

# A BIRD'S WHITE-EYE VIEW ON NEO-SEX CHROMOSOME EVOLUTION

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## ABSTRACT

Chromosomal organization is relatively stable among avian species, especially with regards to sex chromosomes. Members of the large Sylvioidea clade however have a pair of neo-sex chromosomes which is unique to this clade and originate from a parallel translocation of a region of the ancestral 4A chromosome on both W and Z chromosomes. Here, we took advantage of this unusual event to study the early stages of sex chromosome evolution. To do so, we sequenced a female (ZW) of two Sylvioidea species, a *Zosterops borbonicus* and a *Z. pallidus*. Then, we organized the *Z. borbonicus* scaffolds along chromosomes and annotated genes. Molecular phylogenetic dating under various methods and calibration sets confidently confirmed the recent diversification of the genus *Zosterops* (1-3.5 million years ago), thus representing one of the most exceptional rates of diversification among vertebrates. We then combined genomic coverage comparisons of five males and seven females, and homology with the zebra finch genome (*Taeniopygia guttata*) to identify sex chromosome scaffolds, as well as the

candidate chromosome breakpoints for the two translocation events. We observed reduced levels of within-species diversity in both translocated regions and, as expected, even more so on the neoW chromosome. In order to compare the rates of molecular evolution in genomic regions of the autosomal-to-sex transitions, we then estimated the ratios of non-synonymous to synonymous polymorphisms ( $\pi_N/\pi_S$ ) and substitutions ( $d_N/d_S$ ). Based on both ratios, no or little contrast between autosomal and Z genes was observed, thus representing a very different outcome than the higher ratios observed at the neoW genes. In addition, we report significant changes in base composition content for translocated regions on the W and Z chromosomes and a large accumulation of transposable elements (TE) on the newly W region. Our results revealed contrasted signals of molecular evolution changes associated to these autosome-to-sex transitions, with congruent signals of a W chromosome degeneration yet a surprisingly weak support for a fast-Z effect.

**Key-words** : Sex chromosome, molecular evolution, molecular dating, bird diversification, Sylvioidea, *Zosterops*.

## INTRODUCTION

Spontaneous autosomal rearrangements are common across higher metazoan lineages (Choghlan et al. 2005). By contrast, sex chromosome architectures are much more conserved, even across distant lineages (Murphy et al. 1999; Raudsepp et al. 2004; Fraïsse et al. 2017). A growing number of studies have recently observed departures from this pattern of evolutionary conservation by detecting changes in the genomic architecture of sex chromosomes in some particular lineages - so-called neo-sex chromosomes – that are mainly generated by fusion or translocation events of at least one sex chromosome with an autosome (*e.g.* Kitano & Peichel, 2012; Zhou & Bachtrög, 2012). Considering the long-term conservation of sex chromosome synteny, neo-sex chromosomes provide opportunities to investigate the processes at work during the early stages of sex chromosome evolution (Charlesworth et al. 2005). Previous detailed studies have for example investigated its important role for divergence and speciation (*e.g.* Kitano et al. 2009; Weingartner & Delph, 2014; Yoshida et al. 2014; Bracewell et al. 2017).

From a molecular evolution point of view, an important consequence of the transition from autosomal to sex chromosome is the reduction in effective population size ( $N_e$ ). Assuming a 1:1 sex ratio,  $N_e$  of sex-linked regions on the Y (or W) and X (or Z) chromosomes are expected to decrease by three-fourths and one-fourths, respectively (see Ellegren, 2009 for details). According to the neutral theory, nucleotide diversity is then expected to be reduced proportionally to the reduction in  $N_e$  due to increased drift effects (Vicoso & Charlesworth, 2006; Pool & Nielsen, 2007).  $N_e$  reduction also induces a change in the balance between selection

and drift, with drift playing a greater role after the translocation, thus reducing the efficacy of natural selection to purge deleterious mutations from populations. Mutations - including deleterious ones - may also drift to fixation at a faster rate in sex-chromosomes than in autosomes, thus generating expectations for faster evolution at X and Y chromosomes (so-called fast-X or fast-Y effects) (Mank et al. 2007; Rousselle et al. 2016). In addition, given that mutations are on average recessive, positive and negative selections are expected to be more efficient in the heterogametic sex (Hvilsom et al. 2012; Nam et al. 2015). Suppression of recombination is also expected to initiate a degenerative process on the Y chromosome, that may result in the accumulation of nonsynonymous deleterious substitutions owing to a series of processes acting simultaneously: Muller's ratchet, the Hill-Robertson effect, and linked selection (Charlesworth and Charlesworth, 2000). For the same reason, transposable elements (TEs) are also expected to accumulate soon after the cessation of recombination in the Y chromosomes (Charlesworth 1991; Charlesworth et al. 1994).

Except for few reported examples (de Oliveira et al. 2005; Nanda et al. 2006; Kapusta & Suh, 2017; O'Connor et al. 2018), most birds share a high degree of synteny conservation across autosomal chromosomes (Griffin et al. 2007; Nanda et al. 2008; Ellegren, 2010; Völker et al. 2010; Warren et al. 2010; Ellegren, 2013) and even more at the Z chromosome (Nanda et al. 2008). A notable exception is the neo-sex chromosome of Sylvioidea species, a superfamily of passerine birds in which a translocation of a large part of the Zebra finch 4A chromosome onto both the W and the Z chromosomes, as characterized by genetic mapping (hereafter translocations of the neoW-4A and neoZ-4A on ancestral W and Z sex chromosomes; Pala et al. 2012a). Terminologically, these original sex chromosomes (W or Z) are considered as specific regions of the neoW and neoZ chromosomes (hereafter neoW-W and neoZ-Z). All

85 along the manuscript, we have used this  
terminology to emphasize the fact that these  
translocations also induce substantial evolutionary  
shifts on original sex chromosomes. These two  
autosome-to-sex chromosome transitions are  
present in reed warblers (Acrocephalidae), old-  
90 world warblers (Sylviidae) and larks (Alaudidae)  
(see also Brooke et al. 2010), and therefore likely  
occurred in the common ancestor of all present-  
day Sylvioidea (Pala et al. 2012a,b; Sigeman et al.  
2018), between 15 and 30 million years ago (Myrs)  
95 (Ericson et al. 2014; Prum et al. 2015; Nabholz et al.  
2016). These two sex chromosome translocations  
provide unique opportunities to investigate the  
early stages of the W and Z chromosome evolution.

Based on phylogenetic trees calibrated  
100 using geological events (Moyle et al. 2009),  
*Zosterops* species of the family Zosteropidae (more  
commonly referred to as white-eyes) are  
considered to have emerged around the  
Miocene/Pliocene boundary. Considering both this  
105 recent emergence and the remarkable high  
diversity currently observed in this genus (more  
than 80 species), this group appears to have one of  
the highest diversification rates reported to date  
for vertebrates and is therefore considered as one  
110 of the 'great speciator' examples (Diamond et al.  
1976; Moyle et al. 2009). White-eye species are  
typical examples of taxa spanning the entire "grey  
zone" of speciation (Roux et al. 2016). As a  
consequence of these different degrees of  
115 reproductive isolation between taxa, white-eyes  
have long been used as models to study bird  
speciation (e.g. Clegg and Philimore 2010; Melo et  
al. 2011; Oatley et al. 2012; Oatley et al. 2017).  
Among all white-eyes species, the Reunion grey  
120 white-eye *Zosterops borbonicus* received  
considerable attention over the last 50 years. This  
species is endemic from the volcanic island of  
Reunion and shows an interesting pattern of  
microgeographical variation, with five distinct  
125 colour variants distributed over four specific  
regions across the 2,500 km<sup>2</sup> of island surface. Both  
plumage color differentiation data (e.g. Gill et al.

1973; Milá et al. 2010; Cornuault et al. 2015) and  
genetic data (e.g. Milá et al. 2010; Delahaie et al.  
130 2017) support this extensive within-island  
diversification. Despite its important role in the  
understanding of the diversification of *Zosterops*  
species, no genome sequence is currently available  
for this species. More broadly, only one *Zosterops*  
135 species has been sequenced to date (the silvereye  
*Z. lateralis*, Cornetti et al. 2015).

Here, we obtained detailed genome data  
from *Z. borbonicus*, a member of the  
Zosteropidae family and then arranged scaffolds  
140 into pseudochromosomes to provide insights into  
the evolutionary processes that may have  
contributed to the early stages of the sex  
chromosome evolution. We also generated a  
more fragmented genome sequence for *Z.*  
145 *pallidus* for molecular dating and molecular  
evolution analyses. We found similar breakpoint  
locations for both translocated regions suggesting  
evolution from the same initial gene sets, and  
studied the molecular evolution of the two newly  
150 sex-associated regions. By comparing levels of  
within-species nucleotide diversity at autosomal  
and sex chromosomes, we found support for a  
substantial loss of diversity on both translocated  
regions, largely consistent with expectations  
155 under neutral theory. We then compared  
patterns of polymorphisms and divergence at  
neo-sex chromosome genes and found support  
for a considerable fast-W effect, but surprisingly  
weak support for a fast-Z effect. Investigations of  
160 candidate changes in base led to the  
identification of specific signatures associated  
with abrupt changes in recombination rates  
(reduction or cessation) of the two neo-sex  
regions. Finally, we reported larger transposable  
165 elements (TE) content on the newly W than on  
the newly Z regions, suggesting ongoing neoW  
chromosome degeneration.

## RESULTS

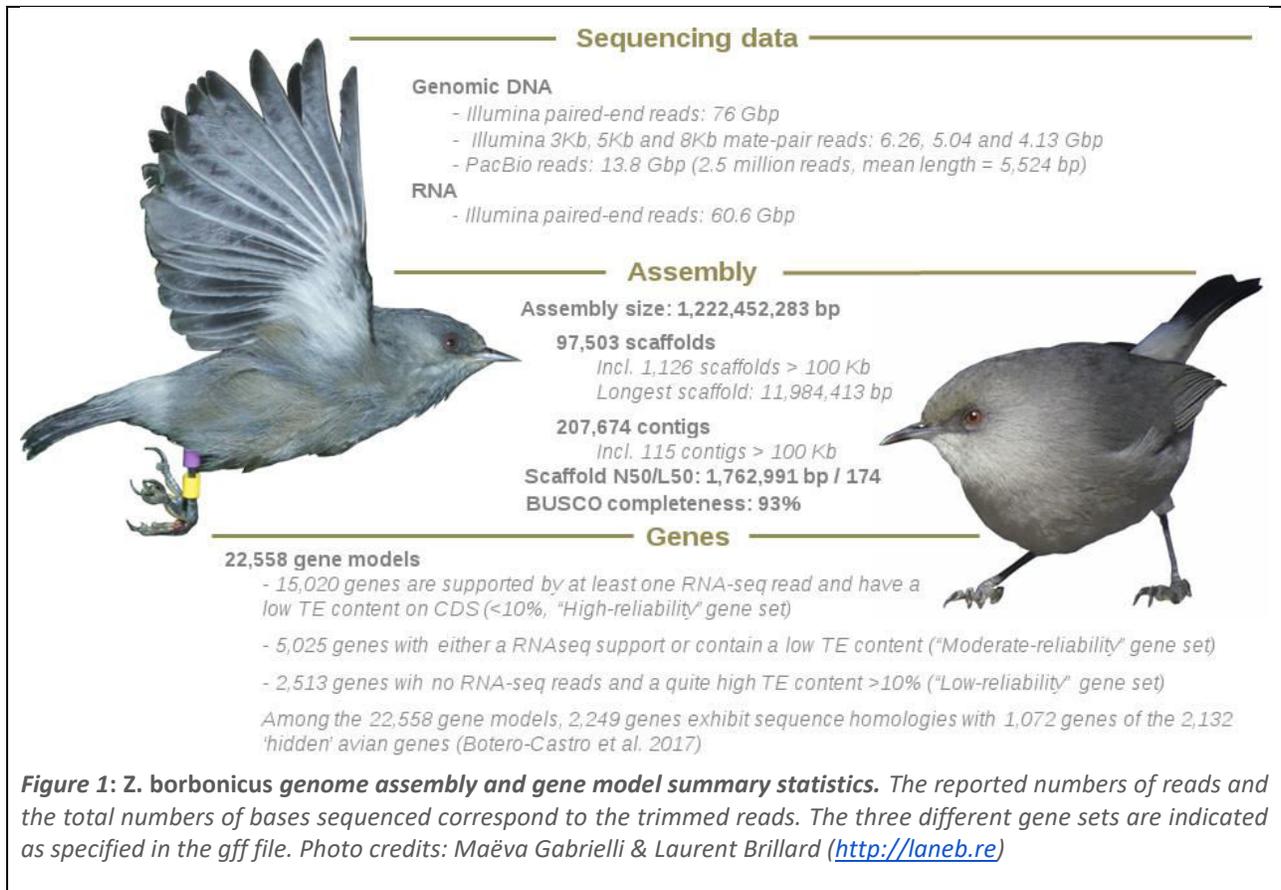
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### *ZOSTEROPS BORBONICUS* GENOME ASSEMBLY

Using a strategy combining long-read sequencing with PacBio and short-read Illumina sequencing with both mate-pairs and paired-end reads, we  
175 generated a high-quality reference genome for a female Reunion grey white-eye captured during a field trip to Reunion (Mascarene archipelago, southwestern Indian Ocean). The 1.22 gigabase genome sequence comprises 97,503 scaffolds (only  
180 3,757 scaffolds after excluding scaffolds smaller than 10kbp), with a scaffold N50 of 1.76 Mb (Fig. 1, Table S1). The BUSCO completeness statistic seems particularly good (93.0%). Among all investigated avian species, the 'GRCg6a' chicken genome

185 assembly is the only one exceeding this value (93.3%) (Fig. S1). Compared to the other species, our *Z. borbonicus* reference assembly also exhibits the lowest proportions of 'missing' (2.5%, a value only observed for the reference chicken genome) and 'fragmented' genes (4.3%, a value which is 0.1% higher than for the reference chicken assembly).

Using the PASA pipeline combined with EvidenceModeler (Haas et al. 2008; Haas et al. 2011) and several *in silico* tools trained by the RNAseq data, a total of 22,558 gene models were predicted. Among these 22,558 genes, half of the "hidden" avian genes identified by Botero-Castro et al. (2017), *i.e.* a large fraction of avian genes in GC-rich regions missing from most avian genome assemblies, were recovered (1,072 out of 2,132).



The vast majority of the 22,558 CDS  
205 (83.3%) are supported by at least one RNA-seq  
read (18,793 CDS), including 17,474 with a FPKM  
above 0.01. Among the 22,558 gene models, TE  
content is globally low (8.5%), but 4,786 genes  
210 exhibit a TE content in coding regions greater than  
0.25, including 1,512 genes predicted to be TE over  
the total length of the CDS (Fig. S2). Still more  
broadly, the level of expression is strongly  
negatively correlated with the within-gene TE  
content ( $r^2=0.038$ ,  $p<2.2\times 10^{-16}$ , after excluding 709  
215 genes with FPKM>100).

Additionally, we generated a genome  
assembly of a female Orange River white-eye (*Z.  
pallidus*) using 10X mate-pair and 72X paired-end  
reads after cleaning. This assembly is much more  
220 fragmented (170,557 scaffolds and scaffold N50 =  
375 Kb, Table S1) than the *Z. borbonicus*. Both *Z.  
borbonicus* and *Z. pallidus* assemblies are available  
from Figshare repository URL:  
<https://figshare.com/s/122efbec2e3632188674>.  
225 [GenBank accessions will be provided upon  
publication].

## REFERENCE-ASSISTED GENOME ORGANIZATION

We then anchored scaffolds using the  
230 v3.2.4 reference genome of the zebra finch  
(Warren et al. 2010) assuming synteny. We used  
the zebra finch as a pivotal reference since this  
reference sequence is of high-quality, with 1.0 of  
1.2 gigabases physically assigned to 33  
235 chromosomes including the Z chromosome, plus  
three additional linkage groups based on genetic  
linkage and BAC fingerprint maps (Warren et al.  
2010). We anchored 928 among the longest  
scaffolds to the zebra finch chromosomal-scale  
240 sequences, thus representing a total of 1.01 Gb  
among the 1.22 Gb of the *Z. borbonicus* assembly  
(82.8%).

In parallel to the zebra finch-oriented  
approach, we used DeCoSTAR (Duchemin et al.  
245 2017), a tool that improves the assembly of several

fragmented genomes by proposing evolutionary-  
induced adjacencies between scaffolding  
fragments containing genes. To perform this  
analysis, we used the reference sequences of 27  
250 different avian species (Table S2) with associated  
gene tree phylogeny of 7,596 single  
copy orthologs (trees are available from the  
following Figshare repository, URL:  
<https://figshare.com/s/122efbec2e3632188674>).

255 Among the 97,503 scaffolds (800 containing at  
least one orthologous gene), DeCoSTAR organized  
653 scaffolds into 188 super-scaffolds for a total of  
0.837 Gb (68.5% of the *Z. borbonicus* assembly),  
thus representing a 2.59-fold improvement of the  
260 scaffold N50 statistic (4.56Mb). Interestingly,  
among the 465 scaffold junctions, 212 are not only  
supported by gene adjacencies within the other  
species, but are also supported by at least one *Z.  
borbonicus* paired-end read. From a more global  
265 point of view, DeCoSTAR not only improved the *Z.  
borbonicus* genome, but also those of 25 other  
species (mean gain in scaffold N50 over 11Mb,  
representing 3.30-fold improvement on average,  
range: 1.0-6.02). The only exception is the already  
270 well-assembled chicken genome reference. For all  
these species, the proposed genome organization  
("agp file") were made available at the following  
URL:  
<https://figshare.com/s/122efbec2e3632188674>.

275 We then combined zebra finch-oriented  
and DeCoSTAR approaches for the *Z. borbonicus*  
genome, by guiding DeCoSTAR using the a priori  
information of the zebra finch-oriented approach  
to get beyond two limitations. First, DeCoSTAR is a  
280 gene-oriented strategy, and thus cannot anchor  
scaffolds without genes that have orthologous  
analogues in the other species, which is generally  
the case for short scaffolds. Second, the zebra-finch  
oriented approach assumes a perfect synteny and  
285 collinearity between *T. guttata* and *Z. borbonicus*,  
which is unlikely. By combining both approaches,  
we were able to anchor 1,082 scaffolds, including  
1,045 scaffolds assigned to chromosomes  
representing a total 1.047 Gb (85.7% of the *Z.*

290 *borbonicus* assembly). In addition, DeCoSTAR  
helped propose more reliable *Z. borbonicus*  
chromosomal organizations for these 1,045  
scaffolds by excluding some *T. guttata*-specific  
intra-chromosomal inversions.

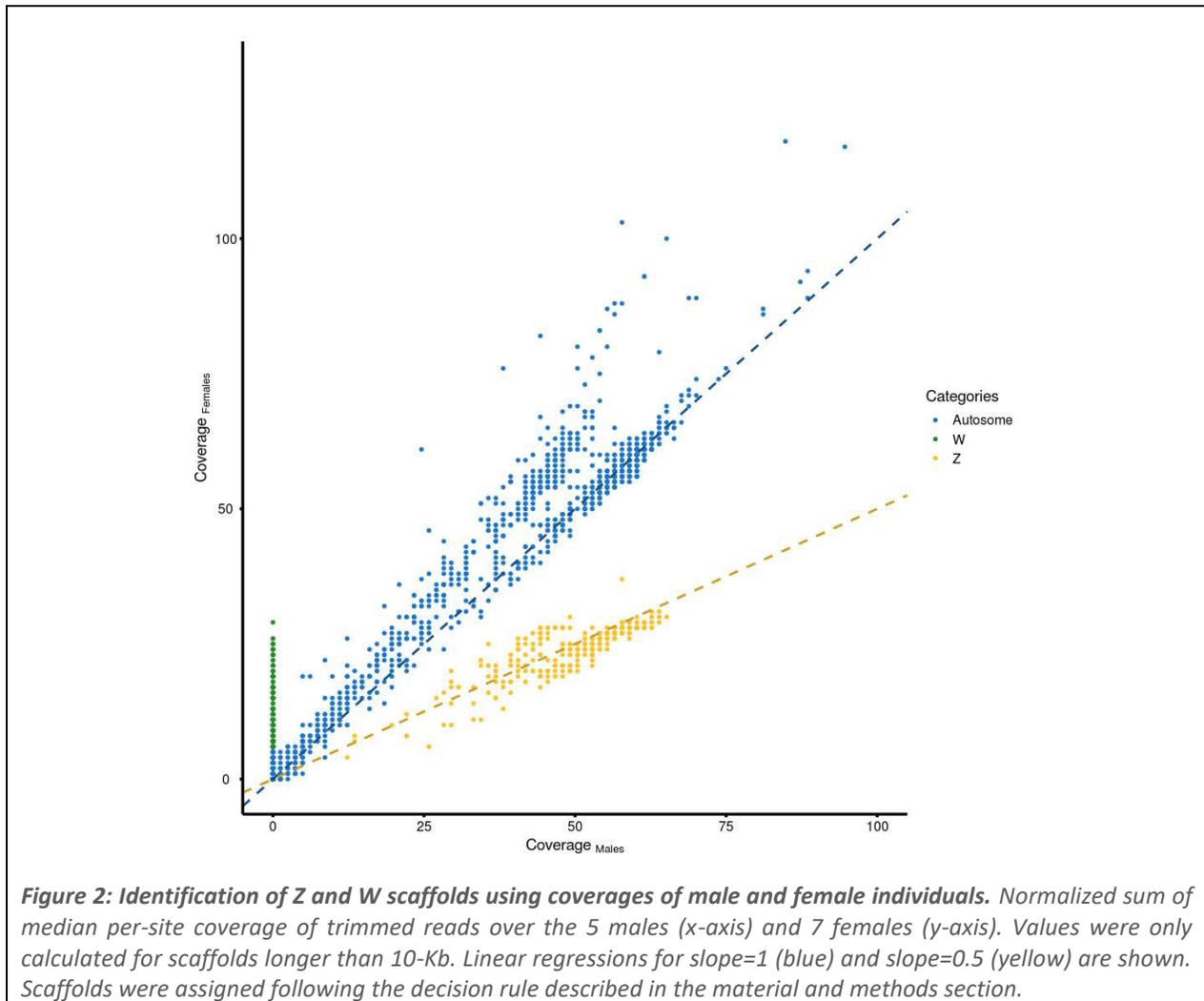
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### ASSIGNING SCAFFOLDS TO W AND Z CHROMOSOMES

To identify sex chromosome scaffolds, we  
first mapped trimmed reads from males and  
300 females *Z. borbonicus* individuals which were  
previously sequenced by Bourgeois et al. (2017)  
and then computed median per-site coverage over  
each scaffold for males and females (Fig. 2). After

taking into account differences in coverage  
305 between males and females, we then identified  
scaffolds that significantly deviated from 1:1 and  
identified neoW and neoZ scaffolds (see methods  
section). This strategy led to the identification of  
218 neoW (7.1 Mb) and 360 neoZ scaffolds (91.8  
310 Mb) among the 3,443 scaffolds longer than 10 kb  
(Fig. 2). Among the 360 neoZ scaffolds assigned by  
coverage, 339 scaffolds were already anchored to  
the neoZ chromosome based on the synteny-  
oriented approach, thus confirming the accuracy of  
315 our previous assignation and suggesting that we  
have generated a nearly complete Z chromosome  
sequence. The list of scaffolds identified on the two  
neo-sex chromosomes was made available :  
<https://figshare.com/s/5ad54809ed89dba83db7>.

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Due to the absence of a chromosomal-scale W sequence for a passerine bird species, we are unable to provide a chromosomal structure for the 218 neoW scaffold. We however assigned 174  
330 among the 218 neoW scaffolds to the neoW-4A region. These scaffolds representing a total length of 5.48 Mb exhibited high levels of homology with the zebra finch 4A chromosome. We found support for neoW-4A scaffolds aligning between positions  
335 21,992 and 9,603,625 of the *T. guttata* 4A chromosome (thus representing 57% of the corresponding 9.6 Mb 4A region). Leaving aside the difficulty of sequencing and assembling the neoW chromosome (Tomaszkiewicz et al. 2017), a large  
340 part of the difference between the total assembled size and the corresponding region in *T. guttata* is likely due to a 1.75 Mb chromosomal deletion on the neoW-4A. Indeed, we found no neoW scaffold with a homology to the large 4A *T. guttata* region  
345 between positions 1,756,080 and 3,509,582. Based on the *T. guttata* reference genome, this region was initially gene-poor, since only two *T. guttata* genes were found in this large genomic region (*i.e.* 1.1 genes/Mb), as compared to the 142 genes  
350 observed on the whole translocated region (*i.e.* 14.8 genes/Mb). Remaining neoW scaffolds, *i.e.* those having no homology with the 4A *T. guttata* region, were considered as sequences belonging to the ancestral W chromosome (hereafter neoW-W  
355 region), except for six scaffolds showing reliable hits but only at specific locations of the scaffold, and for which the accuracy of any assignation was considered too low.

## 360 MOLECULAR DATING

The molecular phylogenetic analyses were aimed at estimating the divergence time of the *Zosterops* genus. Indeed, even if our focal dataset is composed of only 3 species, the divergence  
365 between *Z. pallidus* / *Z. borbonicus* and *Z. lateralis* represents the first split within the genus except *Z. wallacei*, *i.e.* the origin of clade B in Moyle et al. (2009). As a consequence, our phylogeny (Fig. 3,

S3) is expected to provide an accurate estimate for  
370 the onset of the diversification of the *Zosterops* lineage. For this molecular dating, we added 3 species to the 27 species used in the previous analyses and generated gene sequence alignments. Given that these newly added species - namely the silvereye, Orange River white-eye and willow warbler - have no gene models available yet, we used the AGILE pipeline (Hughes & Teeling 2018, see method) to obtain orthologous sequences. Due to the inherent computational burden of Bayesian  
375 molecular dating analyses, we randomly selected 100 alignments among the least GC rich single-copy orthologs and performed 10 replicated analyses (chronograms available at FigShare URL: <https://figshare.com/s/122efbec2e3632188674>).  
380 Indeed, GC poor genes are known to be slowly and more clock-like evolving genes as compared to the other genes (Jarvis et al. 2014).

We used several combinations of fossil calibrations and substitution models (Table S3). For the radiation of Neoaves, all analyses led to molecular dating consistent with Jarvis et al. (2014) and Prum et al. (2015) with estimates around 67-70 Myrs, except for the calibration set 4 (82 Myrs), albeit with large confidence intervals (CI = 64 - 115  
395 Myrs) (Table 1). Calibration set 4 is very conservative with no maximum calibration bound except for the Paleognathae / Neognathae set to 140 Myrs. In contrast, calibration set 3 is the more constrained with the Suboscines / Oscines split  
400 bounded between 28 - 34 Myrs. Unsurprisingly, this calibration led to the youngest estimates, dating the origins of passerines at 59 Myrs (56 - 63 Myrs) and the Paleognathae / Neognathae at 65 Myrs (63 - 69 Myrs).

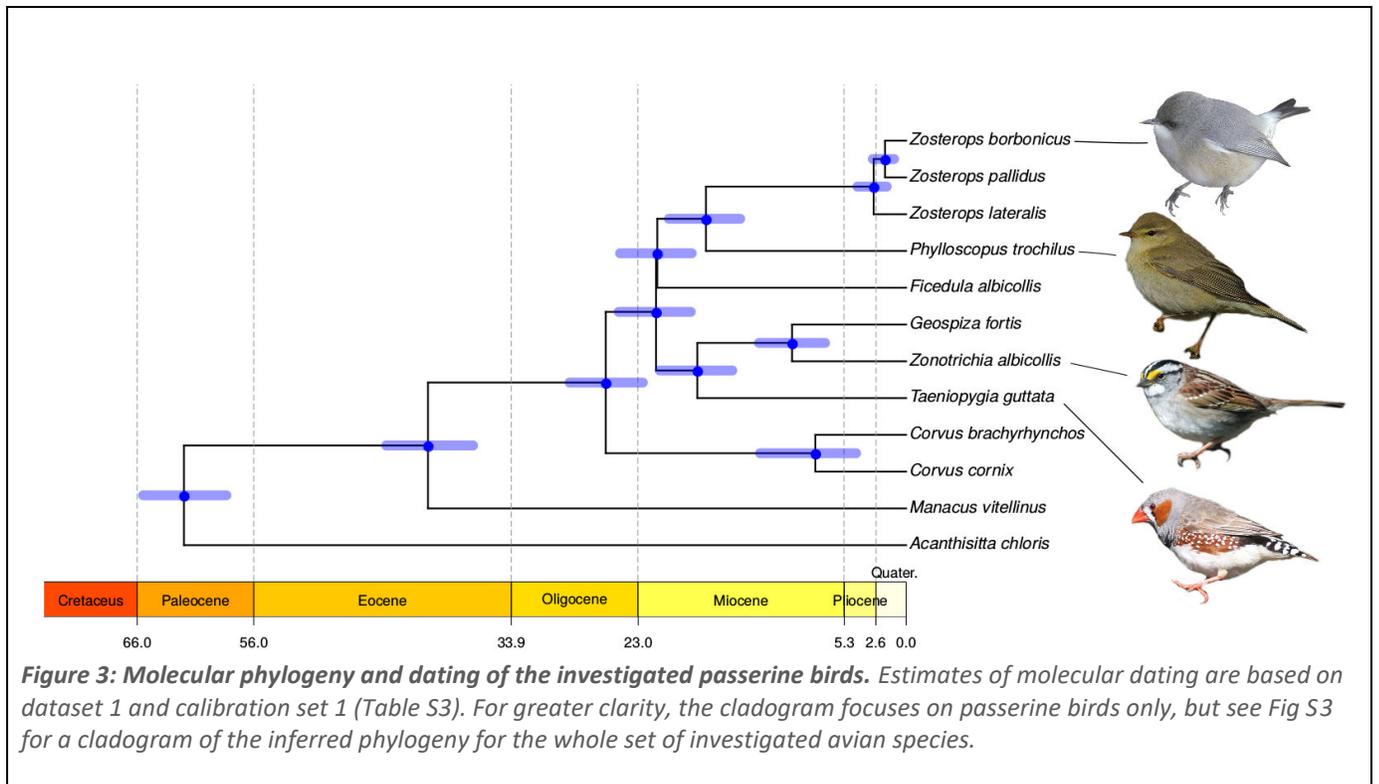
In all runs, our estimates of the origin of *Zosterops* have lower limits of CI including 2.5 Myrs and mean estimates are also often considerably lower than this value (Table 1). Using the calibration set 1, the ten replicated datasets gave a  
410 mean age estimate for the origin of *Zosterops* around 2 million years ago (Table 1).

**Table 1: Molecular dating analyses.** Mean dates are indicated and 95% confidence intervals (CI) are provided within parentheses

Datasets*	Calibration set**	Crown Zosterops	Zosterops / Phylloscopus	Corvus / Passerida	Passerines	Crown Neoaves
1	1	2.8 (1.7, 4.2)	17.2 (14.2, 20.4)	25.8 (22.6, 28.9)	62 (58.3, 65.5)	67.1 (63.2, 71.2)
1	2	3.1 (1.8, 4.6)	18.4 (15.2, 22.2)	27.3 (23.7, 31.5)	64.8 (58.5, 71.9)	70.1 (63.5, 77.5)
1	3	1.9 (1.3, 2.8)	12.7 (10.9, 14.7)	19.5 (17.5, 21.4)	59.1 (56.5, 62.9)	65 (63, 69.1)
1	4	3.5 (1.9, 6.1)	21.4 (15.4, 31.6)	31.9 (23.9, 45.2)	75.9 (59.4, 106.4)	82.1 (64.2, 114.9)
2	1	0.9 (0.5, 1.4)	7.8 (5.1, 10.3)	13.4 (9.7, 16.5)	62.9 (58.9, 66.3)	68 (63.7, 71.9)
3	1	2.0 (1.3, 3.2)	12.4 (9.7, 16.2)	19.5 (15.9, 23.5)	62 (58.5, 65.6)	67.1 (63.1, 71.1)
4	1	1.8 (1.2, 2.9)	11.9 (8.6, 15.4)	18.7 (14.1, 22.8)	61.1 (57.5, 65.3)	68.2 (64.2, 72.6)
5	1	1.7 (1, 2.6)	11 (8, 14.7)	17.3 (13.1, 21.8)	61.6 (57.7, 65.4)	68.1 (64, 72.3)
6	1	3.2 (1.9, 4.9)	16.8 (13.9, 19.9)	23.8 (20.8, 26.5)	62 (58.3, 65.4)	67.2 (63.1, 71.3)
7	1	2.2 (1.2, 3.5)	13.3 (9.8, 16.8)	20.6 (16.5, 24.3)	64.7 (62.9, 65.7)	71.8 (69.7, 73.9)
8	1	2.1 (1.3, 3.5)	13.2 (9.9, 17.2)	21.2 (16.9, 25.3)	64.6 (62.4, 65.7)	69.7 (67.3, 71.6)
9	1	1.5 (0.9, 2.5)	9.5 (6.6, 12.6)	16.9 (12.8, 20.9)	65.3 (63.5, 66.7)	72.3 (69.9, 74.5)
10	1	1.9 (1.3, 2.8)	12.4 (9.6, 14.9)	19.4 (15.6, 22.3)	61.7 (57.4, 65.5)	68.5 (63.8, 73.1)

\* Different numbers indicate independent replicated datasets of 100 randomly selected orthologs

\*\* Number corresponds to the different calibration sets used and presented in Table S3



More broadly, our analysis is consistent with a recent origin of *Zosterops*, with a crown clade age of less than 5 Myrs and probably between 1 and 3.5 Myrs (Table 1).

Additionally, we performed a completely independent molecular dating by applying the regression method proposed in Nabholz et al. (2016). Based on 9 full *Zosterops* mitochondrial genomes, we calculated molecular divergence. The divergence between *Z. lateralis* and the other *Zosterops* has a median of 0.205 subst./site (max = 0.220, min = 0.186) for the third codon position. Assuming a median body mass for the genus of 10.7g (Dunning, 2007), we estimated a divergence date between 2.3 and 6.2 Myrs. These estimates are in line with our previous dating based on nuclear data and with molecular dating based on fossil calibration confirming the extremely rapid diversification rate of white-eyes.

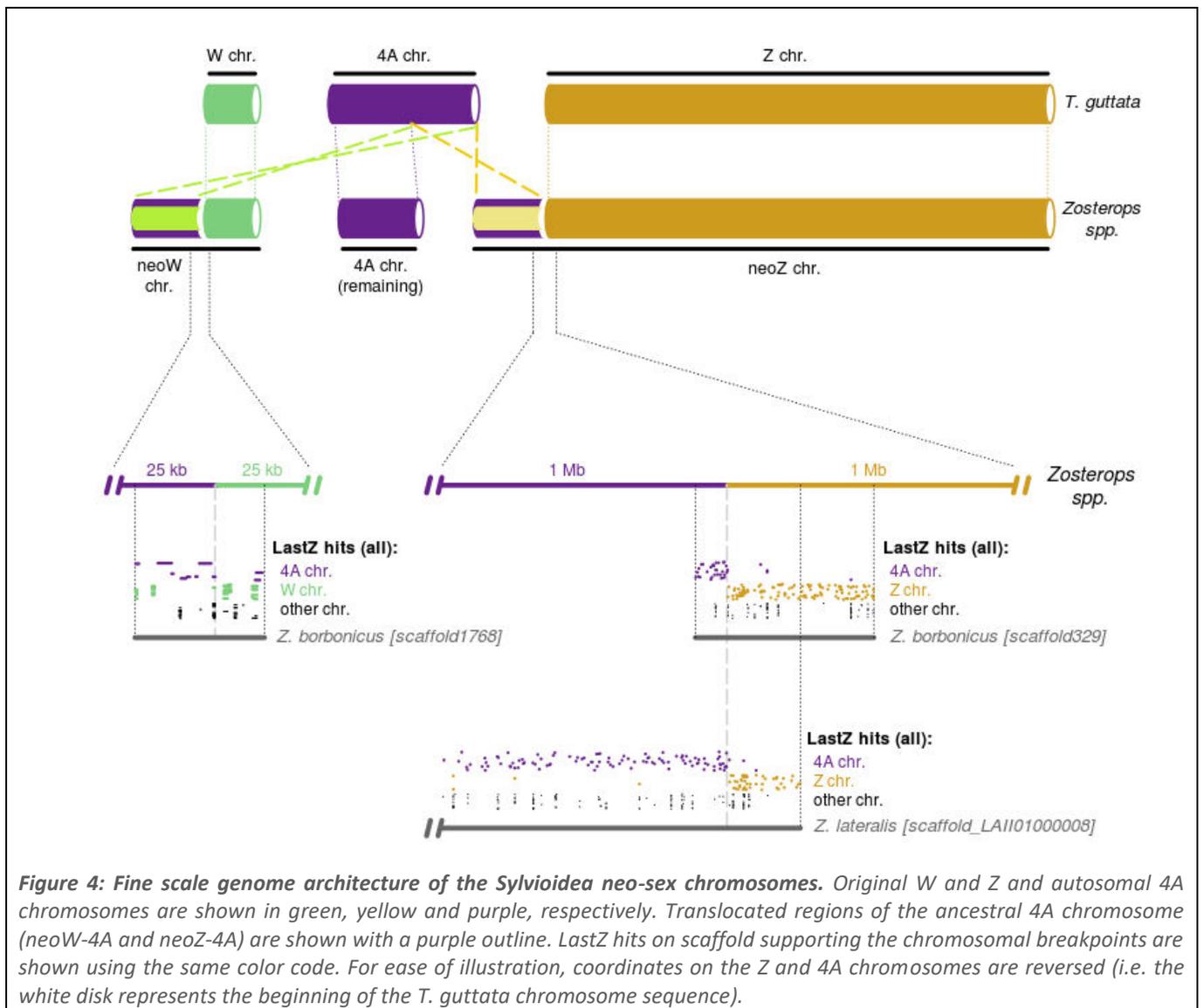
#### 440 CHROMOSOMAL BREAKPOINTS

Our synteny-oriented approach using pairwise whole-genome alignments of *Z. borbonicus* and *T. guttata* sequences helped us identifying the chromosomal breakpoint of the neoZ sex chromosome. We reported a scaffold (scaffold329) with long sequence alignments with both the 4A and the Z chromosomes (Fig. 4). Considering the intervals between the last LastZ hit on the 4A and the first one on the Z chromosome, we estimated that this breakpoint occurred between positions 9,605,374 and 9,606,437 of the 4A zebra finch chromosome (genome version : v.3.2.4), which is fairly close to the estimate of 10Mb previously reported by Pala et al. (2012a). Based on the soft-masked version 3.2.4 of the zebra finch genome, this 1kb region is well assembled (no ambiguous “N” bases) and shows no peculiarities in TE or GC content (7.4% and 39.0%,

460 respectively) as compared to the rest of the zebra  
finch 4A chromosome (18.7% and 43.7%).

To discard the potential confounding  
factor of a sequence artifact due to a chimerism in  
the *Z. borbonicus* assembly, we used the procedure  
465 for the sequence assembly of *Z. lateralis* (Cornetti  
et al. 2015) and identified a scaffold  
(LAI101000008.1) supporting the same  
chromosomal breakpoint. Using the *Z. lateralis*  
sequence, we estimated that this breakpoint  
470 occurred between positions 9,605,524 and  
9,606,431.

We then investigated the chromosomal  
breakpoint for the neoW (Fig. 4). We identified a  
candidate scaffold, *scaffold1768\_size33910*, with  
475 alignment hits on both the 4A *T. guttata* and the W  
of *F. albicollis* (Smeds et al. 2015). Among all W  
scaffolds, this scaffold aligns at the latest positions  
of the 4A *T. guttata* chromosome (from 9,590,067  
to 9,603,625), which is remarkably close to our  
480 estimation for the region translocated on the neoZ  
chromosome. Considering also alignments against  
contigs of the *F. albicollis* W chromosome, we



**Figure 4: Fine scale genome architecture of the Sylvioidea neo-sex chromosomes.** Original W and Z and autosomal 4A chromosomes are shown in green, yellow and purple, respectively. Translocated regions of the ancestral 4A chromosome (neoW-4A and neoZ-4A) are shown with a purple outline. LastZ hits on scaffold supporting the chromosomal breakpoints are shown using the same color code. For ease of illustration, coordinates on the Z and 4A chromosomes are reversed (i.e. the white disk represents the beginning of the *T. guttata* chromosome sequence).

estimated that the chromosomal breakpoint probably occurred between positions 9,603,625 and 9,605,621.

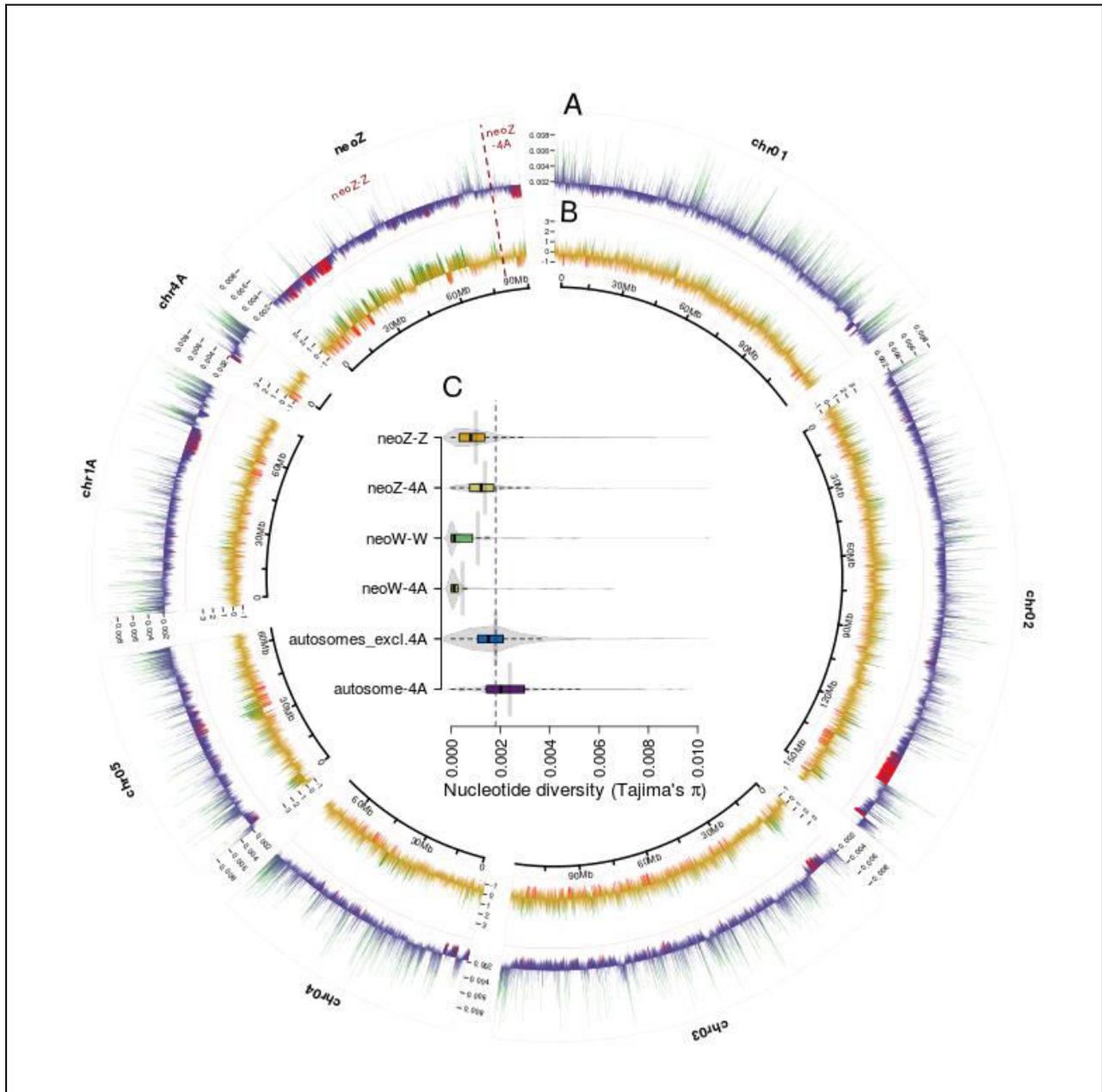
#### 490 CHROMOSOMAL-SCALE ESTIMATES OF NUCLEOTIDE DIVERSITY

We then investigated the chromosomal breakpoint for the neoW (Fig. 4). We identified a candidate scaffold, *scaffold1768\_size33910*, with alignment hits on both the 4A *T. guttata* and the W of *F. albicollis* (Smeds et al. 2015). Among all W scaffolds, this scaffold aligns at the latest positions of the 4A *T. guttata* chromosome (from 9,590,067 to 9,603,625), which is remarkably close to our estimation for the region translocated on the neoZ chromosome. Considering also alignments against contigs of the *F. albicollis* W chromosome, we estimated that the chromosomal breakpoint probably occurred between positions 9,603,625 and 9,605,621.

We used sequencing data from 6 *Z. borbonicus* individuals (3 males and 3 females) sequenced by Bourgeois et al. (2017) to explore the genomic landscape of within-species diversity. Over all autosomal 10-Kb windows, mean nucleotide diversity (Tajima's  $\pi$ ) estimates were roughly similar in males and females ( $\pi_{\text{males}}=1.82\text{e-}3$  and  $\pi_{\text{females}}=1.81\text{e-}3$ , respectively). The nucleotide diversity landscape greatly varies within and between chromosomes (A in Fig 5, Fig S4 & S5). In addition, we identified some series of 10-Kb windows with very low level of nucleotide diversity (red bars, Fig. 5A). Interestingly, some of these regions also exhibit the highest negative values of Tajima's D (red bars, Fig. 5B & S6; e.g. the end of the chromosome 2). Small interchromosomal differences in the distribution of nucleotide diversity values were detected for both female- and male-based estimates, suggesting that both datasets give similar results at the chromosomal

level, except for the neoZ chromosome for which substantial differences were observed between the two datasets (Fig. S4). Even considering this source of variability, the neoZ chromosome still shows significant deviation from the mean autosomal diversity for both datasets ( $\pi_{\text{females}}=1.04\text{e-}3$  and  $\pi_{\text{males}}=1.34\text{e-}3$ , respectively;  $p<2\text{e-}16$  for both datasets), thus representing 57.6% and 73.5% of the mean autosomal diversity. This reduced level of nucleotide diversity was similarly detected for the neoZ-Z and the neoZ-4A regions of the neo-Z chromosome (C, Fig. 5). Based on both datasets, a lower nucleotide diversity was observed on neoZ regions as compared to the autosomal chromosomes. Mean  $\pi_{\text{males}}$  was estimated to  $1.21\text{e-}3$  for the neoZ-4A region and  $1.35\text{e-}3$  for the neoZ-Z region, corresponding to 66.6% and 74.2% of the autosomal diversity. Mean  $\pi_{\text{females}}$  values are roughly similar with  $1.37\text{e-}3$  and  $1.00\text{e-}3$ , thus representing 76.2% and 55.5% of the autosomal diversity, respectively.

Similarly, data from females only were used to estimate the level of within-species diversity variation on the neoW chromosome (C, Fig. 5). We similarly observed a reduced level of diversity as compared to the autosomal chromosomes (mean  $\pi_{\text{females}}=5.87\text{e-}4$ ,  $p<2\text{e-}16$ ), with only one-third (32.5%) of the mean nucleotide diversity estimated for the autosomes. Significant differences in Tajima's  $\pi_{\text{females}}$  were observed on the neoW-W region of the neoW chromosome and on the neoW-4A translocated region ( $p=0.009$ ), with higher diversity on the neoW-W ( $\pi=1.08\text{e-}3$ ) as compared to the neoW-4A ( $\pi=4.65\text{e-}4$ ). Median values are however much more consistent between the two regions ( $\pi=1.25\text{e-}4$  and  $\pi=1.16\text{e-}4$ , respectively), suggesting that few neoW-W windows greatly contributed to this discrepancy (C, Fig. 5).



**Figure 5: Intra- and inter-chromosomal variations in nucleotide diversity.** Variations of Tajima's  $\pi_{\text{males}}$  (A) and  $D_{\text{males}}$  (B) estimates along the 6 *Z. borbonicus* macrochromosomes, the autosomal 4A and the neo-Z chromosome. The two metrics were calculated in non-overlapping 10-Kb sliding windows. Top 2.5% and bottom 2.5% windows are shown in green and red, respectively. For both Tajima's  $\pi_{\text{males}}$  and Tajima's  $D_{\text{males}}$ , each bar shows the deviation from the mean genomic value over the whole genome (Tajima's  $\pi_{\text{males}}$  and Tajima's  $D_{\text{males}}$  baselines:  $1.82e-3$  and  $-0.26$ , respectively). C) Interchromosomal differences in Tajima's  $\pi_{\text{females}}$  between autosomes and sex chromosomes. Fig. S5 and S6 show Tajima's  $\pi$  and  $D$  along most *Z. borbonicus* chromosomes.

570 RATIOS OF NON-SYNONYMOUS TO SYNONYMOUS  
POLYMORPHISMS ( $\pi_N/\pi_S$ ) AND SUBSTITUTIONS  
( $D_N/D_S$ )

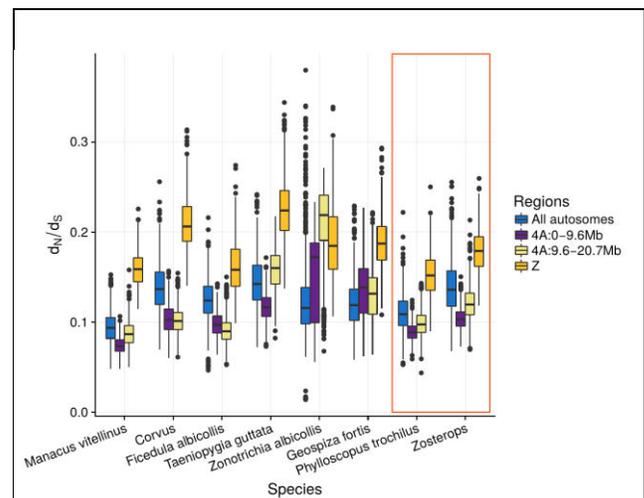
We computed  $\pi_N/\pi_S$  ratios among all genes in autosomal, neoZ and neoW chromosomes. Estimated  $\pi_N/\pi_S$  of chromosome 4A genes is slightly lower as compared to the rest of autosomal chromosomes ( $\pi_N/\pi_S = 0.212$  vs.  $\pi_N/\pi_S = 0.170$ ). NeoZ-Z exhibits slightly higher values than both autosomal sets ( $\pi_N/\pi_S = 0.282$ ), however no or very little difference has been observed between neoZ-4A ( $\pi_N/\pi_S = 0.181$ ) and autosomal chromosomes. On the contrary,  $\pi_N/\pi_S$  ratios on genes of the neoW chromosome are very high, with respectively  $\pi_N/\pi_S = 0.418$  for the neoW-4A and  $\pi_N/\pi_S = 0.780$  for the neoW-W regions.

We estimated  $d_N/d_S$  ratios for *Z. borbonicus* and 10 additional passerine species (all passerines except *A. chloris* in Fig. 1) for a total of 6,339 alignments of single-copy orthologs, corresponding to 6,073 autosomal genes, 66 on the remaining autosomal region of the 4A chromosome (hereafter autosome-4A), 164 on the neoZ-Z, 54 on the neoZ-4A and 17 on the neoW-4A. For the neoZ-4A and neoW-4A, we made a special effort to identify gametologs (paralogous sequences between neoZ-4A and neoW-4A genes, which were previously excluded during the filtering of 1:1 orthologs).

We first compared to evolution of neoZ-4A and neoZ-Z genes. To do that, we randomly subsampled the data to match the number of genes in neoZ-4A region (54) before concatenation and then computed  $d_N/d_S$ . The variability in  $d_N/d_S$  is evaluated by bootstrapping genes and creating repeated concatenation of 54 genes for each genomic region.

For all species, the  $d_N/d_S$  ratio reaches significantly higher values for neoZ-Z genes than for autosomal genes (Fig. 6). Surprisingly, we found no evidence for an increase in  $d_N/d_S$  ratios in the neoZ-

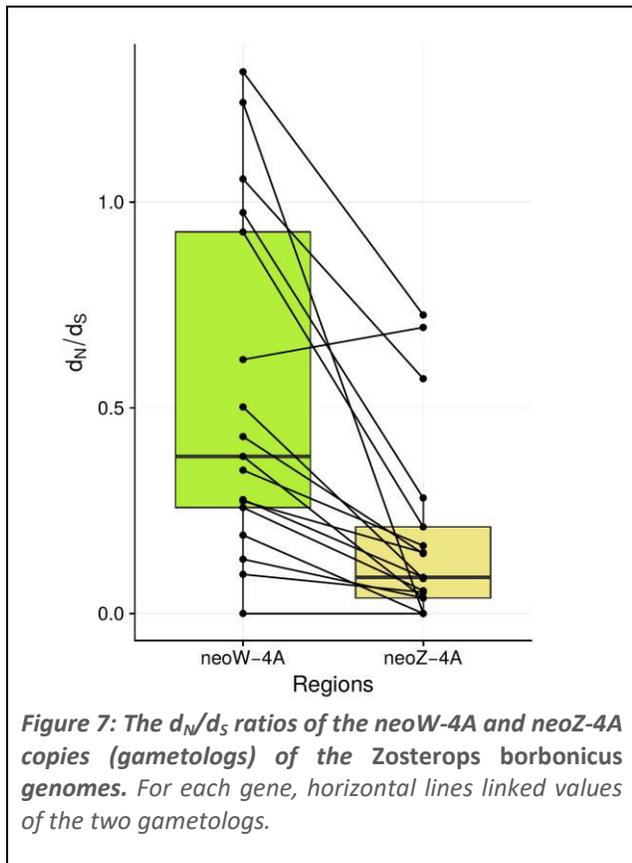
4A when compared to autosomes (Fig. 6, species in the red frame). For both the willow warbler and *Zosterops* species,  $d_N/d_S$  ratios are lower in neoZ-4A genes than in autosomal regions (willow warbler: neoZ-4A  $d_N/d_S = 0.09$  (95% CI=0.07-0.11) vs. autosomal  $d_N/d_S = 0.11$  (95% CI=0.08-0.15); for white-eyes: neoZ-4A  $d_N/d_S = 0.10$  (95% CI = 0.08-0.13) vs. autosomal  $d_N/d_S = 0.14$  (95% CI=0.09-0.20)). This also holds true when we compare genes of the ancestral 4A chromosome translocated on the neoZ chromosome (neoZ-4A, “chromosome 4A:0-9.6Mb” in Fig. 6) and genes of the 4A chromosome (“chromosome 4A:9.6-20.7Mb”). Even if we report slightly higher  $d_N/d_S$  for the translocated region as compared to the rest of the 4A chromosome, such a difference between the two ancestral 4A regions are also observed in several other species that do not have the translocation (e.g., *M. vitellinus*, *T. guttata* or *Z. albicollis*; Fig. 6), including a much bigger difference for *T. guttata* (Fig. 6). As a consequence, our  $d_N/d_S$



**Figure 6: Variation in  $d_N/d_S$  ratios among chromosomal regions for the 11 investigated species.** Estimates are performed at the genus level, i.e. on branches before the split of the two *Corvus* (*C. cornix* and *C. brachyrhynchos*) and the three *Zosterops* species (*Z. lateralis*, *Z. pallidus* and *Z. borbonicus*). The red box shows species with the translocated neoZ-4A region. For these species, the 4A:0-9.6Mb region corresponds to the neoZ-4A and the Z corresponds to the neoZ-Z.

analysis did not provide any support for a higher  $d_N/d_S$  ratio associated to the autosomal-to-Z translocation.

635 Next, we computed the  $d_N/d_S$  of the branch leading to the neoZ-4A and neoW-4A copies in the *Zosterops borbonicus* genome. In this case, the  $d_N/d_S$  of the neoW-4A genes were significantly higher than the  $d_N/d_S$  of the neoZ-4A copies (mean  
640  $d_N/d_S = 0.531$ ,  $sd = 0.417$  for neoW-4A genes; mean  $d_N/d_S = 0.194$ ,  $sd = 0.234$  for neoZ-4A genes; Wilcoxon signed rank test,  $p\text{-value} = 7.7e-5$ , Fig. 7). The increase in  $d_N/d_S$  is particularly strong, including some neoW-4A genes with  $d_N/d_S$  close or  
645 slightly higher than 1.



For the three genes with a  $d_N/d_S > 1$ , we performed a likelihood-ratio test comparing a model with a fixed  $d_N/d_S$  value equaling 1 (null model) to a model with a  $d_N/d_S$  value free to vary. All observed values  
650 were not significantly different from the null

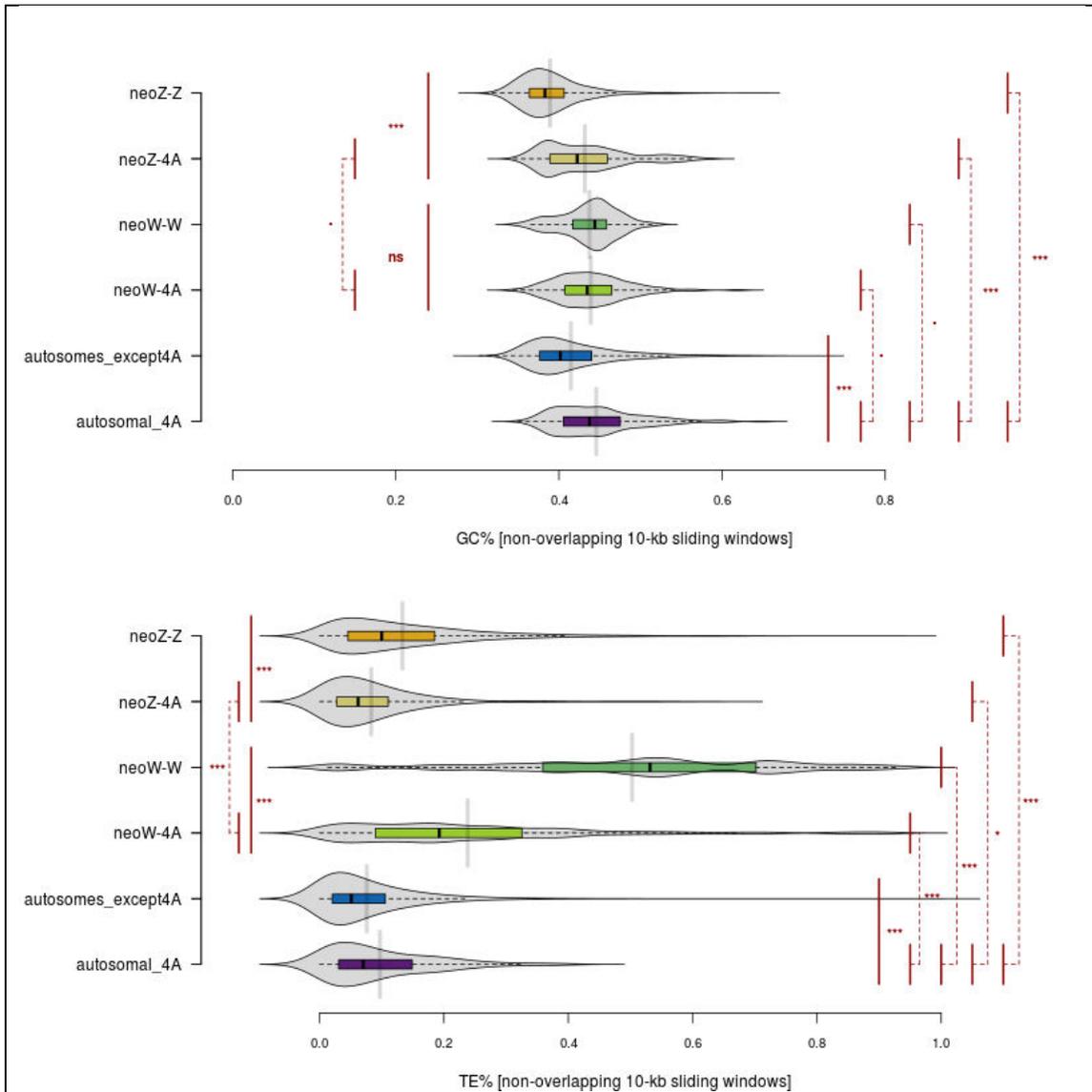
model. Based on these tests, these results are therefore more consistent with ongoing pseudogenization than positive selection. However,  
655 it should be outlined that we detected no premature stop-codon or frameshift mutation in the six neoW-W genes with the top  $d_N/d_S$  values (i.e.  $d_N/d_S$  reaching at least 0.5).

## 660 GC, GC\* AND TRANSPOSABLE ELEMENTS (TE) CONTENTS

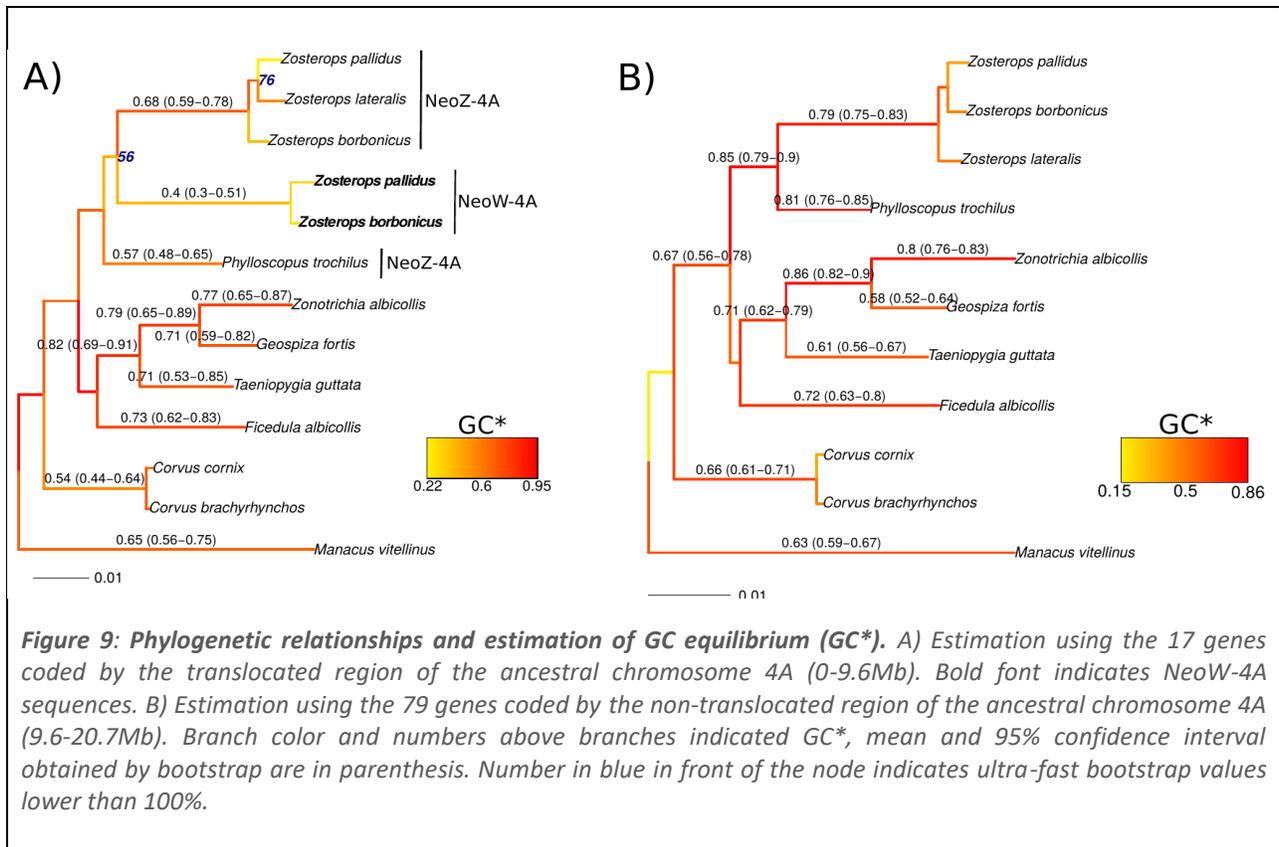
Next, we investigated the potential change in base composition after the translocation events, due to changes in recombination rates and  
665 more precisely, the recombination-associated effect of GC-biased gene conversion (gBGC, Duret & Galtier 2009, Nabholz et al. 2011, Weber et al. 2014). For this purpose, we computed the GC content over 10kb sliding windows (Fig. S7) and the  
670 GC content at equilibrium for orthologous sequences (Fig. S8). As expected, differences in GC contents are observed between chromosomes, with a higher GC content in short chromosomes. Among all chromosomes, the neoZ chromosome showed the second lowest median GC rate. Interestingly, GC content is lower in the neoZ-4A regions as compared to the autosomal 4A chromosome ( $p=2.2e-4$ ) or as compared to the neoW-4A, although this difference is only  
675 marginally significant ( $p=0.059$ ). Slight differences in GC content are observed between neoW-4A ( $p=0.066$ ) and neoW-W ( $p=0.096$ ) as compared to the autosomal-4A chromosome suggesting that the GC content of the neoW-4A likely decreased after the translocation, but to a lesser extent than for the neoZ-4A (Fig. 8). We also evaluated variation in GC content at equilibrium (GC\*) for the newly gametologs, i.e. paralogous genes in the neoW-4A and neoZ-4A regions (Fig. 9A). For these 17 genes,  
685 all Passerida species without the neo-sex regions exhibited a GC\* between 0.7 and 0.8 (Fig. 9A). Passerida species with these neo-sex chromosomes showed a slightly reduced GC\* at neoZ-4A genes (mean GC\* = 0.57 and 0.68 for the willow warblers  
690

695 and the white-eyes respectively, and a strongly reduced GC\* content at neoW-4A genes (mean=0.4, 95% CI = 0.30-0.51, Fig. 9A). In contrast, the GC\* of the non-translocated region of the

700 ancestral chromosome 4A (position 9.6-20.7Mb) apparently remains unchanged between the Sylvioidea and the other Passerida (GC\* between 0.71 and 0.86, Fig. 9B).



**Figure 8: Variation in GC (top) and TE (below) contents between chromosomes.** GC and TE contents were estimated along the whole genome using non-overlapping 10-kb sliding windows. Significance was evaluated using multiple t-tests. Thresholds: . < 0.1, \* < 0.05, \*\* < 0.01, \*\*\* < 0.001



**Figure 9: Phylogenetic relationships and estimation of GC equilibrium (GC\*).** A) Estimation using the 17 genes coded by the translocated region of the ancestral chromosome 4A (0-9.6Mb). Bold font indicates NeoW-4A sequences. B) Estimation using the 79 genes coded by the non-translocated region of the ancestral chromosome 4A (9.6-20.7Mb). Branch color and numbers above branches indicated GC\*, mean and 95% confidence interval obtained by bootstrap are in parenthesis. Number in blue in front of the node indicates ultra-fast bootstrap values lower than 100%.

705

We also found support for a higher abundance of transposable elements (TE) in the W chromosome as compared to all other chromosomes (Fig. 8 & S9). Overall, 45.1% of the cumulative size of the scaffolds assigned to the neoW-W is composed of transposable elements, which represents a 3.31- to 9.95-fold higher content than on the autosomal chromosomes. Interestingly, the TE content over 10-kb windows (Fig. 8) is also much higher on the neoW-4A than on the autosomal 4A chromosome, the other autosomes or, even more interesting, the neoZ-4A region ( $p < 2e-16$  for all these comparisons). Even if RepeatModeler was unable to classify most *Z. borbonicus*-specific TE (“no category” in Fig S9), Class I LTR elements seem to have greatly contributed to this higher content in the neoW-W, as well as in the neoW-4A chromosome (Fig S9).

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## DISCUSSION

### A NEW HIGH QUALITY REFERENCE GENOME FOR SYLVIOIDEA AND *ZOSTEROPS*

730

Using a combination of short Illumina and long PacBio reads, we have generated a high-quality bird assembly of *Z. borbonicus* with a scaffold N50 exceeding one megabase, which is comparable to the best passerine reference genomes available to date (Kapusta & Suh, 2017; Peona et al. 2018). Similar conclusions can be drawn by comparing BUSCO analyses between this assembly and a set of other 26 extant avian genome assemblies (Fig. S1). This assembly is of equivalent quality to the well-assembled congeneric species *Z. lateralis* (Cornetti et al.

740

2015), thus jointly representing important genomic resources for *Zosterops*, a bird lineage exhibiting one of the fastest rates of species diversification among vertebrates (Moyle et al. 2009).

Avian GC-rich regions are known to be underrepresented in sequencing data because Illumina library construction protocols are biased toward intermediate GC-content (Botero-Castro et al. 2017; Tilak et al. 2018; Peona et al. 2018). Combining moderate coverage (10x) PacBio and Illumina sequencing technologies, we have generated gene models for half of the “hidden” genes of Botero-Castro et al. (2017). The use of long PacBio reads seems therefore a promising solution to partially address the underrepresentation of GC-rich genes in avian genomes. In the future, it will be interesting to combine long read technologies such as PacBio or Oxford Nanopore with the Illumina library preparation proposed by Tilak et al. (2018).

To further improve the contiguities of the *Z. borbonicus* sequence, we used 26 avian species as pivotal resources to chromosomally organized scaffolds of the *Z. borbonicus* assembly. This strategy has led to a 1.047 Gb chromosome-scale genome sequence for *Z. borbonicus* (Table S1). We were able to obtain assembly statistics comparable to some assemblies using high coverage long-read data (Weissensteiner et al. 2017) or a pedigree linkage map (Kawagami et al. 2014). Importantly, the application of the DeCoSTAR strategy has not only improved the *Z. borbonicus* genome, but also those of 25 other species. Indeed, at the notable exception of the chicken reference genome, all genome assemblies have been improved using DeCoSTAR (4.19-fold improvement of the median scaffold N50), thus demonstrating the utility of the inclusion of other genome assemblies, even fragmented, to polish the genome assembly of a species of interest (Duchemin et al. 2017; Anselmetti et al. 2018).

## CONFIRMING *ZOSTEROPS* AS GREAT SPECIATORS

The availability of the *Z. borbonicus* genome sequence is also an important step to study the evolution of the *Zosterops* lineage, which was described as the “Great Speciator” (Moyle et al. 2009; Cornetti et al. 2015). Indeed, the availability of sequence data for two new species (*Z. borbonicus* and *Z. pallidus*), in addition with the sequence of *Z. lateralis* (Cornetti et al. 2015) helped us to validate the recent origin of this taxon. Moyle et al. (2009) estimated that the white-eyes genus (except *Z. wallacei*) originated in the early pleistocene (~2.5 Myrs). With more than 80 species, this clade exhibits an exceptional rate of diversification compared to other vertebrates (net rate of diversification without extinction ( $r$ ) : 1-2.5 species per Myr, Magallon and Sanderson, 2001 cited in Harmon, 2018).

The divergence time estimated by Moyle et al. (2009) was based on a biogeographic calibration obtained from the ages of the Solomon Islands. These biogeographic calibrations should however be interpreted with care as previous phylogenetic studies found evidence for older lineages than the emergence ages of the islands for which they are endemic (Hedges, 2005; Hedges, 2011). This could be the consequence of extinction of mainland relatives leading to long branches of some island species (Hedges, 2005; Hedges, 2011). More recently, Cai et al. (2019) have obtained similar dates using a larger phylogeny but, again, have applied a biogeographic calibration. In this study, we took the opportunity to combine genetic information of these three *Zosterops* with the other investigated bird species to obtain new estimates using independent fossil calibrations to reassess the conclusions of Moyle et al. (2009) regarding the recent and extensive diversification of *Zosterops*. Using two independent datasets (mitochondrial and nuclear) and methods, we

confirmed the recent origin of the genus. Our analyses are consistent with a diversification of white-eyes over less than 5 Myrs and that could be as young as 1 Myr. With the exception of the African Great Lakes cichlids (Genner et al. 2007), the genus *Zosterops* represents one of the most exceptional diversification rates among vertebrates (Lagomarsino et al. 2016). As an example, it is more than ten times higher than the average diversification rate estimated across all bird species by Jetz et al. (2009). Even the large and relatively recent radiation of the Furnariidae (ovenbirds and woodcreepers) has a net rate of diversification markedly lower than the white-eyes ( $r = 0.16$ ; Derryberry et al. 2010).

#### NO EVIDENCE FOR A FAST-NEOZ EFFECT

Taken all together, our analyses are surprisingly consistent with a pattern of substantial reduction of nucleotide diversity, but a low impact of the autosome-to-Z translocation on the molecular evolution of *Z. borbonicus*.

First, using pairwise genome alignments of the zebra finch genome with either the *Z. borbonicus* or the *Z. lateralis* genomes, we found support for a narrow candidate region of 1kb around position 9.606 Mb of the v.3.2.4 zebra finch 4A chromosome, in which the chromosomal breakpoint likely occurred. This result is consistent and fairly close to the estimate of 10Mb suggested by Pala and collaborators (2012a) who first demonstrated the translocation of approximately a half of the zebra finch 4A on the Z chromosome using an extended pedigree of the great reed warbler, a Sylvioidea species. A recent article reported a similar estimate (9.6 Mb) in another Sylvioidea species, the common whitethroat (Sigeman et al. 2018). To get this estimate, these latter authors used a very similar approach to ours (H. Sigeman, personal communication).

Second, relative estimates of within-species diversity on both sides of this chromosomal breakpoint (*i.e.* neoZ-4A and neoZ-Z regions) were estimated. As compared to all autosomes, neoZ-4A and neoZ-Z regions of the neo-Z chromosome exhibit reduced levels of within-species diversity in both the ancestral Z chromosome (*i.e.* neoZ-Z:autosomes = 0.605) as well as in the newly translocated region (neoZ-4A:autosomes=0.782), consistent with a substantial loss of diversity associated with this autosome-to-sex transition, following the expected effects of changes in effective population sizes. The neoZ-4A:autosomal-4A nucleotide diversity reported is slightly higher than 0.75 but is in line with a previous report for the common whitethroat (0.82, Pala et al. 2012b). Strongest deviations have however been reported in two other Sylvioidea species, namely the great reed warbler and the skylark (0.15 and 0.42, respectively) but some of the variation might be explained by the moderate number of loci analyzed (Pala et al. 2012b). Sex ratio imbalance or selection are known to contribute to strong deviations from neutral equilibrium expectations of three-fourths (reviewed in Ellegren 2009 and Wilson Sayres, 2018).

Third, we found no support for a fast-Z evolution in the neoZ-4A region, neither an elevated ratio of non-synonymous to synonymous polymorphisms ( $\pi_N/\pi_S$ ) nor substitutions ( $d_N/d_S$ ) at neoZ-4A genes when compared with autosomal-4A sequences. This result is intriguing as the decrease of nucleotide diversity observed on the neoZ-4A is expected to reflect a decrease in  $N_e$  and, therefore, a decrease in the efficacy of natural selection. This should result in an increase of the frequency of slightly deleterious mutations (Ohta 1992; Lanfear et al. 2014). The increase in  $d_N/d_S$  of avian Z-linked genes compared to autosomes - a pattern that we recovered well in our analyses - has often been interpreted in that way (Mank et al. 2010; Wright et al. 2015). We propose several

915 potential hypotheses to explain the absence of  
fast-Z on the neoZ-4A regions. First, the  
hemizygous status of neoZ-4A regions could help  
to purge the recessive deleterious mutation and,  
therefore, limit the increase of  $\pi_N/\pi_S$  and  $d_N/d_S$  as  
920 reported in Satyrinae butterflies (Rousselle et al.  
2016). Second, the intensity of purifying selection  
is not only determined by  $N_e$  but also by gene  
expression (Drummond & Wilke 2008; Nguyen et  
al. 2015) and recombination rate (Hill &  
925 Roberston 1966). It is therefore possible that the  
expression pattern of neoZ-4A genes has changed  
after the translocation. The change in  
recombination rate, however, seems to go in the  
opposite direction as GC and GC\* decrease in the  
930 neoZ-4A region, suggesting a decrease in  
recombination rate and, therefore, a decrease in  
the efficacy of natural selection.

Finally, base composition has changed  
after the translocation to the Z chromosome. We  
935 indeed found evidence for lower GC content and  
GC\* in the neoZ-4A region than in the remaining  
autosomal region of the 4A chromosome. In  
birds, as in many other organisms, chromosome  
size and recombination rate are negatively  
940 correlated (Backström et al. 2010), probably  
because one recombination event occurs per  
chromosome arm per meiosis. Given that GC  
content strongly negatively correlates with  
chromosome size (Eyre-Walker, 1993; Pessia et  
945 al. 2012), the observed difference in GC content  
is a likely consequence of changes in the intensity  
of the recombination-associated effect of gBGC  
(Duret & Arndt, 2008; Duret & Galtier, 2009),  
resulting from the instantaneous changes in  
950 chromosome sizes due to the translocation. From  
the translocated region of the ancestral 4A  
chromosome point of view, the chromosomal  
context has drastically changed from an ancestral  
~21 Mb 4A chromosome to a ~90 Mb neo-Z  
955 chromosome probably with a lower  
recombination rate. Similarly, from the remaining  
4A chromosome point of view, the chromosomal  
context has drastically changed too, from a

~21Mb to a ~11Mb chromosome. As a  
960 consequence, we can expect that base  
compositions have evolved in the opposite  
direction with an increase in GC. However, this  
hypothesis must be qualified since we were  
unable to find any change in GC\* at autosomal 4A  
965 genes, suggesting that the chromosome-scale  
recombination rate might not have been  
impacted by the translocation. This could be  
possible if the translocation occurred close to the  
centromere (*i.e.* a nearly whole-arm  
970 translocation). In this case, the overall  
recombination rate is not expected to change.

## CONVERGENT CHROMOSOMAL FOOTPRINTS OF A NEO $\mathbf{W}$ DEGENERATION

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Degeneration of the non-recombining  
chromosome, *i.e.* the chromosome only present  
in the heterogametic sex, has been explored in  
depth in a variety of species (*e.g.* Bachtrog &  
980 Charlesworth, 2002; Papadopulos et al. 2015).  
Long-term gradual degeneration through the  
accumulation of deleterious mutations, partial  
loss of adaptive potential and gene losses is  
expected to start soon after species cease to  
985 recombine due to a series of factors including  
Muller's ratchet, linked selection and the Hill-  
Robertson effect (Charlesworth & Charlesworth,  
2000; Bachtrog, 2005; Sun & Heitman 2012).

To investigate this degeneration, we  
990 have first identified 218 scaffolds with a female-  
specific pattern in read coverage, for a total of  
7.1 Mb. Among these scaffolds, we have assigned  
174 scaffolds to the neoW-4A region, because of  
a high level of homology with the zebra finch 4A  
995 chromosome, thus representing a neoW-4A of a  
total length of 5.48 Mb. The absence of any  
neoW scaffold homologous to the 4A *T. guttata*  
chromosome between positions 1,756,080 and  
3,509,582 suggests a large chromosomal  
1000 deletion. The total length of the neoW-W region

we have assigned is low, only representing 1.23 Mb of sequence, which is far from the 6.94 Mb sequence that Smeds and collaborators (2015) identified in the collared flycatcher genome or the 6.81 Mb sequence reported in the reference Chicken genome (GRCg6a version, International Chicken Genome Sequencing Consortium 2004; Warren et al. 2017). It is however important to specify that our objective was not to be exhaustive, but rather to focus on the longest scaffolds for which both estimates of the median coverage and alignments against the zebra finch chromosome 4A were considered reliable enough to be confident with its assignation to the W chromosome, particularly in a context of the intense activity of transposable elements. Given the known difficulty to sequence and assemble the W chromosome (*e.g.* Tomaszewicz et al. 2017), such a reduced-representation of the W chromosome was reasonably expected. Our intent was to get sufficient information to study the molecular evolution of neoW-W specific genes too. Obtaining a high-quality sequence of the neoW chromosome for the *Z. borbonicus* species, while possible, would require a considerable additional sequencing effort to be achieved.

Then, by aligning *Z. borbonicus* assembly against the zebra finch 4A chromosome, we found support for a candidate scaffold supporting the chromosomal breakpoint. Alignments on both ends of this scaffold suggest a potential chromosomal breakpoint occurring around positions 9.603-9.605 Mb of the v.3.2.4 zebra finch 4A chromosome, which is remarkably close to our estimate for the translocation on the neoZ-4A. Importantly, such an observation therefore supports an evolution of neoW-4A and neoZ-4A regions from initially identical gene sets. Interestingly, we have also identified a large chromosomal deletion on the W chromosome, which represents another expected early signature of the W degeneration (Charlesworth, 1991).

We have found support for a highly reduced level of nucleotide diversity in the neoW chromosome as compared to autosomes. This also holds true for the neoW-4A region (mean neoW-4A:autosomal nucleotide diversity = 0.36), which is in broad agreement with the hypothesis of a three-fourth reduction in effective population size associated to an autosomal-to-W or autosomal-to-Y translocation. Our overall result of low within-species diversity on the W chromosome is however nothing comparable with the dramatically reduced diversity observed by Smeds and collaborators (2015) on the W chromosome of several flycatcher species, with a W:autosomal diversity ranging from 0.96% to 2.16% depending on populations and species. Non-recombinant W chromosome of *Z. borbonicus* also exhibits elevated  $\pi_N/\pi_S$  in the neoW-W (0.78) as well as in the neoW-4A regions (0.42), representing 3.68-fold and 1.97-fold higher ratios than for the autosomal genes, respectively (4.59-fold and 2.46-fold higher ratios when compared with autosomal-4A genes only). Higher  $d_N/d_S$  at neoW-4A genes as compared to their neoZ-4A gametologs were also observed. This higher  $d_N/d_S$  ratio is in agreement with the pattern observed in another Sylvioidea species, the common whitethroat (Sigeman et al. 2018) and, more broadly, with other young sex chromosome systems (*e.g.* Marais et al. 2008). Sigeman et al. (2018) also reported an association between amino acid and gene expression divergences for the neoW-4A. All together, these results are consistent with an accumulation of deleterious mutations associated with the strong reduction of the net efficacy of natural selection to purge deleterious mutations (Charlesworth & Charlesworth, 1997).

TE accumulation on W or Y chromosome is suspected to play a particularly important role in the first phases of the evolution of chromosome differentiation (Bachtrog, 2003). To investigate this, we have *de novo* identified *Z. borbonicus*-specific TE and have analyzed

distribution and abundance of TE. This has led to  
1090 the identification of a high TE load in the  
ancestral W chromosome (45.1%), which is the  
same order of magnitude as the reported value  
for the W chromosome of *Ficedula albicollis*  
1095 *albicollis* (51.1%, Davis et al. 2010). Interestingly,  
we found support for a high TE load in the  
translocated region too (21.6%), which is  
approximately twice the observed TE content of  
any other autosomal chromosome, including the  
1100 autosomal 4A chromosome. TE classification,  
albeit incomplete, supports an important  
contribution of class I LTR elements to the overall  
TE load. LTR elements seem to be particularly  
active in the zebra finch (Kapusta and Suh, 2017)  
1105 or in the collared flycatcher genomes (Suh et al.  
2018) suggesting that the recent burst of LTR  
elements on autosomes may have facilitated the  
accumulation of TEs on the neoW-4A  
chromosomes. The most probable hypothesis is  
1110 that LTR elements are particularly retained in  
low-recombination regions. Following this  
hypothesis, the non-recombining neoW-4A  
region might therefore be viewed as an extreme  
case in terms of the retention of these TE  
1115 insertions. Although much more data and work  
will be needed in the future to analyze in greater  
depth this accumulation of TEs, and particularly  
the determinants of this accumulation, our  
results suggest that LTR elements may have  
1120 virtually played a major role in altering gene  
content, expression and/or chromosome  
organization of the newly translocated region of  
the Sylvioidea neo-W chromosome.

## 1125 CONCLUSION

In this study, we generated a high  
quality reference genome for *Z. borbonicus* that

1130 has provided us unique opportunities to  
investigate the molecular evolution of neo-sex  
chromosomes, and more broadly to improve our  
understanding of avian sex chromosome  
evolution. Since this species belong to the  
Sylvioidea, one of the three major clades of  
1135 passerines, comprising close to 1,300 species, we  
can reasonably suspect that this chromosomal-  
scale assembly will serve as a reference for a  
large diversity of genome-wide analyses in the  
Sylvioidea lineage itself, and more generally in  
1140 passerine birds. Interestingly, Sylvioidea is  
becoming an important animal taxon for the  
study of sex chromosomes (Dierickx et al. 2019;  
Sigeman et al. 2019).

Through detailed analyses of the  
1145 evolution of the newly sex chromosome-  
associated regions, we found evolutionary  
patterns that were largely consistent with the  
classic expectations for the evolution of  
translocated regions on sex chromosomes,  
1150 including evidence for reduction of diversity,  
ongoing neoW chromosome degeneration and  
base composition changes. A notable exception  
was the neoZ region for which no fast-neoZ effect  
was identified. Although most of the analyses are  
1155 congruent, our report is based on a limited  
number of individuals and from only one  
population of *Z. borbonicus*. Further  
investigations based on a complementary and  
extensive dataset will probably help to fine-tune  
1160 the conclusions, especially regarding the lack of  
any fast-Z effect or the drastic increase of TE on  
the neoW-4A. Lastly, given the huge difference in  
diversity between autosomes and sex  
chromosomes, we emphasize the importance of  
1165 taking into account sex chromosomes for local  
adaptation studies, at least by scanning  
autosomes and sex chromosomes separately (see  
Bourgeois et al. 2018 for an example).

1170

# MATERIALS & METHODS

## DNA AND RNA EXTRACTION, SEQUENCING

We extracted DNA from fresh tissues collected on a *Z. borbonicus* female individual (field code: 15-179), which died accidentally during fieldwork in May 2015 at Pas de Bellecombe (Gîte du volcan, Réunion; coordinates: S: -21.2174, E: 55.6872; elevation: 2246m above sea level). Sampling was conducted under a research permit (#602) issued to Christophe Thébaud by the Centre de Recherches sur la Biologie des Populations d'Oiseaux (CRBPO) – Muséum National d'Histoire Naturelle (Paris).

We also extracted DNA of a *Zosterops pallidus* female collected in February 2015 in South Africa, Free state province, Sandymount Park, 10 kms from Fauresmith (coordinates: S: -29.75508, E: 25.17733). The voucher is stored at the Museum National d'Histoire Naturelle (MNHN), Paris, France, under the code MNHN ZO 2015-572 and a tissue duplicate is deposited in the National Museum Bloemfontein (South Africa).

For both samples, 9µg of total genomic DNA were extracted from liver and/or muscle, using DNeasy Blood and Tissue kit (QIAGEN) following the manufacturer instructions. Each of these samples was sequenced as followed: one paired-end library with insert sizes of 300bp and 3 mate-pair libraries (3kb, 5kb and 8kb) using Nextera kit. Libraries and sequencing were performed by platform "INRA plateformes Génomes et transcriptomes (GeT-PlaGe)", Toulouse, France. Illumina sequencing was also performed at the platform GeT-PlaGe using Illumina HiSeq 3000 technology.

To improve genome assembly of *Z. borbonicus*, an additional sequencing effort was made by generating 11X coverage of PacBio long reads data. 20µg of high molecular weight DNA

were extracted from muscle using MagAttract HMW DNA kit (QIAGEN) following manufacturer instructions. The PacBio libraries and sequencing were performed at Genome Québec (Centre d'innovation Génome Québec et Université McGill, Montréal, Quebec, Canada) using a PacBio RS platform.

After the accidental death of the *Z. borbonicus*, the brain of the freshly dead bird was extracted and then stabilized using RNAlater (Sigma). Total RNA of *Z. borbonicus* individual was extracted from the dissected tissue using RNeasy Plus Mini Kit (Qiagen) following manufacturer's instructions (RNA integrity number: 7.9). Both the RNAseq library preparation and the HiSeq2500 sequencing (1 lane) were performed at the genomic platform MGX-Montpellier GenomiX.

## GENOME ASSEMBLY

The paired-end reads were filtered using Trimmomatic (v0-33; Bolger et al., 2014) using the following parameters: "ILLUMINACLIP:TruSeq3-PE-2.fa:2:30:10 LEADING:3 TRAILING:3 SLIDINGWINDOW:4:15 MINLEN:50". The mate-pair reads were cleaned using NextClip (v1.3.1; Leggett et al. 2013) using the following parameters : "--min\_length 20 --trim\_ends 0 --remove\_duplicates".

Paired-end and mate-pair reads were assembled using SOAPdenovo (v2.04; Luo et al 2012) with parameters "-d 1 -D 2". Several k-mers (from 27 to 37-mers) were tested and we chose the assembly maximizing the N50 scaffold length criteria. Next, we applied Gapcloser v1.10 (a companion program of SOAPdenovo) to fill the gap in the assemblies.

Given that the PacBio technology produces long reads but with quite high sequencing error rates, we used LorDEC (v0.6; Salmela & Rivals 2014) to correct the PacBio

reads of the *Z. borbonicus* individual, using the following parameters: “-k 19 -s 3”. In brief, LoRDEC corrects PacBio reads (both insert/deletions and base call errors) by the use of Illumina paired-end reads, a technology producing short reads only, but with a much higher base call accuracy and depth of coverage. The corrected PacBio reads were then used to scaffold the SOAPdenovo assembly using SSPACE-LongRead (v1.1; Boetzer & Pirovano 2014). For *Z. borbonicus*, we also used MaSuRCA (v3.2.4; Zimin et al. 2017) to perform a hybrid assembly with a mixture of short and long reads. MaSuRCA produced an assembly with similar quality but slightly shorter than SOAPdenovo+SSPACE-LongRead (Table S1).

Several statistics were computed using `assemblathon_stats.pl` script (Bradnam et al. 2013; <https://github.com/ucdavis-bioinformatics/assemblathon2-analysis>) to evaluate the different genome assemblies. These statistics include genome size, number of scaffolds, scaffold N50, scaffold N90 and the proportion of missing data (N%). Additionally, we used BUSCO (v3.0.2; Waterhouse et al. 2017), a commonly used tool for evaluating the genome completeness based on the content in highly conserved orthologous genes (options: “-m genome -e 0.001 -l aves\_odb9 -sp chicken”).

The mitochondrial genome was assembled using `mitobim` (v1.8; Hahn et al. 2013) with the mitochondrial genome of *Z. lateralis* (accession : KC545407) used as reference (so-called “bait”). The mitochondrial genome was automatically annotated using the web server `mitos2` (<http://mitos2.bioinf.uni-leipzig.de>; Bernt et al. 2013). This annotation was manually inspected and corrected using alignment with the other *Zosterops* mitochondrial genomes available in genbank.

## 1295 GENOME-GUIDED DE NOVO TRANSCRIPTOME ASSEMBLY.

RNA-seq reads were used to generate a transcript catalogue to train the gene prediction software. First, RNA-seq reads were filtered with `trimmomatic` (v0-33; Bolger et al., 2014) using the following parameters : “ILLUMINACLIP:TruSeq3-PE.fa:2:30:10 SLIDINGWINDOW:4:5 LEADING:5 TRAILING:5 MINLEN:25”. Second, the filtered RNA-seq reads were mapped onto the reference genome using HISAT2 (Kim et al. 2015). HISAT2 performed a splice alignment of RNA-Seq reads, outperforming the spliced aligner algorithm implemented in TopHat (Kim et al. 2015). Finally, two methods were used to assemble the transcripts from the HISAT2 output bam file: i) Cufflinks (Trapnell et al. 2010) using the following parameters: “-q -p 10 -m 300 -s 100” and ii) Trinity (v2.5.0; Haas et al. 2013) using the following parameters : “--genome\_guided\_max\_intron 100000”.

1315

### Protein-coding Gene Annotation

Gene annotation was performed using the PASA pipeline combined with EVIDENCEModeler (Haas et al. 2008; Haas et al. 2011; <https://github.com/PASApipeline/PASApipeline/wiki> ). The complete annotation pipeline involved the following steps:

1. *ab initio* gene finding with Augustus (Stanke and Waack 2003 ; <http://bioinf.uni-greifswald.de/augustus/>) using the parameter : “-species=chicken”.
2. Protein homology detection and intron resolution using `genBlastG` (She et al. 2011; <http://genome.sfu.ca/genblast/download.html>). Protein sequences of several passerines were used as references, namely zebra finch (*Taeniopygia guttata*; assembly `taeGut3.2.4`; Warren et al. 2010), collared flycatcher (*Ficedula albicollis*; assembly `FicAlb_1.4`; Ellegren et al. 2012), medium ground-finch (*Geospiza fortis*;

assembly GeoFor\_1.0; (Zhang et al. 2012) and hooded crow (*Corvus cornix*; accession number JPSR000000000.1; Poelstra et al. 2014). Genblast parameters were : “-p genblastg -c 0.8 -r 3.0 -gff -pro -cdna -e 1e-10”.

3. PASA alignment assemblies based on overlapping transcript from Trinity genome-guided *de novo* transcriptome assembly (see above) (Haas et al. 2003). This step involved the so-called PASAPipeline (v2.2.0; <https://github.com/PASApipeline/PASApipeline/>) used with the following parameters : “-C -r -R --ALIGNERS blat,gmap”.

4. Next, EvidenceModeler (v1.1.1; Haas et al. 2008) was used to compute weighted consensus gene structure annotations based on the previous steps (1 to 3). We used the following parameters : “--segmentSize 500000 --overlapSize 200000” and an arbitrary weight file following the guidelines provided at <http://evidencemodeler.github.io/>.

5. Finally, we used the script “pasa\_gff3\_validator.pl” of PASA add UTR annotations and models for alternatively spliced isoforms.

Finally, StringTie (Pertea et al. 2015) was also used to estimate the proportion of annotated CDS with RNA-seq information support.

We defined three sets of genes depending on their reliability, namely the “high reliability” set corresponding to genes with a low TE content in coding regions (<10%, Fig. S2) and with at least a transcript support (“high”), the “moderate reliability” set corresponding to genes with either a low TE content (<10%) or with at least a transcript support (“moderate”) and a “low reliability” set containing the remaining genes.

## ORTHOLOGY DETECTION

We used the available passerine genomes (namely, zebra finch, collared

1380 flycatcher, white-throated sparrow and hooded crow) plus the high-coverage genomes (>100x) of Zhang et al. (2014) (Table S2). Orthology detection was performed using OrthoFinder (v2.2.6; Emms & Kelly 2015). Single copy (one-to-one) orthologs were extracted from OrthoFinder results to perform multi-species alignment with TranslatorX (v1.1; Abascal et al. 2010) using MAFFT (Katoh et al. 2002) to build the alignment. Alignments were inspected by HMMCleaner (v1.8; Amemiya et al. 2013; Philippe et al. 2017) to exclude badly aligned sites. Next, dubious, highly divergent, sequences were excluded using trimAl (Capella-Gutiérrez et al. 2009) option “-resoverlap 0.60 -seqoverlap 80”. We also used genome assemblies of 3 passerine species for which no gene annotation sets were publicly available, namely the silvereye, *Z. lateralis* (Cornetti et al. 2015), the Orange River white-eye *Z. pallidus* (this study) and the willow warbler *Phylloscopus trochilus* (Lundberg et al. 2017). For these genomes, gene orthology detection was conducted using AGILE (Hughes & Teeling 2018). AGILE is a pipeline for gene mining in fragmented assembly overcoming the difficulty that genes could be located in several scaffolds. We applied AGILE using *Z. borbonicus* single copy orthologs as query genes.

## FILTERING SCAFFOLDS ORIGINATING FROM AUTOSOMES, W AND Z CHROMOSOMES

1410 Given that we have sequenced a female genome, we likely assembled contigs from W, Z and autosomal chromosomes. To identify scaffolds originating from sex chromosomes or autosomes, we mapped 7 females and 5 males reads from *Z. borbonicus* birds published in Bourgeois et al. (2017) onto our female genome assembly. We first mapped all raw reads against the *Z. borbonicus* reference genome using BWA mem v. 0.7.5a (Li, 2013), we then removed duplicates with Picard 1.112 (<http://broadinstitute.github.io/picard>). We then used Mosdepth (Pedersen & Quinlan, 2018) to compute median per-site coverage for each

1425 scaffold, following the same strategy than in  
Smeds et al. (2015). For each  $i$  scaffold, total  
coverage for males and females were computed  
as the sum of coverage of all individuals. Then,  
we compute a normalized coverage per scaffolds  
1430 for male as :

$$\text{Normalized}(\text{Cov. Scaffold } i(\text{Males})) = \frac{\text{Cov. Scaffold } i(\text{Males}) * \text{MeanCov.}(\text{Females})}{\text{MeanCov.}(\text{Males})}$$

Where “Mean Cov. (Females)” and “Mean Cov.  
(Males)” corresponds to the median coverages  
1435 for males and females across all scaffolds longer  
than 1Mb. This normalization is intended to take  
into account the different number of male and  
female individuals.

Next, we used the normalized median  
1440 per-site coverage to detect W-linked scaffolds  
(zero and above 5X in males and females,  
respectively), Z-linked (female with less than 0.75  
the male coverage and male with > 9X coverage)  
and autosomes (all the remaining scaffolds  
1445 corresponding female and male with a roughly  
similar median coverage). To decrease the  
probability of false identification due to the  
mapping in repeat-rich regions, this approach has  
only been performed using coverage data from  
1450 scaffolds longer than 10 kb (3,443 / 97,503  
scaffolds, >96% of the assembly size).

## PSEUDO-CHROMOSOME ASSEMBLY

We first aligned soft-masked *Z.*  
1455 *borbonicus* scaffolds on the soft-masked Zebra  
Finch genome (*Taeniopygia guttata*) using LastZ  
v. 1.04.00 (Schwartz et al. 2003). For *Z.*  
*borbonicus*, *de novo* transposable elements  
prediction were performed using Repeat Modeler  
1460 v. 1.0.11 and genome assembly soft-masking  
using Repeat Masker v. 4.0.3 (Smit et al. 2013-  
2015). For *T. guttata*, we used the soft-masked  
genome v. 3.2.4 (taeGut3.2.4) made available by  
the Zebra Finch genome consortium. This soft-  
1465 masking procedure was put in place in order to  
exclude these regions for the LastZ’s seeding

stage, and thereby to avoid finding alignments in  
these highly repeated regions. We then filtered  
LastZ alignments hits in order to only keep  
1470 reliable hits (5% longest hits and with sequence  
identities greater than the median over all  
alignments). For each scaffold, we then defined  
syntenic blocks as adjacencies of several reliable  
hits. A syntenic block represents a homologous  
1475 region between zebra finch and *Z. borbonicus*  
starting at the first reliable hit and ending at the  
last one. Syntenic blocks covering at least 80% of  
a *Z. borbonicus* scaffold size and 80-120% the  
corresponding homologous region in the Zebra  
1480 Finch genome were automatically anchored to its  
*T. guttata* chromosome position, assuming  
complete synteny between the zebra finch and *Z.*  
*borbonicus*. Unanchored scaffolds were then  
manually identified by visually inspecting the  
1485 summary statistics and positions of all raw  
alignments. In case of chimeric scaffolds, these  
scaffolds were cut into two or several new  
scaffolds assuming that this chimerism is due to a  
contigging or a scaffolding artifact.

1490 Second, we used DeCoSTAR, a  
computational method tracking gene order  
evolution from phylogenetic signal by inferring  
gene adjacencies evolutionary histories, in order  
to not only improve the genome assembly of *Z.*  
1495 *borbonicus*, but also 26 additional extant avian  
assemblies including the high coverage (>100X) of  
Zhang et al. 2014 and the other passerine  
genomes (Table S2). The gene trees were  
obtained for phylogenies of single-copy orthologs  
1500 (see above) estimated using IQ-TREE model  
GTR+G4 and 1000 so-called “ultra-fast bootstrap”  
(v1.6.2; Nguyen et al. 2014). A snakemake  
pipeline  
[https://github.com/YoannAnselmetti/DeCoSTAR](https://github.com/YoannAnselmetti/DeCoSTAR_pipeline)  
1505 [\\_pipeline](https://github.com/YoannAnselmetti/DeCoSTAR_pipeline)) were used to apply DeCoSTAR on gene  
orders and phylogenies.

Finally, scaffold orders given by LASTZ  
and DeCoSTAR were manually reconciled to

determine the consensus scaffold orders along  
1510 the *Z.borbonicus* chromosomes.

## MOLECULAR DATING

We performed two independent  
molecular dating analyses. First, we used relaxed  
1515 molecular clock analysis based on nuclear  
sequences and fossil calibrations applied on the  
full bird phylogeny. Second, we applied the  
approach of Nabholz et al. (2016) using white-  
eyes body mass to estimate their mitochondrial  
1520 substitution rate.

In the first approach, molecular dating  
analyses were performed using the 27 species  
selected for the DeCoSTAR analysis plus the  
silveryeye, the Orange River white-eye and the  
1525 willow warbler leading to a total of 30 species.  
We restricted our analyses to single-copy  
orthologs with low GC content. These genes are  
known to evolve slowly and more clock-like than  
GC rich genes (Nabholz et al. 2011; Jarvis et al.  
1530 2014). To do that, we randomly selected 100  
single-copy orthologs coded by chromosome 1  
and 2 excluding genes at the beginning and at the  
end of the chromosomes (minimum distance  
from each chromosome tip based on the *T.*  
1535 *guttata* genome: 10 Mbp). This step was  
replicated ten times to evaluate the robustness of  
the inferences among different sets of genes.

We used several fossil calibrations sets  
summarized in Table S3. Our different calibration  
1540 sets reflect the current uncertainty surrounding  
bird diversification dates. We used a conservative  
set with only one maximum bound at 140 Myrs  
for the origin of Neornithes (set 4). At the other  
end, we used another set with a narrow  
1545 constraint between 28 and 34 Myrs for the  
Suboscines / Oscines divergence (set 3). This  
constraint could turn out to be incorrect with  
future advances in the bird fossil records. For all  
the calibration sets, the Neognathae /  
1550 Palaeognathae divergence minimal age was set to

66 Myrs using *Vegavis iaai* fossil (Benton et al.  
2009; Mayr, 2013; Ksepka & Clarke, 2015). The  
maximum age of this node is much more difficult  
to select. We have opted for two maximal ages.

1555 The first one at 86.5 Myrs following the rationale  
of Prum et al. (2015) based of the upper bound  
age estimate of the Niobrara Formation (set 1, 2  
and 3). We used another very conservative  
maximum bound at 140 Myrs (set 4). This is the  
1560 maximum age estimated for the origin of  
Neornithes by Lee et al. (2014) using an extensive  
morphological clock analysis. Additionally, in sets  
1 and 3, we constrained the divergence between  
Passeriformes / Psittaciformes to be between  
1565 53.5 and 65.5 Myrs, assuming the complete  
absence of passerine bird species during the  
Cretaceous. In sets 2 and 4, we only used a  
minimum bound on the first fossil occurrence of  
passerines in the Eocene (Boles, 1997) and on the  
1570 stem Psittaciformes fossil *Pulchrapollia gracilis*  
(Dyke & Cooper, 2000). In calibration set 3, we  
constrained the Oscines/Suboscines split  
between 28 and 34 Myrs, following the rationale  
of Mayr (2013) assuming crown Oscines and  
1575 Suboscines originated in the early Oligocene (28  
Myrs, Mayr & Manegold 2006) and based on the  
absence of Eocene fossil records discovered so  
far (Eocene/Oligocene limit is at 34 Myrs). All the  
other minimum-bound calibrations we used  
1580 followed the suggestions of Ksepka & Clarke  
(2015) and are presented in Table S3.

Molecular dating was performed using  
Phylobayes (v4.1; Lartillot et al. 2009) using a  
CAT-GTR substitution model. For the relaxed  
1585 clock model, we used the log-normal auto-  
correlated rates (ln) model. We also tested the  
uncorrelated gamma multipliers (ugam) model  
that gave similar results than the ln model  
(results not shown). We used uniform prior on  
1590 divergence times, combined with soft calibrations  
(Rannala and Yang, 2007; Yang and Rannala,  
2006). The MCMC were run for at least 8,000  
cycles. MCMC convergence were diagnosed by  
running two independent MCMC and by visually

1595 checking the evolution of the likelihood and other  
parameters along the Markov chain (in “.trace”  
files).

Additionally, we applied an independent  
1600 molecular dating using the method proposed by  
Nabholz et al. (2016). Seven complete  
mitochondrial *Zosterops* genomes were  
downloaded from Genbank, including *Z.*  
1605 *erythropleuros* (KT194322), *Z. japonicus*  
(KT601061), *Z. lateralis* (KC545407), *Z.*  
*poliogastrus* (KX181886), *Z. senegalensis*  
(KX181887), *Z. senegalensis* (KX181888). We also  
included the sequences of the Réunion grey  
1610 white-eye and the Orange River white-eye  
assembled in the present study. The  
mitochondrial sequences of *Yuhina diademata*  
(KT783535) and *Zoothera dauma* (KT340629)  
were used as outgroups. Body mass for all  
*Zosterops* species were obtained from Dunning  
1615 (2007) and the median of these body masses was  
computed. Phylogenetic relationship and branch  
length were estimated using IQ-TREE with a  
HKY+G4 substitution model using third codon  
positions only. Then, we applied the formula of  
1620 Nabholz et al. 2016 to derive the substitution rate  
(substitution per site per Myr) as  
$$\frac{10^{-0.141 \cdot \log_{10}(\text{BodyMass}) + 0.367}}{100}$$
 and  
$$\frac{10^{-0.243 \cdot \log_{10}(\text{BodyMass}) + 0.905}}{100}$$
 for the minimal and  
1625 maximal rate where “Body Mass” is the median  
body mass in grams (logarithm of base 10). Next,  
we computed the median divergence time  
between the silver-eye (*Z. lateralis*) and all the  
other white-eye species to obtain an estimate of  
the crown *Zosterops* clade age. Finally, we  
1630 divided this divergence time by the rate obtained  
with the formula above to obtain divergence  
dates in Myrs.

## 1635 GENOME-WIDE ESTIMATES OF NUCLEOTIDE DIVERSITY

Based on the previously generated BAM  
files (see ‘Filtering scaffolds originating from  
*autosomes, W and Z chromosomes*’ section), we  
1640 then use GATK to generate the gvcf from the 6  
parents of the 3 progenies described in Bourgeois  
et al. 2017 (3 males and 3 females). Joint  
genotyping, as well as all subsequent analyses,  
was then performed separately for the 3 males  
1645 and the 3 females. We followed all the GATK best  
practices under the GATK suite, except for variant  
filtration which was performed using a custom  
script to speed up computations. This step  
however followed the same procedures than  
1650 under GATK, assuming the following thresholds:  
QD>2.0, FS<60.0, MQ>40.0, MQRANKSUM>-2.0,  
READPOSRANKSUM>-2.0 and RAW\_MQ > 45000.

For each individual, we then  
reconstructed two genomic sequences. At each  
1655 position of the genome, the position (reference  
or alternate if any) was added to the sequence if  
the coverage at the position was between 3 and  
50. In any other case, a “N” character was added  
in order to keep the sequence length the same.  
1660 We then computed the Tajima’s  $\pi$  estimator of  
nucleotides diversity and the GC content over  
non-overlapping 10 kb windows. To be highly  
conservative in the analysis of the neoW  
chromosome, all windows associated to W  
1665 scaffolds and found covered in the male (ZZ)  
dataset were excluded from the analysis of the  
female (ZW) dataset, even if this non-zero  
coverage was observed at a single base over the  
genomic window. Such a non-zero coverage is  
1670 expected caused by read mismapping,  
particularly in TE regions.

1675 ESTIMATES OF NON-SYNONYMOUS AND  
SYNONYMOUS DIVERGENCES AND GC  
EQUILIBRIUM

For the neoZ-4A and neoW-4A, we made  
a specific effort to retrieve the paralogous  
1680 sequences. Assuming that the two translocated  
regions evolved from the same gene sets (see  
results), each gene located on the neoZ-4A are  
expected to have a paralog on the neoW-4A (so  
called “gametologs”, Pala et al. 2012a,b). As a  
1685 consequence, most gametologs have been  
eliminated during our selection of the single-copy  
orthologs. We visually inspected all the  
alignments containing a neoZ-4A gene and then  
tried to identify the corresponding copy in a  
1690 scaffold assigned to the neoW chromosome.

Given the drastically different number of  
genes between autosomes, neoZ-Z and neoZ-4A  
regions, we subsampled the data to match the  
category with the lowest number of genes (i.e.,  
1695 the neoZ-4A region). Then, we concatenated  
genes and computed  $d_N$  and  $d_S$  as the sum of non-  
synonymous and synonymous branch lengths  
respectively. This will decrease the variance of  
the estimated  $d_N/d_S$  ratios and limit problems  
1700 associated to low  $d_S$  values (Wolf et al. 2009).  
Finally, the variability in  $d_N/d_S$  was evaluated by  
bootstrapping genes 1000 times within each  
genomic region. For GC equilibrium (GC\*), we  
used the nonhomogeneous model T92 (Galtier  
1705 and Gouy 1998) implemented in the BPPSUITE  
package (Dutheil and Boussau 2008,  
[http://biopp.univ-  
montp2.fr/wiki/index.php/BppSuite](http://biopp.univ-montp2.fr/wiki/index.php/BppSuite)) based on  
the Bio++ library (Guéguen et al. 2013) to infer  
1710 GC\* at third codon position for each branch. We  
used a similar bootstrapping strategy (1000 times  
within each genomic region) to evaluate the  
variation in GC\*.

For the comparison between neoZ-4A  
1715 and neoW-4A, we used the  $d_N/d_S$  and GC\* of  
neoZ-4A and neoW-4A genes for the branch  
leading to *Z. borbonicus*. When the neoW-4A

sequences of *Z. borbonicus* was very closely  
related to a sequence of *Z. pallidus*, we assumed  
1720 that the *Z. pallidus* sequence also came from the  
neoW-4A regions and we computed the  $d_N/d_S$  of  
the ancestral branch of these two species. We  
evaluated the difference in  $d_N/d_S$  using a paired-  
samples Wilcoxon test (also known as Wilcoxon  
1725 signed-rank test). The  $d_N/d_S$  was estimated using  
CODEML (Yang 2007) using a free-ratios model  
(model = 2). We also checked for the presence of  
frameshift and premature stop codon within the  
neoW-W sequenced using macse (v2, Ranwez et  
1730 al. 2007).

*GC and TE contents*

We used the automated approach  
implemented in RepeatModeler Open (v.1.0.11,  
1735 Smit & Hubley, 2008) to *de novo* detect  
*Z. borbonicus*-specific TE consensus. The  
generated list of *de novo* TE sequences was  
merged to the chicken repetitive sequences  
publicly available in Repbase (Jurka et al. 2005).  
1740 We then used this set of sequences as a custom  
library for RepeatMasker (v.open-4.0.3, Smit et  
al. 2013) to generate a softmasked version of the  
*Z. borbonicus* genome assembly. Then, we used a  
non-overlapping 10-kbp sliding windows  
1745 approach to calculate the GC and TE contents  
along the whole genome. All genomic windows  
composed of more than 50% of Ns were excluded  
to ensure accurate estimates of local TE and GC  
contents.

1750

All statistical analyses were performed  
using R v. 3.4.4 (R core Team, 2018). Some  
analyses and graphics were performed using  
several additional R packages: APE (Paradis &  
1755 Strimmer, 2004), beanplot (Kampstra, 2008),  
circlize (Gu et al. 2014), cowplot (Wilke, 2016),  
ggplot2 (Wickham, 2016), phytools (Revell, 2012)  
and plotrix (Lemon, 2006).

## DATA AVAILABILITY

1760 Nuclear and mitochondrial genome  
sequences, scripts and programs used are  
available at the following FigShare repository:  
<https://figshare.com/s/122efbec2e3632188674>.  
Raw reads and nuclear genome sequences are  
1765 available on SRA and GenBank (Bioproject:  
PRJNA530916). Mitochondrial genome sequences  
are available on GenBank (accession numbers:  
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## CONFLICT OF INTEREST

### DISCLOSURE

The authors of this preprint declare that  
they have no financial conflict of interest with the  
content of this article. Benoit Nabholz and Céline  
1805 Scornavacca are also PCI Evol Biol recommenders.

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## SUPPLEMENTARY INFORMATION

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Table S1: Summary statistics of the *Zosterops* genome assemblies as computed by Assemblathon2. Values in bold correspond to the summary statistics of the publicly available sequences.

Species	Assembler	Reference	Assembly size	# scaffolds	Longest scaff.	N50 scaff.	L50 scaff.	N%	# contigs
<i>Z. borbonicus</i>	SOAPdenovo	this study	1188818773	130278	2861829	477419	714	6.43	213846
<b><i>Z. borbonicus</i></b>	<b>SOAPdenovo + SSPACE</b>	<b>this study</b>	<b>1222452283</b>	<b>97503</b>	<b>11984413</b>	<b>1762991</b>	<b>174</b>	<b>8.52</b>	<b>207674</b>
<i>Z. borbonicus</i>	SOAPdenovo + SSPACE + Synteny	this study	1222650938	96503	155428647	71485074	6	8.54	207709
<i>Z. borbonicus</i>	MaSuRCA	this study	1077182487	4487	11330673	1864885	153	0.41	9504
<b><i>Z. pallidus</i></b>	<b>SOAPdenovo</b>	<b>this study</b>	<b>1163666926</b>	<b>170557</b>	<b>3877680</b>	<b>374698</b>	<b>785</b>	<b>4.57</b>	<b>227542</b>
<b><i>Z. lateralis</i></b>	<b>AllPath_LG</b>	<b>Cornetti et al. 2015</b>	<b>1036003386</b>	<b>2933</b>	<b>15146312</b>	<b>3581248</b>	<b>83</b>	<b>3.32</b>	<b>55972</b>

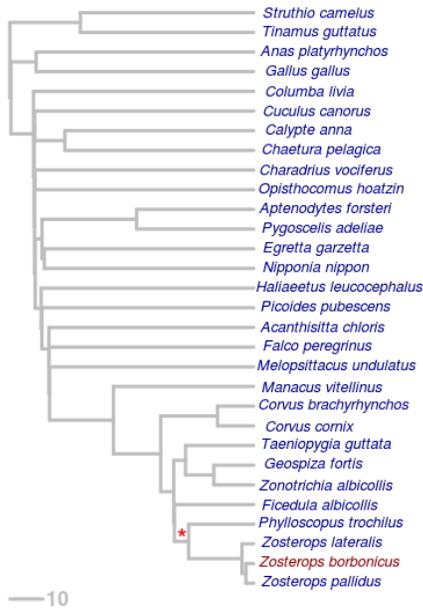
Table S2: List of the 27 avian species used in DeCoSTAR. Number of CDS translated to protein and used for orthology detection.

Species	Accession (NCBI) or URL	# Proteins
<i>Acanthisitta chloris</i>	<a href="http://dx.doi.org/10.5524/101015">http://dx.doi.org/10.5524/101015</a>	15052
<i>Anas platyrhynchos</i>	<a href="http://dx.doi.org/10.5524/101001">http://dx.doi.org/10.5524/101001</a>	15053
<i>Aptenodytes forsteri</i>	<a href="http://dx.doi.org/10.5524/100005">http://dx.doi.org/10.5524/100005</a>	14767
<i>Calypte anna</i>	<a href="http://dx.doi.org/10.5524/101004">http://dx.doi.org/10.5524/101004</a>	14543
<i>Chaetura pelagica</i>	<a href="http://dx.doi.org/10.5524/101005">http://dx.doi.org/10.5524/101005</a>	14111
<i>Charadrius vociferous</i>	<a href="http://dx.doi.org/10.5524/101007">http://dx.doi.org/10.5524/101007</a>	14465
<i>Columba livia</i>	<a href="http://dx.doi.org/10.5524/100007">http://dx.doi.org/10.5524/100007</a>	14982
<i>Corvus brachyrhynchos</i>	<a href="http://dx.doi.org/10.5524/101008">http://dx.doi.org/10.5524/101008</a>	14927
<i>Corvus cornix</i>	GCA_000738735.2_ASM73873v2	13622
<i>Cuculus canorus</i>	<a href="http://dx.doi.org/10.5524/101009">http://dx.doi.org/10.5524/101009</a>	14727
<i>Egretta garzetta</i>	<a href="http://dx.doi.org/10.5524/101002">http://dx.doi.org/10.5524/101002</a>	14127
<i>Falco peregrinus</i>	<a href="http://dx.doi.org/10.5524/101006">http://dx.doi.org/10.5524/101006</a>	14839
<i>Ficedula albicollis</i>	GCA_000247815.2_FicAlb1.5	15382
<i>Gallus gallus</i>	<a href="ftp://climb.genomics.cn/pub/10.5524/100001_101000/101000/chicken/">ftp://climb.genomics.cn/pub/10.5524/100001_101000/101000/chicken/</a>	14728
<i>Geospiza fortis</i>	<a href="http://dx.doi.org/10.5524/100040">http://dx.doi.org/10.5524/100040</a>	14180
<i>Haliaeetus leucocephalus</i>	<a href="http://dx.doi.org/10.5524/101027">http://dx.doi.org/10.5524/101027</a>	15212
<i>Manacus vitellinus</i>	<a href="http://dx.doi.org/10.5524/101010">http://dx.doi.org/10.5524/101010</a>	16402
<i>Melospittacus undulatus</i>	<a href="http://dx.doi.org/10.5524/100059">http://dx.doi.org/10.5524/100059</a>	14231
<i>Nipponia nippon</i>	<a href="http://dx.doi.org/10.5524/101003">http://dx.doi.org/10.5524/101003</a>	15018
<i>Opisthocomus hoatzin</i>	<a href="http://dx.doi.org/10.5524/101011">http://dx.doi.org/10.5524/101011</a>	13333
<i>Picoides pubescens</i>	<a href="http://dx.doi.org/10.5524/101012">http://dx.doi.org/10.5524/101012</a>	14136
<i>Pygoscelis adeliae</i>	<a href="http://dx.doi.org/10.5524/100006">http://dx.doi.org/10.5524/100006</a>	13734
<i>Struthio camelus</i>	<a href="http://dx.doi.org/10.5524/101013">http://dx.doi.org/10.5524/101013</a>	14577
<i>Taeniopygia guttata</i>	<a href="ftp://climb.genomics.cn/pub/10.5524/100001_101000/101000/zebrafinch/">ftp://climb.genomics.cn/pub/10.5524/100001_101000/101000/zebrafinch/</a>	15693
<i>Tinamus guttatus</i>	<a href="http://dx.doi.org/10.5524/101014">http://dx.doi.org/10.5524/101014</a>	15723
<i>Zonotrichia albicollis</i>	GCF_000385455.1_Zonotrichia_albicollis-1.0.1	14376
<i>Zosterops borbonicus</i>	this study	22558

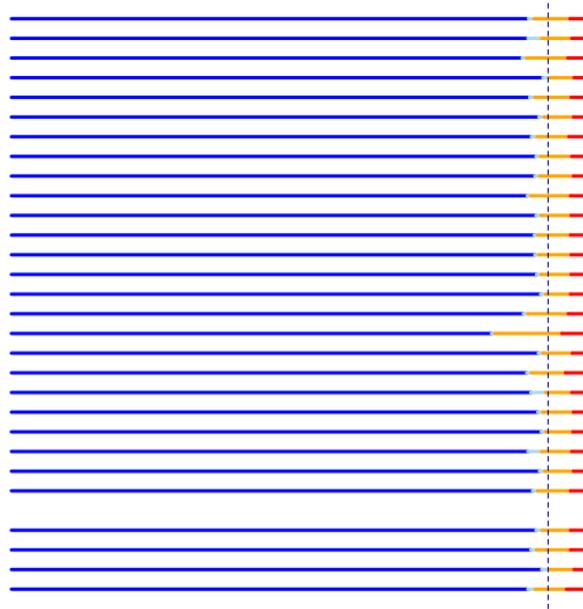
Table S3: Fossil calibration combinations used in the molecular dating analyses

Calibration sets	Node	Maximum bound (Myr)	Minimum bound (Myr)
1,2,3	Neognathae / Palaeognathae	86.5	66
1,2,3,4	Galloanserae / Neoaves	Free	66
1,2,3,4	Apodidae / Trochilidae	Free	51
1,2,3,4	Sphenisciformes / Threskiornithidae	Free	60.5
1,3	Passerines / Psittaciformes	65.5	53.3
2,4	Passerines / Psittaciformes	Free	53.3
3	Oscines / Suboscines	34	28
4	Neognathae / Palaeognathae	140	66

A



B



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**Figure S1:** A) Phylogenetic tree based on all investigated avian species. The red star indicates the origin of the two neo-sex chromosomes (ancestor of Sylvioidea). B) Summary statistics of the BUSCO analysis based on the 27 genome assemblies used as input for DeCoSTAR. Blue, light blue, orange and red colors indicate single copy, duplicated, fragmented, missing genes, respectively. The blue dotted line corresponds to the cumulative proportion of complete genes (single copy and duplicated ones) found in *Z. borbonicus*. For unknown reasons, BUSCO analysis failed for the *F. albicollis* assembly.

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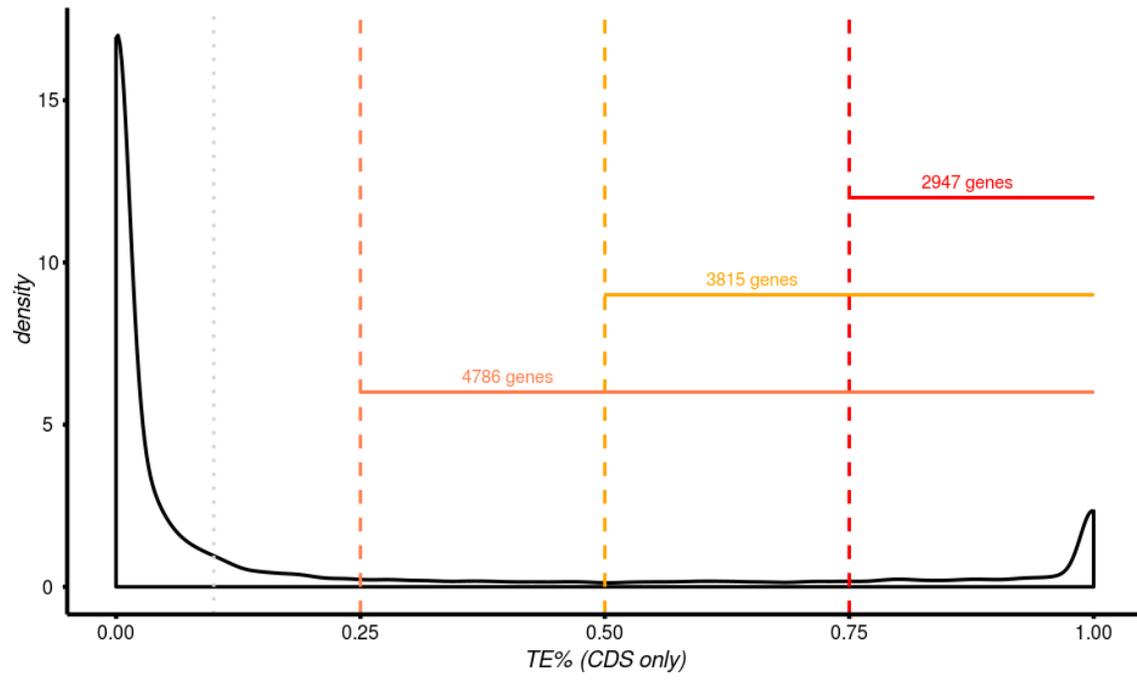
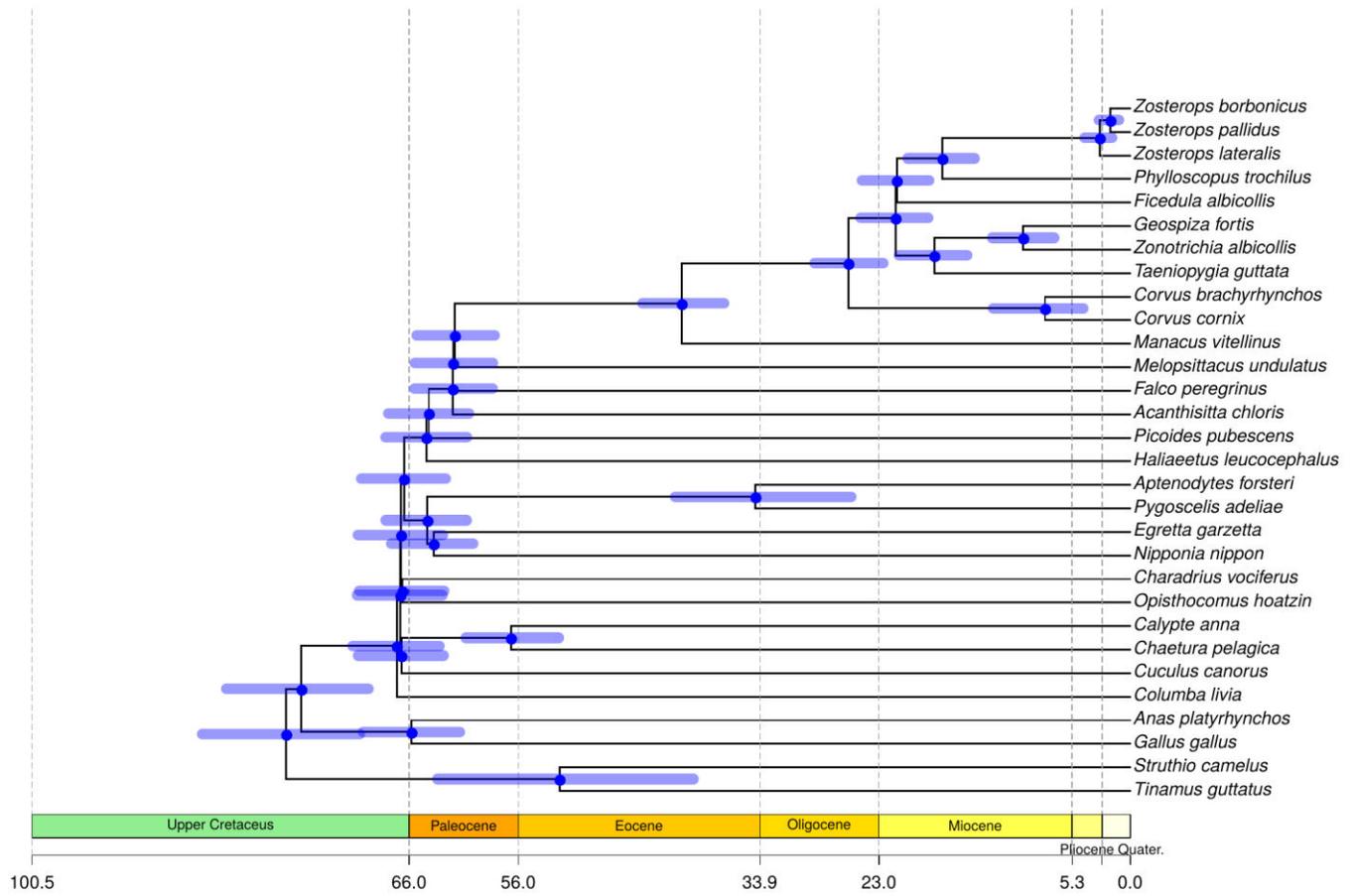
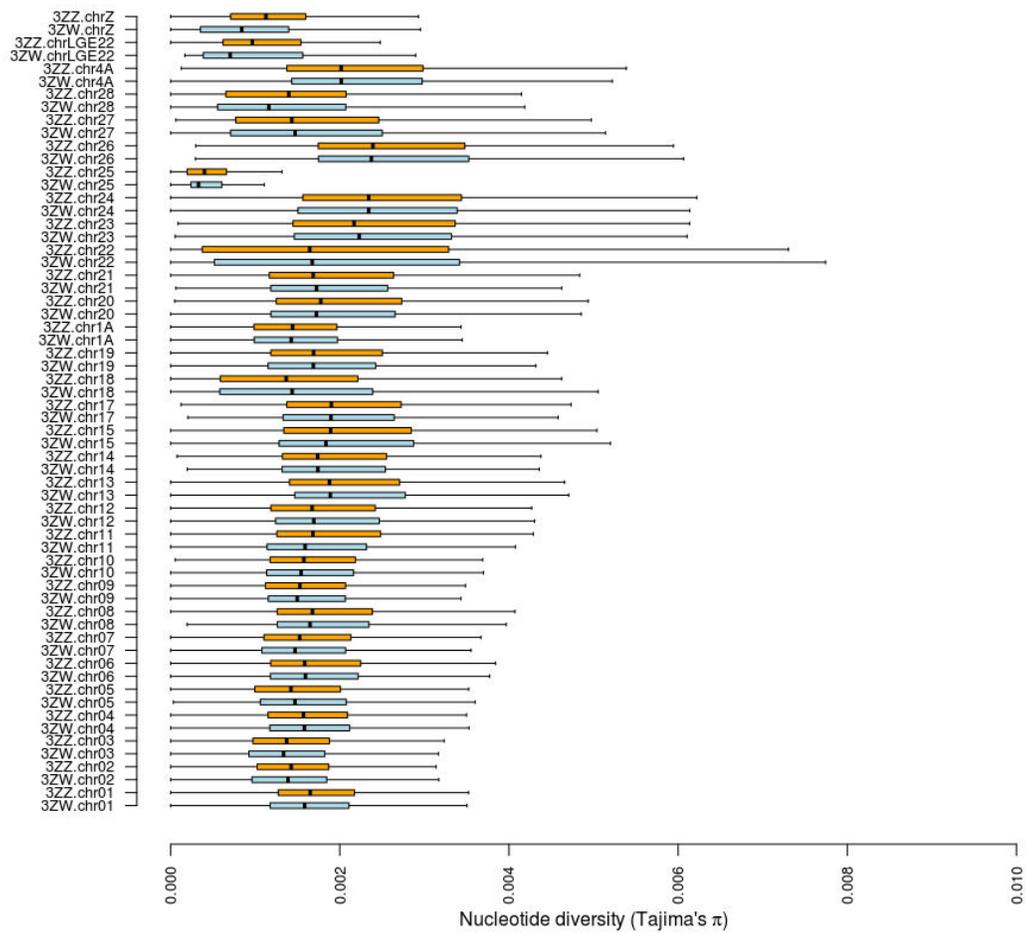


Figure S2: Distribution of the TE content in coding regions observed in the 22,558 *Z. borbonicus* gene models. The grey line shows the threshold used for identifying the most accurate genes (see Fig. 1).

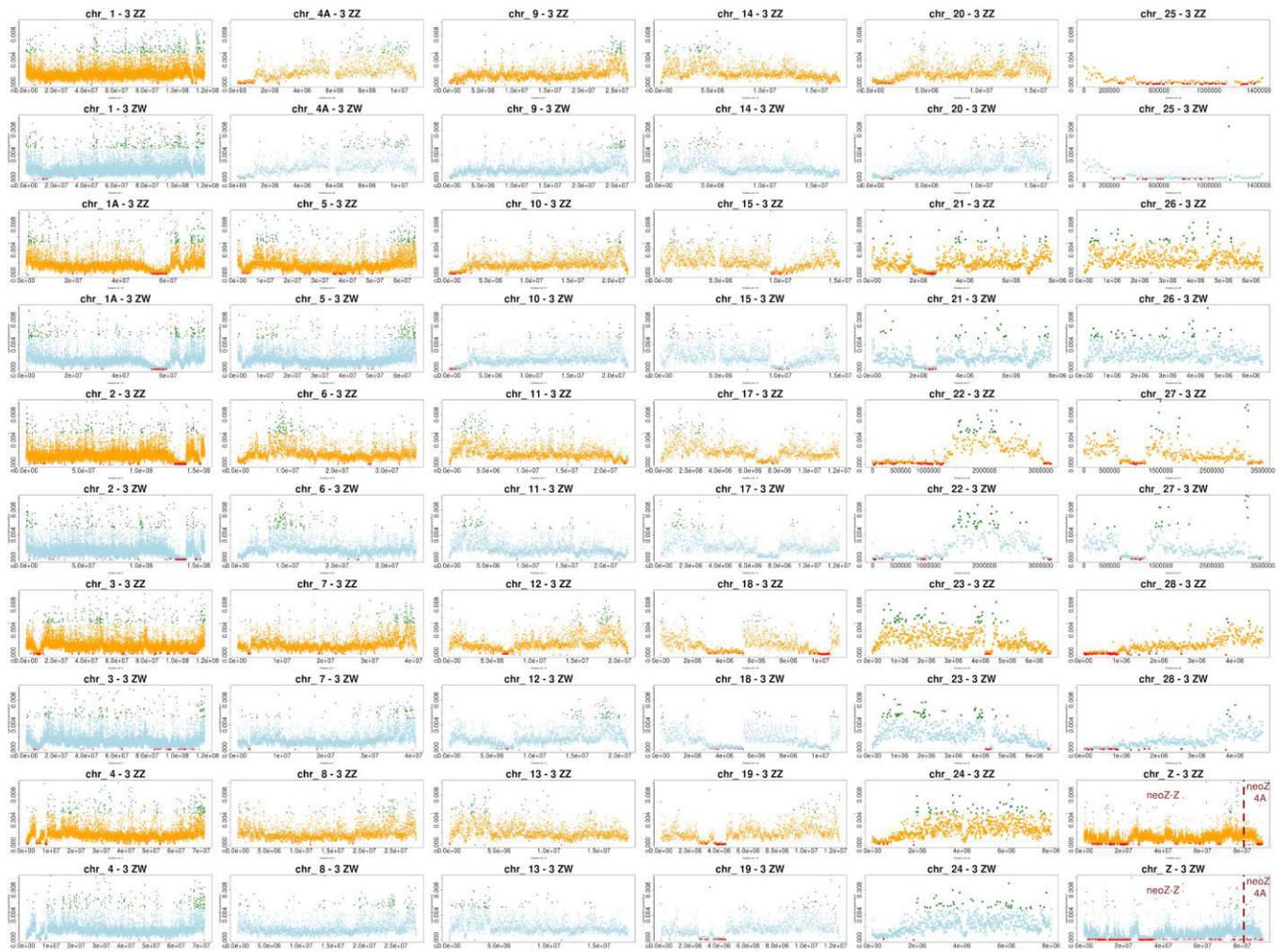
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**Figure S3: Molecular dating of the 30 birds species.** Molecular dating estimates are based on the dataset 1 with CAT GTR substitution model, log-normal molecular rate model and calibration set 1 (Table S3).



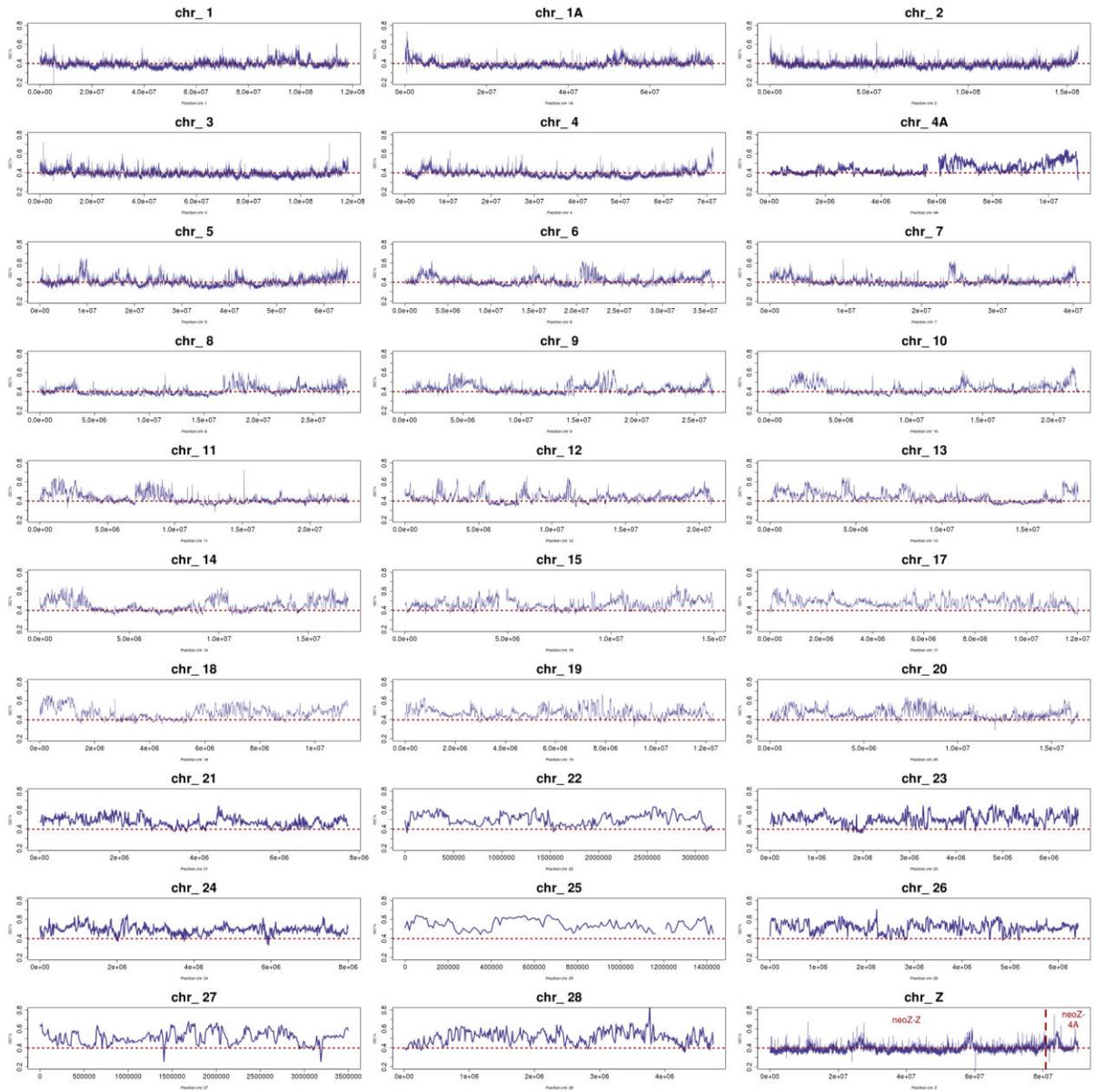
2535 **Figure S4: Interchromosomal and interdataset variation in Tajima's  $\pi_{\text{females}}$  (light blue) and  $\pi_{\text{males}}$  (orange) over non-overlapping 10-kb sliding windows.**



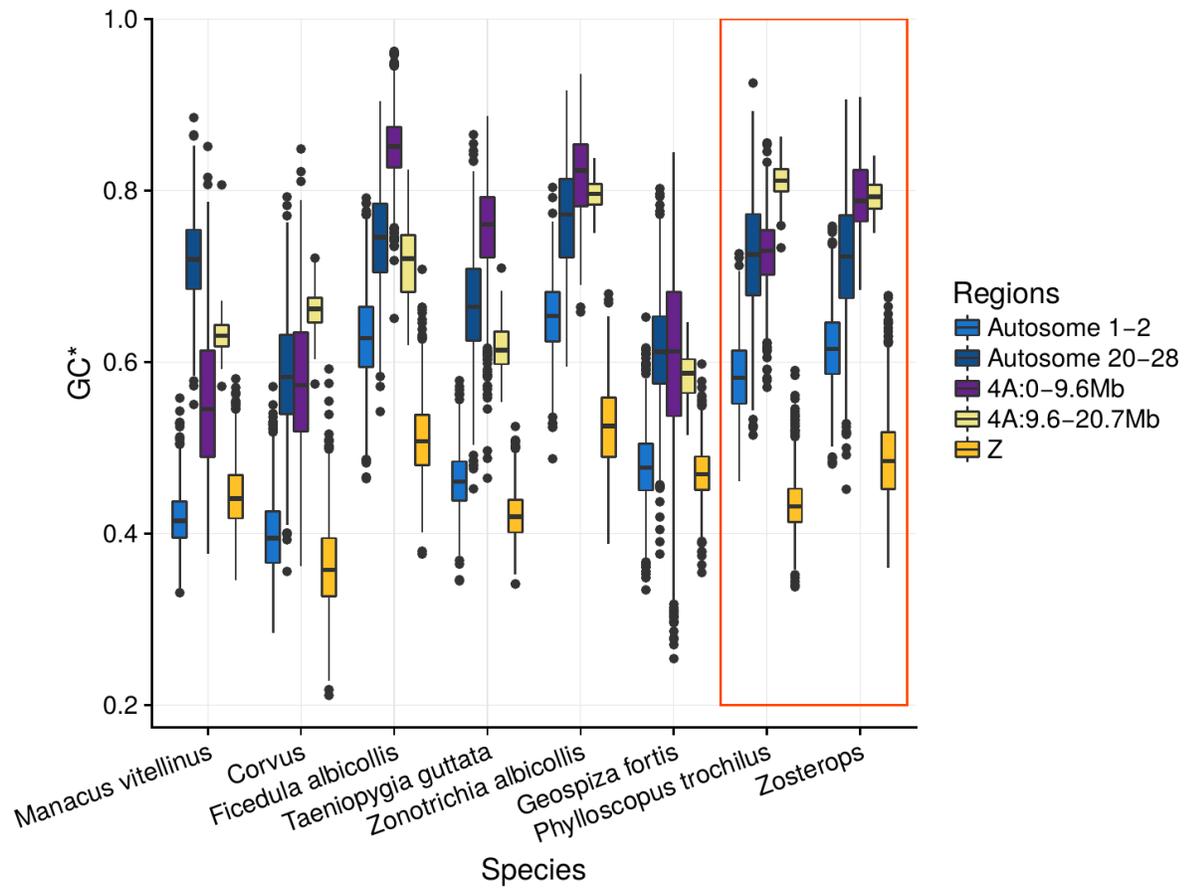
**Figure S5: Nucleotide diversity variations along 30 *Z. borbonicus* chromosomes as estimated using genetic information from 3 males ( $\pi_{\text{males}}$ , orange) and 3 females ( $\pi_{\text{females}}$ , light blue). For each dataset, top 2.5% and bottom 2.5% of  $\pi$  values among windows scanning all chromosomes are shown in green and red, respectively. The chromosomal breakpoint on the neoZ chromosome is shown with a red line.**



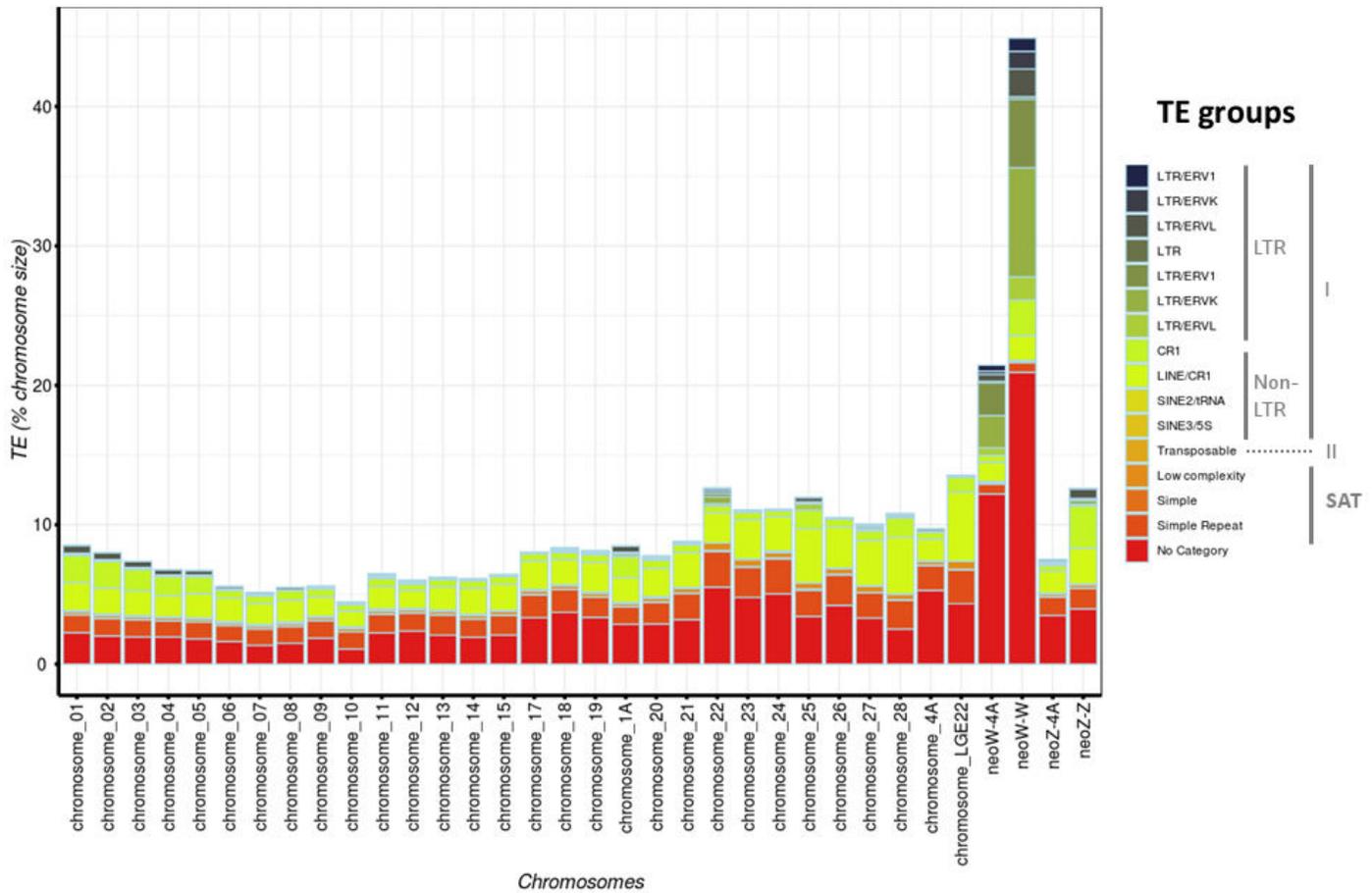
2545 **Figure S6: Tajima's D variations along 30 *Z. borbonicus* chromosomes as estimated using genetic information from 3 males (orange) and 3 females (light blue). Top 2.5% and bottom 2.5% of D values among windows scanning all chromosomes are shown in green and red, respectively (baseline for bars is for D=0). The chromosomal breakpoint on the neoZ chromosome is shown with a red line.**



2550 **Figure S7: Variation in G+C content along 30 *Z. borbonicus* chromosomes.** The red dotted line indicated the median GC value over non-overlapping 10kb sliding windows for scaffolds assigned to chromosomes only. As expected, strong departures from this median values are observed on minichromosomes.



**Figure S8: Variations in GC content at equilibrium (GC\*) at third codon positions.** Variability was obtained by bootstrapping genes within each genomic region.



**Figure S9: TE density for each chromosome and for each RepBase TE family.** Class I TE elements were categorized following the two subclasses: LTR and non-LTR retrotransposons.