonian branch, excluding Atlantic populations. 374

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## 376 Demographic reconstruction from $\partial a \partial i$

377 The model selection procedure based on AIC gave higher support for a model of secondary contact (SC) in the pairwise comparison between H. numata from Peru and H. numata from Brazil. The 378 pairwise comparison between H. numata and H. pardalinus supported a model of divergence with 379 continuous gene-flow (IM) (Table S7, Figure S3). All models supported an expansion occurring in 380 the ancestral population, followed by further growth of the *H*. numata carrying the inversion 381 supergene to reach a size of several millions, which was by far the largest effective size compared 382 to all other species. This stands in stark contrast with the results observed in the samples from 383 Brazil (which do not harbor the inversion) (Table 2). Accordingly, H. numata populations from the 384 Atlantic forests of Brazil appear to have been subject to a bottleneck at the start of their divergence 385 from Amazonian populations, followed by exponential growth, suggesting a strong (and recent) 386 founding event, leading to a comparatively smaller population size than that observed in the rest of 387 *H. numata*. It is worth noting however that effective population size was hard to estimate in 388

pairwise comparisons between *H. numata* from Peru and SE Brazil. Indeed, parameter uncertainty
was large, and model residuals (Figure S3) were also large. Our results indicated that *H. pardalinus*displayed an initially large population size followed by a comparatively smaller size expansion than *H. numata* (Table 2). Estimates of current effective population sizes are therefore qualitatively
similar to those from G-phocs.

## 395 Forward simulations

396 Forward simulations under different levels of local adaptation (controlled by the strength of 397 divergent selection), disassortative mating and migration are displayed in Figure 4B. The same 398 results under a model of assortative mating involving different levels of selection and migration are 399 displayed in Figure 4A. Overall, synonymous genome-wide nucleotide diversity ( $\pi$ S) was higher in 400 73 % of the models including disassortative mating (average  $\pi$ S = 0.0145) when compared to their 401equivalent under assortative mating (average  $\pi S = 0.011$ ), a weak but significant difference (p402<0.01, see Figure S5). In summary, modest differences were observed among models with different</td>403strengths of divergent selection or disassortative mating, the most influential variable being the rate404of migration (Figure 4).

405

### 406 Discussion

Our results suggest that populations displaying inversion polymorphism in the *P* supergene in *H*. 407 numata also display distinctive population demography and gene flow. Differences in demographic 408 and differentiation regimes associated with structural variation at this locus are revealed when 409 comparing polymorphic populations of *H. numata* to closely-related monomorphic taxa, such as (1) 410 peripheral populations of *H. numata*, (2) sister taxa, and (3) inferred ancestral lineages. This 411 suggests that the existence of a mimicry supergene controlling polymorphism in *H. numata* ismay 412 be associated, in time and in space, with major differences in population biology. We hypothesize 413 414 this to be due to a change in the balancing selection regime due to heterozygote advantage (Jay et al. 2021) and in the associated evolution of disassortative mating (Chouteau et al. 2017) following 415 the onset of inversion polymorphism, causing direct effects on ecological parameters such as gene 416 flow, immigration success and effective population size. Testing this hypothesis through forward 417 simulation yielded mixed evidence for a genome-wide effect of this disassortative mating, 418 especially when compared to a simple model of random mating. 419

420

Our analyses show large-scale variation in genetic diversity among closely related taxa in this clade 421 of *Heliconius* butterflies. Within *H. numata*, the genetic diversity of found in polymorphic 422 423 Amazonian populations is ~4 times one to two orders of magnitude higher than the diversity found in populations from the Atlantic Forest. Generally, Amazonian populations of H. numata harbour 424 the highest genetic diversity in the entire *melpomene*/silvaniform clade, which contrasts with the 425 low diversity found in the most closely related taxa such as H. ismenius or H. besckei. Inferring 426 historical demography during the diversification of the *H. numata* lineage reveals that the large 427 effective population size in that species is only associated with the branch representing 428 polymorphic, Amazonian H. numata populations, while internal branches all show very low 429 diversity estimates. This suggests that ancestral and putatively monomorphic populations of *H*. 430 431 numata were similar in their diversity parameters to current sister species H. ismenius populations, or to current peripheral Atlantic *H. numata* populations. Although low-diversity lineages could have 432 lost diversity due to recent events such as strong bottlenecks, the distribution of parameters across 433 as estimates of effective population size lineages rather from dadi indicated for the Atlantic 434 population. suggests Nevertheless our dadi estimates do suggest that the Amazonian populations of 435 H. numata underwent a dramatic increase in effective population size posterior to their split with 436 Central American (H. ismenius), H. pardalinus and the Atlantic populations. Those findings are in 437 agreement with G-Phocs analyses. The Amazonian branch of the H. numata radiation is 438 characterized by the long-term maintenance of inversion polymorphism, triggered by the 439 440 introgression of a chromosomal inversion about 2.2 Ma ago. Therefore, the major shift in demography between Amazonian and Atlantic populations indeed appears associated to coincide, 441 at least in the broad sense, with the occurrence of inversion polymorphism, even though the lack of 442 replication of this event impedes firmly establishing causality here. 443

444

Another striking result is the <u>low lack of genetic</u> structure displayed by *H. numata* across the Amazon, with all Amazonian <del>and Guianese</del> populations forming a single genetic cluster. Only

Atlantic populations stand out and display high differentiation with other *H. numata* from the rest of 447 the range. French Guiana and Peruvian populations, separated by over 3000 km across the 448 Amazon, are remarkably genetically weakly genetically differentiated similar compared to pairs of 449 populations at comparable distances in other species, and show only modestly stronger similar 450 differentiation thanas pairs of *H. numata* populations taken at short distances. *H. numata* 451 populations from the Amazon show significantly lower isolation by distance than all other taxa, as 452 measured by the change in  $F_{ST}$  across distance ( $F_{ST}$ /km) (Fig. 2C), with a very distinctive, flat slope 453 of isolation by distance. The only exception is found when comparing Amazonian populations with 454 Atlantic populations of Brazil, displaying a level of differentiation in line with that of pairs of 455 populations at similar distances within other taxa. 456

457

Effective population size is affected by census size, mating system, and the force and type of 458 selection acting on traits (Charlesworth 2009). Selection is often viewed as a force only affecting 459 the genetic variation around specific, functional loci in the genome, but it may also affect whole 460 genome diversity, for instance when its action is sufficient to modify local demography or mating 461 patterns. In *H. numata*, morphs and therefore inversion genotypes show disassortative mate 462 preferences, i.e., they preferentially mate with individuals carrying different chromosome types 463 (Chouteau et al. 2017). Disassortative mating enhances heterozygosity and the mating success of 464 individuals expressing rare alleles (negative frequency dependence) (Knoppien 1985; Hedrick et al. 465 2018). Consequently, immigrants expressing rare, recessive alleles have a mating advantage in *H*. 466 numata. Disassortative mating associated with the supergene should therefore bring an advantage to 467 immigrant genomes in LD with recessive supergene alleles, possibly enhancing genome-wide gene 468 469 flow.-Supergenes are also characterised by monosingle-locus Mendelian inheritance, by which mimicry phenotypes are maintained in the face of recombination, even after immigration. This 470 eEffective migration regime regime in populations harbouring a mimicry supergene is therefore 471 likely to be quite different to that observed in other mimetic taxa such as *Heliconius melpomene* or 472 *H. erato*, in which mimicry variation is controlled by multiple loci with diverse dominance patterns. 473 In those taxa, hybrid offspring display recombinant patterns breaking down mimicry, even after 474 multiple generations of backcrossing, and pure forms mate assortatively with respect to wing 475 pattern (McMillan et al. 1997, Mallet et al. 1998, Jiggins et al. 2001); both processes select against 476 477 mimetic variants migrating from adjacent areas with distinct warning patterns. -- The expectation is that immigrant genomes should be consistently associated with mimicry breakdown in the case of 478 multilocus architectures, which should translate into an effect on effective migration genomewide, 479 compared to situations with polymorphic mimicry supergenes. In H. numata, the evolution of a 480 polymorphic mimicry supergene and disassortative mate preferences could therefore explain the 481 relative lack, compared to other *Heliconius* taxa, of differentiation among polymorphic populations, 482 even across large distances. Furthermore, enhanced gene flow could also cause an increase in 483 effective population size estimates (Slatkin 1987), putatively explaining why polymorphic 484 populations of *H. numata* harbour the highest genetic diversity, and display the highest *Ne* estimates 485 486 in the entire *melpomene*-silvaniform clade of *Heliconius*. <u>These hypotheses are also supported by</u> our forward simulation which suggests that indeed, disassortative mating resulted in enhanced 487 genetic diversity compared with assortative mating, although the effect was small. In addition, our 488 results also suggests that a simple model of random mating may explain well the data, thus purely 489 demographic expansions may also generate high genetic diversity and high effective population 490 size, as observed from our  $\partial a \partial i$  demographic modelling. 491

492

493 Alternative processes may of course contribute to the observed patterns. Amazonian and Atlantic populations may differ in other aspects that could also result in differences in genetic diversity. 494 Habitat availability and structure may be different, possibly entailing differences in the maintenance 495 of diversity. The Atlantic Forest is vast in area, but may represent a smaller biome compared to the 496 Amazon, and is isolated from the bulk of the range of *H. numata*, which could result in a 497 populations ecology\_\_\_\_\_displaying characteristics of peripheral populations with smaller effective 498 population sizes (Eckert et al. 2008). Reduced effective population size is supported by our data. 499 One major caveat associated to our inference remains the small number of individuals (n = 12) from 500 the Atlantic forest. Genetic diversity might be underestimated, notably if populations have a history 501 of fragmentation in this area. The other *Heliconius* species in the clade have much in common with 502 *H. numata* in terms of habitat and general ecology, yet their niche and life-history specificities and 503 their phylogenetic histories may result in consistent differences with the polymorphic H. numata 504 populations. All those specificities may contribute to the observed pattern in which polymorphic 505 Amazonian populations of *H. numata* display high effective population size and a lack of weak 506 geographic structure in genome-wide genetic variation. Yet this pattern of variation correlates 507 parsimoniously with the evolution of a supergene causing disassortative mating and single-locus 508 control of mimicry variation in certain in Amazonian H. numata populations, which provides an 509 elegant mechanism explaining their differences with extant and ancestral closely-related lineages. 510 However, we cannot rule out a role for conjectural differences in ecology and geography with all 511 other taxa. 512

514 In conclusion, our results show a remarkable contrast in the demography and differentiation of populations within the Amazonian range of H. numata compared to closely related taxa and 515 ancestral lineages, as well as with other taxa in the *melpomene*/silvaniform clade. Although those 516 populations may differ in many uncharacterized ways from all other taxa, one known and consistent 517 difference is the maintenance of inversion polymorphism associated with a specific mating system 518 and selection regime in Amazonian *H. numata*. Theis distinctiveness of the only is widely 519 polymorphic populations species in the clade is consistent with the hypothesis that the evolution of 520 a supergene maintained by balancing selection represents a major transition in this lineage, 521 triggering changes in genome-wide patterns of diversity and population ecology over the last 2 522 523 million years since its formation. If this hypothesis is correct, the evolution of a locus under balancing selection may therefore feed-back on population ecology and diversification, and 524 consequently on speciation. 525

513

526

- 527 Eco-evolutionary feedbacks between changes in genomic architecture and the ecological parameters
  528 of populations are still not well understood and few cases have been studied. The evolution of self529 incompatibility loci-in plants, affecting the rules of mating and feeding back on population ecology,
  530 connectivity, and demography, may be one example, but effects of the evolution of trait genetic
  531 architecture on population ecology may be more common than previously thought. In our study,
  532 more work on the determinants of variation in effective population sizes in the genus *Heliconius* is
  533 needed to determine the precise impact of the supergene on demography in *H. numata*.
- More work on the determinants of variation in effective population sizes in the *Heliconius* genus is
   needed to determine the precise impact of the supergene on demography of *H. numata*. We believe
   that our results emphasize a potential link between genomic architecture, selection and demography,
   and should inspire future theoretical and modelling studies. Finally, the eco-evolutionary feedbacks
   between changes in genomic architecture and the ecological parameters of populations are well-

known when considering self-incompatibility loci in plants, but may be more common than
previously thought. Indeed,Overall our result suggests that balancing selection maintaining
structural polymorphisms affecting life-history traits may have a profound influence on species
ecology.

543

## 544 **Contributions:**

- 545 MARdC, PJ<u>, QR</u> and MJ designed the study and wrote the manuscript. BH, AVLF, TTT, RRR,
- 546 KLSB provided the Atlantic samples. CS provided the Colombian samples. MARdC, and PJ and
- 547 QR performed genomic analyses <u>and simulations</u> with input from AW. MARdC, PJ, MJ, FPP and
- 548 MC collected the Peruvian and Ecuatorian samples. MC performed microsatellite analyses and
- organized fieldworks and butterfly rearing. All authors contributed to editing the manuscript.

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- 505 Support of FAPESP Process # 2012/10200-7. Brazinan specimens are registered under SISGEN
  564 (A701768).
  565

# 566 **Data availability:**

- 567 The raw sequence data were deposited in NCBI SRA and accession numbers are indicated in
- 568 Supplementary table 3.
- 569

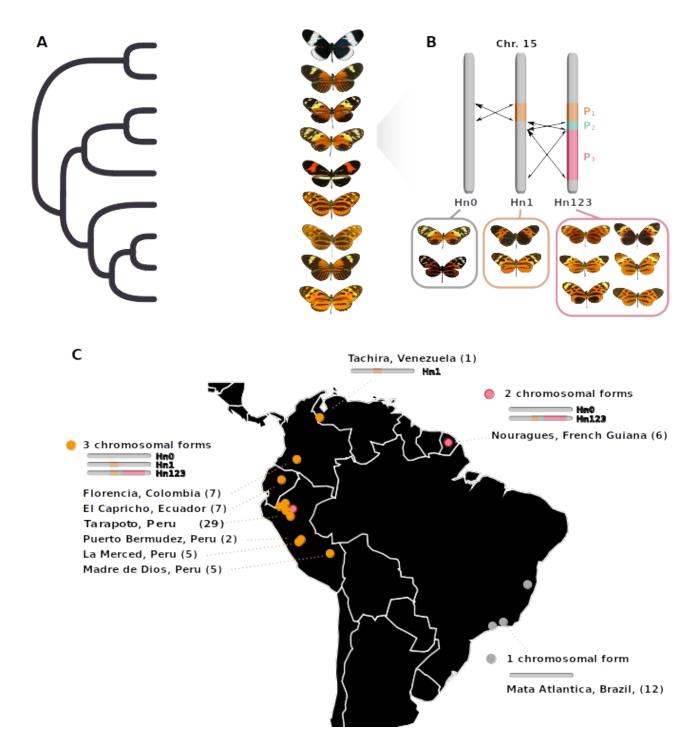
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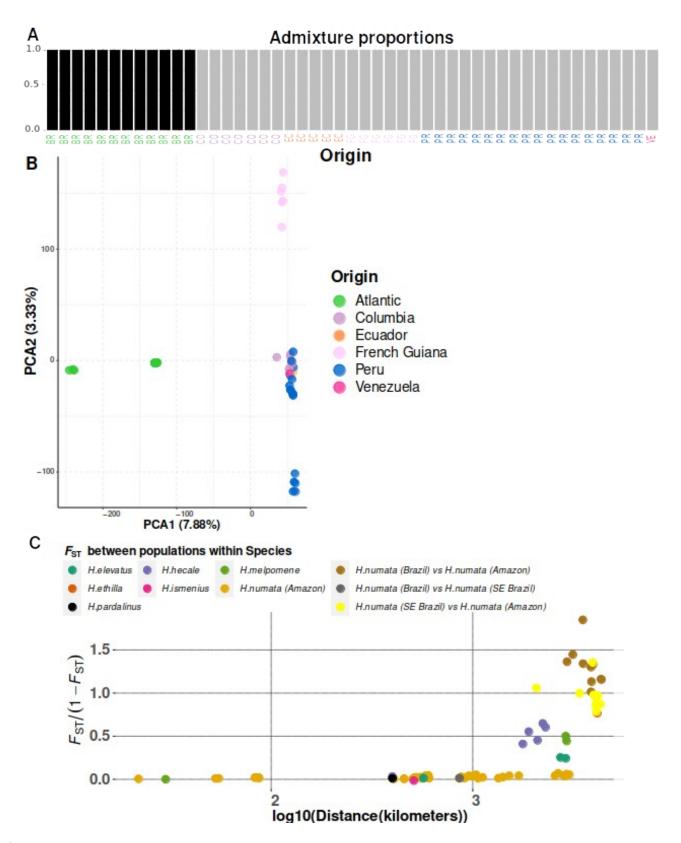
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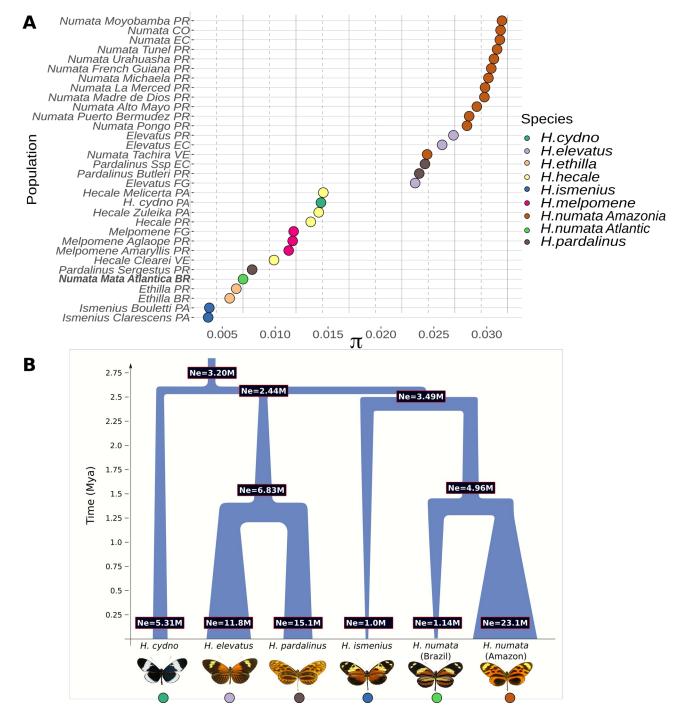


#### **Figure 1 | Genetic and population structure at the P supergene.**

- 709 **A.** Schematic phylogeny of the sampled species. It includes all members of the silvaniform clade
- and two outgroups, *H. melpomene* and *H. cydno*.
- 711 **B.** Schematic description of the genetic structure of the P supergene. Three chromosomal
- arrangements coexist in *H. numata* and are associated with different morphs.
- 713 **C.** Origin of *H. numata* specimens used for analyses and distribution of chromosome arrangements
- across the neotropics. Numbers in brackets indicate sampled specimens in each locality (the
- 715 Tarapoto population lumps several neighbouring subsamples on the map)
- 716



- 718 **Figure 2** | *H. numata* is characterised by low population structure.
- 719 A. Admixture plot for *H. numata*. The optimal cluster number for *H. numata* is two, and it splits *H. numata* into
- 720 <u>two categories, whereas they come from Atlantic forest or the Amazon. BR=Brazil (Atlantic), PR=Peru,</u>
- 721 VE=Venezuela, CO=Colombia, EC=Ecuador, FG=French Guiana. B. Principal component analysis computed on
- 722 whole genome SNP. Color code match those given in the asmixture label of panel A. C. Relationship between
- 723 genetic differentiation (Fst/(1-Fst)) and logarithm of geographical distance. Fst is measured between
- 724 morphs/populations of the same species. *H. numata* populations from the Amazon show low isolation by distance
- 725 when compared to related species.:



727

#### 728 **Figure 3** | Variation in present and past effective population size in *Heliconius* species

729 A. Variation in Pi in several *Heliconius* populations, showing higher genetic diversity in *H*.

730 *<u>numatapopulations from the Amazon than other taxa. Population names indicates their origin as in</u>* 

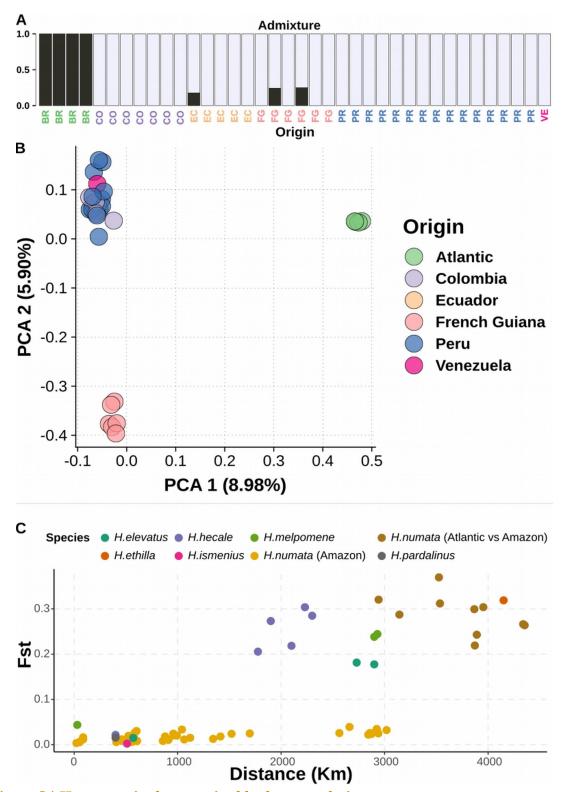
**Figure 2 (e.g. PR=Peru)**, with the addition of PA=Panama. The *H. numata* population with a lowest

732 diversity is the one from the Atlantic forest (Brazil). **B.** Schematic representation of Gphocs results

733 (presented in table S5-6). Gene flow was modelled but not represented graphically for clarity.

 734
 showing that Amazonian populations of *H. numata*, which have the P supergene, show a dramatic

increase in population size posterior to their split with the Atlantic populations of Brazil, which lack
the supergene.



- 737 Figure 2 | *H. numata* is characterised by low population structure.
- A. Admixture plot for *H. numata*. The optimal cluster number for *H. numata* is two, and it splits *H.* 738
- 739

numata into two categories, whereas they come from Atlantic forest or the Amazon. BR=Brazil-

- (Atlantic), PR=Peru, VE=Venezuela, CO=Colombia, EC=Ecuador, FG=French Guiana. B. 740
- Principal component analysis computed on whole genome SNP. C. Relationship between genetic-741
- differentiation (Fst) and geographical distance. Fst is measured between morphs/populations of the-742
- 743 same species. H. numata populations from the Amazon show low isolation by distance when
- compared to related species. 744

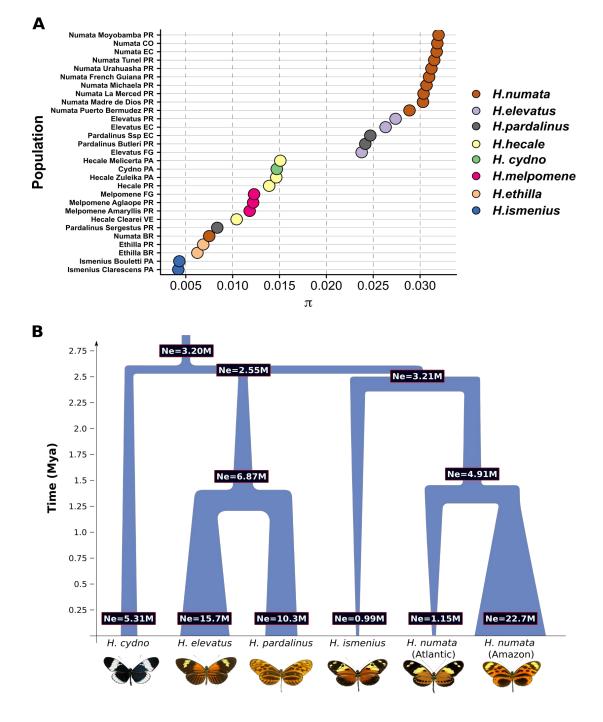


Figure 3 | Variation in present and past effective population size in Heliconius species 746 747 **A.** Variation in Pi in several *Heliconius* populations, showing higher genetic diversity in *H. numata* populations from the Amazon than other taxa. Population names indicates their origin as in Figure 2 748 (e.g. PR=Peru), with the addition of PA=Panama. The H. numata population with a lowest diversity 749 is the one from the Atlantic forest (Brazil). B. Schematic representation of Gphocs results-750 751 (presented in table S5-6). Gene flow was modelled but not represented graphically for clarity. showing that Amazonian populations of *H. numata*, which have the P supergene, show a dramatic-752 increase in population size posterior to their split with the Atlantic populations of Brazil, which lack 753 the supergene. 754 755 756

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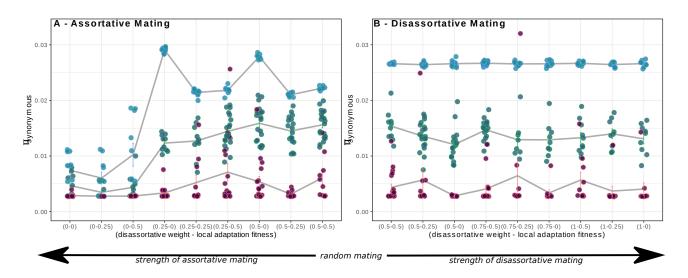


Figure 4 | Weak but significant differences in synonymous nucleotide diversity ( $\pi_s$ ) emerged at 759 a genome-wide scale under divergent selection and mating region. Results from forward 760 simulations of 10 populations undergoing local adaptation and different mating strategy\_are 761 presented. Shown are levels of synonymous diversity obtained under assortative (A) versus 762 disassortative mating (B) under different rates of migration and different local adaptation fitness. 763 Each combination of parameters in brackets display the (dis)-assortative mating weight and the 764 fitness value for local adaptation respectively. A left value of 0 in the bracket means complete 765 766 assortative mating and 0.5 means no assortative mating or disassortative mating. Value of 1 means complete disassortative mating. A right value of 0 in the brack mean fitness of 0 for non locally 767 adapted individuals in a demes, A value of 0.5 means a reduced fitness of 0.5 relative to the 768 maximum value 769

- 770 | List of Supplementary Materials:
- 771 Table S1-6
- 772 Fig S1-2
- 773 Text S1
- 774