# Quantifying transmission dynamics of acute hepatitis C virus infections in a heterogeneous population using sequence data

Gonché Danesh<sup>1</sup>, <del>Victor Virlogeux</del> <sup>2</sup>, Christophe Ramière<sup>3G</sup>, Caroline Charre<sup>3</sup>, Laurent Cotte<sup>4‡</sup>, Samuel Alizon<sup>1‡</sup>

1 MIVEGEC (UMR CNRS 5290, IRD, UM), Montpellier, France

2 Clinical Research Center, Croix-Rousse Hospital, Hospices Civils de Lyon, France

3 Virology Laboratory, Croix-Rousse Hospital, Hospices Civils de Lyon, France

4 Infectious Diseases Department, Croix-Rousse Hospital, Hospices Civils de Lyon, France

These authors contributed equally to this work.

‡These authors also contributed equally to this work.

\* Corresponding author: gonche.danesh@ird.fr

# Abstract

Opioid substitution and syringes exchange programs have drastically reduced hepatitis C virus (HCV) spread in France but HCV sexual transmission in men having sex with men (MSM) has recently arose arisen as a significant public health concern. The fact that the virus is transmitting in a heterogeneous population, with 'new' and 'classical' hosts, makes prevalence and incidence rates poorly informative. However, additional insights can be gained by analyzing dated virus phylogenies inferred from dated virus genetic sequence data. Here, using such a phylodynamics approach based on Approximate Bayesian Computation, we estimate key epidemiological parameters of an ongoing HCV epidemic in MSM in Lyon (France). We show that this epidemics in MSM new epidemics is largely independent from the 'classical' HCV epidemics and that its doubling time is one order of magnitude lower (55.6 days versus 511 days). These results have practical implications for HCV control and open new perspective for using illustrate the additional information provided by virus genomics in public health.

# Background

1

The burden of It is estimated that 71 million people worldwide suffer from chronic hepatitis C virus (HCV) infection is currently estimated to 71 million infections worldwide infections [?,?]. The virus being exclusively a human agent, the World Health Organisation (WHO) and several л countries have issued recommendations towards its the 'elimination' - This means the absence of 5 a significant transmission in a given epidemiological context and is defined by a of this virus, which they define as an 80% reduction in new chronic infections and a 65% decline in liver mortality by 2030 [?]. HIV-HCV coinfected patients are considered a key population targeted 8 with priority because of the shared routes of transmission transmission routes between the two viruses [?] and because of the increased severity of chronic HCV infection virulence of HCV in 10 coinfections [?,?,?]. This population was therefore targeted with priority, leading to high treatment 11 uptake in countries with affordable access to treatments. This was complemented by successful harm 12 reductions interventionssuch as needle-syringes exchange and opiates Successful harm reduction 13 interventions, such as needle-syringe exchange and opiate substitution programs, as well as the a 14 high level of enrolment into care of HIV-infected patients. As a result, have led to a drastic drop 15 in the prevalence of active HCV infections drastically dropped during the recent years in HIV-HCV 16 coinfected patients in several European countries , increasing the hope that HCV elimination was 17 an attainable goal [?,?,?,?]. 18

Unfortunately, sexual transmission of HCV in HIV-infected during the recent years [?,?,?,?] 19 . Unfortunately, this elimination goal is challenged by the emergence of HCV sexual transmission, 20 especially among men having sex with men (MSM)<del>recently arose as a significant phenomenon,</del> 21 This trend is reported to be driven by unprotected sex<del>and</del>, drug use in the context of sex 22 ('chemsex') and by, and potentially traumatic practices such as fisting and sharing sextoys [?,?,?] 23 . This is the case in [?,?,?]. In area of Lyon (France), where HCV incidence has been shown to 24 increase concomitantly with a shift in the profile of infected hosts [?]. Understanding and quantifying 25 this recent increase is the main goal of this study. 26

Several modeling studies have highlighted the difficulties to control HCV infection difficulty 27 to control the spread of HCV infections in HIV-infected MSM in the absence of harm reduction 28 interventions [?,?]. Furthermore, we recently described the spread of HCV from HIV-infected 29 to HIV-negative MSM, using or not HIV pre-exposure prophylaxis (PrEP), through sharing of 30 or not, through shared high-risk practices between these populations [?]. This resulted in [?] 31 . More generally, an alarming incidence of acute HCV infection infections in both HIV-infected 32 and PrEP-using MSM was reported in France in 2016-2017 [?]. Additionally, while PrEP-using 33 MSM are regularly screened for HCV, those who are HIV-negative and do not use PrEP may 34 remain undiagnosed and untreated for years. Since In general, we know little about the population 35 size and the practices of HIV-negative MSM who do not use PrEP, these recent. All these 36 epidemiological events could jeopardize the goal of HCV elimination by creating a large pool of 37 infected and undiagnosed patients, pursuing high-risk practices that which could fuel new infections 38 in intersecting populations. Furthermore, the epidemiological dynamics of HCV infection have 39 mostly been studied in intravenous drug users (IDU) [?,?,?,?] and in the general population [?,?]. 40 Results from these populations are not easily transferable to other populations, which calls for a <sup>41</sup> better understanding of the epidemiological characteristics of HCV sexual transmission in MSM. <sup>42</sup>

Given the lack of knowledge about the focal population driving the increase in HCV incidence, 43 we investigated analyse virus sequence data using with phylodynamics methods. This research 44 field has been blooming over the last decade  $\frac{?,?,?}{2}$  and hypothesizes that the way rapidly evolv-45 ing viruses spread leaves 'footprints' in their genomes [?,?,?,?]. By combining epidemiological 46 modeling mathematical modelling, statistical analyses and phylogenies of infections, where each 47 leaf corresponds to the virus sequence isolated from a patient, current methods can estimate key 48 transmission infer key parameters of viral epidemics. This framework has been successfully applied 49 to other HCV epidemics [?,?,?,?] but the epidemics we study is particularly, but the ongoing one 50 in Lyon is challenging to analyze because the focal population is heterogeneous, with 'classical' hosts 51 (typically HIV-infected patients-HIV-negative patients infected through nosocomial transmission or 52 with a history of opioid intravenous drug use or blood transfusion) and 'new' hosts (both HIV-infected 53 and HIV-negative MSM, detected during or shortly after acute HCV infection phase, potentially 54 using recreational drugs such as cocaine or cathinones). To address this issue, we used a framework 55 based on Our phylodynamics analysis relies on an Approximate Bayesian Computation (ABC), [?] 56 ) framework that was recently developed and validated [?]. We implemented 57

Assuming an epidemiological model with two host types (, 'classical' and 'new'), where each 58 infection can generate secondary infections before ending (see the Methods). By analyzing, we 59 use dated virus sequences , we estimated to estimate the date of onset of the HCV epidemics in 60 the 'classical' hosts, and in the and 'new' hosts, the level of mixing between the hosts types, and, 61 for each host type, the duration of the infectious period and the basic effective reproduction ratio 62 (i.e. the number of secondary infections, [?]). This allowed us to show We find that the doubling 63 time of the epidemics is one order of magnitude lower in 'new' hosts is dramatically higher than 64 that than in 'classical' hosts, therefore emphasising the urgent need for public health action. 65

## Results

The time-scaled phylogeny inferred from the dated virus sequences reveals shows that 'new' hosts (in red) tend to eluster together be grouped in clades (Figure 1). This pattern suggests a high evel of assortativity degree of assortativity in the epidemics (i.e. each infected host hosts tends to infect hosts from the same type). Furthermore, the estimate for the root of the phylogeny, that is the onset of the epidemics in the studied area, is in the early 1980s, which appears consistent with epidemiological data The ABC phylodynamics approach allows us to go beyond a visual description and to quantify several epidemiological parameters.

66

As for any bayesian Bayesian inference method, we need to assume a prior distribution for each 74 parameter (. These priors, shown in grey in Figure 2) in order to infer posterior distributions (in red 75 in Figure 2). Priors were voluntarily assumed , are voluntarily designed to be large and uniformly 76 distributed so as to be as little informative as possible. The only exception was One exception is 77 the date of onset of the epidemics, for which we used use as a prior the output of the phylogenetic 78 analysis as a prior. For conducted in Beast (see the Methods). We also assume the date of the 79



Fig 1. Phylogeny of HCV infections in the area of Lyon (France). 'Classical' hosts are in blue and 'new' hosts are in red. <u>Sampling events correspond to the end of black branches</u>. The phylogeny was estimated using maximum-likelihood methods (PhyML) and then rooted in time using <u>bayesian Bayesian</u> inference (Beast2). See the Methods for additional details.

second epidemics, we assumed that it took place after 'new' hosts epidemics to be posterior to 1997 based on epidemiological data. The width of the posterior distribution indicates our ability to infer a parameter.

The ABC phylodynamics approach allows us to go beyond a visual description and to quantify several epidemiological parameters. For instance, we can narrow down the estimation inference method converges towards posterior distributions for each parameter, which are shown in red in Figure 2. The estimate for the origin of the epidemic (in 'classical' hosts only) to 1976[1969;1980] is  $t_0 = 1977$  [1966; 1981] (numbers in brackets indicate the 95% Highest Posterior Density, or HPD). The epidemic in the second host type estimated For the 'new' host type, we estimate the epidemic to have started in  $\frac{2001[1998; 2005]t_2}{2001[1998; 2005]t_2} = 2003 [2000; 2005]$ .

Regarding We find the level of assortativity between host types , that is the extent to which a host of a given type interacts with hosts of the same type, we estimate  $a_1$  to be 0.96[0.86;0.99] and  $a_2$  to be 0.86[0.72;0.99]to be high for 'classical' ( $a_1 = 0.97$  [0.91;0.99]) as well as for 'new' hosts ( $a_2 = 0.88$  [0.70;0.99]). Therefore, hosts appear to preferentially interact with mainly infect hosts from the same type and this effect seems even more pronounced for 'classical' hosts.

The phylodynamics approach also allows us to infer the duration of the infectious period - Here,



**Fig 2. Parameter prior and posterior distributions.** Prior distributions are in grey and posterior distributions of the regression-ABC inferred by ABC are in red. The thinner the posterior distribution, the more accurate the inference.

assuming for each host type. Assuming that this parameter remains constant for a given host type does not vary over time, we estimate it to be  $\frac{1.7 \text{ years } [0.46; 9.17]}{1.2 \text{ years } [0.40; 7.69]}$  for 'classical' hosts (parameter  $1/\gamma_1$ ) and 0.4 years  $\frac{[0.26; 0.65]}{[0.25; 0.78]}$  for 'new' hosts (parameter  $1/\gamma_2$ ).

The basic Regarding effective reproduction numbers, i.e. the number of secondary infections 99 caused by a given host over the its infectious period, was estimated we estimate that of 'classical' 100 hosts to have decreased from  $\frac{5.94[3.24; 8.61]}{5.94[3.24; 8.61]}$  to  $\frac{1.80[1.12; 2.48]}{5.94[1.22; 2.48]}$  for 'classical' hosts,  $R_{0}^{(1),t_1} = 3.29[1.22; 6.63]$ to  $R_0^{(1),t_2} = 1.47 \ [0.37; 2.67]$  after the introduction of the third generation HCV test in 1997. We 102 also estimate that. The inference on the differential transmission parameter indicates that HCV 103 transmission rate is  $\nu = 7.97$  [6.01; 9.90] times greater from 'new' hosts transmit HCV 6.50[2.56; 9.81] 104 times more than than from 'classical' hosts (parameter  $\nu$ ). Using all these inferences, we can calculate 105 the. By combining these results (see the Methods), we estimate the effective reproduction number 106 in 'new' hosts  $\frac{R_0^{(2),t_3}}{R_0}$  (see the Methods), which is 2.35[0.55; 8.05] to be  $R_0^{(2),t_3} = 2.9[0.81; 6.26]$ . 107

To better show apprehend the differences between the two host types, we compute the epidemics 108 doubling times epidemic doubling time  $(t_D)$ , which is the time it takes for an infected population 109 to double in size,  $t_D$  is computed for each type of host, assuming a full assortativity complete 110 assortativity (see the Methods). We find that since 1997, the  $t_D^{(1),t2}$  could be estimated to 511.0 days ([0.58; 10.13] years) for the 'classical' hosts, whereas the  $t_D^{(2),t3}$  was estimated to 55.56 days ([0; 3.51]) 111 112 years)for the 'new' hosts. Before before 1997  $t_D^{(1),t1} \approx 8 \text{ months ([0.1; 2.63] years).}$  After 1997, the  $t_D^{(1),t1}$  was estimated to 83 pace decreases with a doubling time of  $t_D^{(1),t2} \approx 1.75$  years ([0; 28.55]) 113 114 years). For the epidemics in the 'new' hosts, we estimate that  $t_B^{(2),t3} \approx 51$  days ([0.05; 1.60] years) for 115 the 'classical' hosts. We show the densities of [0; 2.73] years). Distributions for theses three doubling 116 times are shown in Supplementary Figure S2. 117

In-Supplementary Figure S3 , we show shows the correlations between parameters in based 118 on the posterior distributions (Figure 3). We mainly find that the  $R_0$  in 'classical' hosts after the 119 introduction of the third generation of HCV detection tests (i.e.  $R_0^{(1),t_2}$ ) is negatively correlated 120 to  $\nu$  and positively correlated to  $\gamma_2$ . This makes sense because a rapid growth of the epidemic 121



Fig 3. Parameteric bootstrap illustration. Principal Component Analysis (PCAgraph) graphs where each dot represents a vector of summary statistics of a datadataset. The 1,000-5,000 simulated data are in grey, and the target data is in red. Panel (a) shows the PCA graph using the HPD distribution. Panel (b) shows the PCA graph using a uniform distribution drawn from the 95% HPD distribution.

In other words, if the the epidemic spreads rapidly in 'classical' hostsimposes a lower growth, it requires a slower spread in 'new' hosts to explain the phylogeny.  $R_0^{(1),t_2}$  is also slightly negatively correlated to  $\gamma_1$ , which probably comes from the fact that epidemics with the same for a given  $R_0$  but, epidemics with a longer infection duration have a lower doubling time and therefore a weaker epidemiological impact. Overall, these correlations do not affect our main results, especially the pronounced difference in infection periods ( $\gamma_1$  and  $\gamma_2$ ).

To validate these results, we <del>performed</del> perform a parametric bootstrap analysis by simulating 128 phylogenies using our the resulting posterior distributions to determine whether these are similar to 129 the target dataset (see the Methods). In Figure **??**3(a), we see that the target data in red, i.e. the 130 summary statistics from the phylogeny shown in Figure 1, lies in the middle of the phylogenies 131 simulated using the posterior data. Even if If we use the 95% HPD of the posterior but assume a 132 uniform distribution instead of the true posterior distribution, we find that the target phylogeny lies 133 outside the cloud of simulations (see Supplementary figure 3(b)). These results confirm 134 that the posterior distributions we infer are highly informative regarding the phylogeny shape. 135

Finally, to further validate the accuracy To further explore the robustness of our inference

method, we used use simulated data to perform a 'leave one out' cross-validation (see the Methods). 137 As shown in Supplementary Figure S5, the relative error made for each parameter inference is 138 limited and comparable to what was is found using a simpler model [?]. Two exceptions are the rate 139 at which 'new' hosts clear the infection ( $\gamma_2$ ) and their level of assortativity ( $a_2$ ). However, this is 140 likely to be due to the constraint imposed by the shape of the target phylogeny itself rather than by 141 the method. In general, this cross-validation goes beyond the scope of this epidemiological model 142 because, for instance, assortativity values can vary between 0 and 1, whereas for the phylogenetic 143 structure we studied with a high degree of clustering, we expect it should be close to 1 This is likely 144 a consequence of our choice of summary statistics, which is optimised to analyse a phylogeny with 145 a high degree of assortativity (high values of  $a_1$  and  $a_2$ ). 146

Finally, to evaluate the impact of phylogenetic reconstruction uncertainty, we perform a supplementary representative analysis using 10 additional trees from the Beast posterior distribution. In Supplementary figure 148 S6, we show that the posterior distributions estimated by our ABC method are qualitatively similar 149 with all these trees. 150

# Discussion

151

Over the last years, an increase in HCV incidence has been witnessed in the area of Lyon (France), 152 which involves both witnessed an increase in HCV incidence both in HIV-positive and HIV-negative 153 populations of men having sex with men (MSM)and [?]. This increase appears to be driven by sexual 154 transmission  $\frac{1}{2}$  Similar trends have been described and echoes similar trends in Amsterdam [?] and  $\frac{1}{2}$ 155 more recently, in Switzerland [?]. Achieving a A quantitative analysis of this epidemics is required 156 the epidemic is necessary to optimise public health interventions but extremely. Unfortunately, this 157 is challenging because the monitoring of the population at risk is limited and because classical tools 158 in quantitative epidemiology<del>such as, especially</del> incidence time series, are poorly informative in with 159 such a heterogeneous population. To address this issue, we analysed virus sequence datacircumvent 160 this problem, we used HCV sequence data, which we analysed using phylodynamics. In order 161 to account for host heterogeneity, we extended and validated an existing framework relying on 162 Approximate Bayesian Computation framework [?]. 163

From a public health point of view, these our results have two major implications. First, there is 164 a strong assortativity within the we find a strong degree of assortativity in both 'classical' and 'new' 165 hosts. This can be seen qualitatively from the phylogeny host populations. The virus phylogeny 166 does hint at this result (Figure 1) but the ABC approach allows us to quantify it the pattern and to 167 show that assortativity might may be higher for the 'classical' hosts. The second strong main result 168 has to do with the massive striking difference in doubling time of the epidemics between the two 169 host typestimes. Indeed, the current spread of the epidemics in 'new' hosts appears to be at least 170 comparable to the spread in the 'classical' hosts in the early 1990s before the advent of the third 171 generation tests. That the duration of the infectious period in new-'new' hosts is in the same order 172 of magnitude as the time until treatment suggests that the majority of the infection transmission 173 events may be occurring during the acute phase. This underlines the necessity to act rapidly upon 174 detection, for instance with by emphasising the importance of protection measures (condom use 175 ) and treatment initiation such as condom use and by initiating treatment even during the acute phase [?]. A better understanding of the underlying contact networks therefore seems essential could provide additional information regarding the structure of the epidemics and, with that respect, next generation sequence data could be particularly informative [?,?,?].

Two legitimate interrogations about the study have to do with Some potential limitations of the 180 study are related to the sampling scheme<del>and</del>, the assessment of the host type, and the transmission 181 model. Regarding the sampling, the proportion of the infections in infected 'new' host that are 182 sampled is estimated to unknown but could be high. For the 'classical' hosts, we selected a 183 representative subset of the patients detected in the area - Regarding the host type but this sampling 184 is likely to be low. However, the effect of underestimating sampling for the new epidemics would 185 be to underestimate its spread, which is already faster than the classical epidemics. In general, 186 implementing a more realistic sampling scheme in the model would be possible but it would require 187 a more detailed model and more data to avoid identifiability issues. Regarding assignment of hosts 188 to one of the two types, this was assessed performed by clinicians independently of the sequence 189 data. The main criterion used was the infection stage (acute or chronic), which was complemented 190 by other epidemiological criteria (history of intravenous drug use, blood transfusion, HIV status). 191 Finally, the 'classical' and the 'new' epidemics appear to be spreading on contact networks with 192 different structures. However, such differences are beyond the level of details of the birth-death 193 model we use here, and would require a larger dataset for them to be inferred. 194

In order to test whether the infection stage (acute vs. <del>chronic) might not</del> chronic) can explain 195 the data as wellbetter than the existence of two host types, we developed an alternative model 196 where all infected hosts first go through the an acute phase before recovering or progressing to the 197 chronic phase. As for the model with two host types, we used 3 time intervals. Interestingly, it was 198 almost impossible to simulate phylogenies under this model. This is most likely due to the fact that 199 there cannot be an assortativity parameter in this alternative model (all new infections must be 200 acute), which makes it more difficult to reproduce the observed phylogeny with this model, most 201 likely because of its intrinsic constrains on assortativity (both acute and chronic infections always 202 generate new acute infections). 203

The phylodynamics analysis raised technical challenges because of the known heterogeneity in 204 the host population. To our knowledge, only two studies have recently tackled this issue few 205 attempts have been made in phylodynamics to tackle the issue of host population heterogeneity. 206 In 2018, a group study used the structured coalescent model, to investigate the importance of 207 accounting for so-called 'superspreaders' in the recent ebola epidemics in West Africa -?? ??. The 208 same year, another group study used the birth-death model to study the effect of drug resistance 209 mutations on the  $R_0$  of HIV strains [?]. Both of these are implemented in Beast2. However, the 210 birth-death model is unlikely to be directly applicable to our HCV epidemics because it links the 211 two epidemics via mutation (a host of type A becomes a host of type B), whereas in our case the 212 linking is done via transmission (a host of type A infects a host of type B). 213

This study shows that the <u>Overall</u>, we show that <u>our</u> ABC approach, which had been we validated for simple epidemiological models such as Susceptible-Infected-Recovered [?]ean also, can validated to more elaborate models that <u>most current</u> phylodynamics methods have difficulties value validated for simple epidemiological models with the <u>most current</u> phylodynamics methods have difficulties value value

to include capture. Further increasing the level of details in the model will require further analyses. This may require to increase the number of simulations but also to introduce new summary statistics. 218 Another promising perspective would be to combine sequence and incidence data. Although this 219 could not be done here due to the limited sampling, such a combination of different sources of 220 data can be readily performed in an ABC framework data integration can readily be done with 221 regression-ABC. 222

# Material and methods

## Epidemiological data

The Dat'AIDS cohort is a collaborative network of 23 French HIV treatment centers covering approx-225 imately 25% of HIV-infected patients followed in France (Clinicaltrials.gov ref NCT02898987). The 226 epidemiology of HCV infection in the cohort has been extensively described from 2000 to 2016 [?,?,?]. 227 The incidence of acute HCV infection has been estimated among HIV-infected MSM between 2012 and 228 2016and, among HIV-negative MSM enrolled in PrEP between in 2016-2017 [?] - The epidemiology 229 of acute HCV infection, including incidence estimates, in and among HIV-infected and HIV-negative 230 MSMs has been described from 2014 to 2017 [?]. [SA: A réécrire pour ne citer que les données23 de séquences q

### HCV sequences sequence data

We included HCV molecular sequences of all MSM patients (N = 68) diagnosed with acute HCV 234 genotype 1a infection at the Infectious Disease Department of the Hospices Civils de Lyon, France, 235 and for whom NS5B sequencing was performed between January 2014 and December 2017 were 236 considered (N = 68). HCV genotype 1a isolated from N = 145 non-MSM, HIV-negative, male 237 patients of similar age were analysed by NS5B sequencing at the same time for phylogenetic analysis. 238 This study was conducted in accordance with French ethics regulations. All patients gave their 239 written informed consent to allow the use of their personal clinical data. The study was approved 240 by the Ethics Committee of Hospices Civils de Lyon. 241

### HCV testing and sequencing

HCV RNA was detected and quantified using the Abbott RealTime HCV assay (Abbott Molecular, 243 Rungis, France). The NS5B fragment of HCV was amplified between nucleotides 8256 and 8644 244 by RT-PCR as previously described and sequenced using the Sanger method. Electrophoresis and 245 data collection were performed on a GenomeLab<sup>TM</sup> GeXP Genetic Analyzer (Beckman Coulter). 246 Consensus sequences were assembled and analysed using the GenomeLab<sup>TM</sup> sequence analysis 247 software. The genotype of each sample was determined by comparing its sequence with HCV 248 reference sequences obtained from GenBank. 249

242

233

232

223

224

#### Nucleotide accession numbers

All HCV NS5B sequences isolated in MSM and non-MSM patients reported in this study were submitted to the GenBank database. The list of Genbank accession numbers for all sequences is provided in Appendix. 253

## Dated viral phylogeny

We inferred a maximum likelihood phylogeny using PhyML v3.0 software complemented with the 255 Smart Model-Selection (SMS) software, from the ATGC platform [?,?], to perform model selection. 256 The SMS tool selected the GTR+F+I model with To infer the time-scaled viral phylogeny from 257 the alignment we used a Bayesian Skyline model in BEAST v2.4.8 [?]. The general time reversible 258 (GTR) nucleotide substitution model was used with a strict clock rate fixed at  $10^{-3}$  based on data 259 from Ref. [?] and a gamma distribution with four substitution rate categories. The maximum 260 likelihood phylogeny was then rooted using BEAST v2.4.8 [?]. To do so, two trees were built using 261 MCMC was run for 100 million iterations and samples were saved every 5,000 iterations. We selected 262 the maximum clade credibility using TreeAnnotator BEAST2 according to two molecular clock 263 models: either relaxed or strict [?]. We performed a model comparison with Tracer v.1.6.0 using the 264 AIC criterion. The strict molecular clock model had a lower AIC value and was therefore considered 265 to be the best model. The package. The date of the last common ancestor was estimated at 1981.34 266 to be 1977.67 with a 95% Highest Posterior Density (HPD) of [1962.03; 1997.26] [1960.475; 1995.957]. 267

### Epidemiological model and simulations

We assumed assume a Birth-Death model with two hosts types (Supplementary Figure S1) with <sup>269</sup> 'classical' hosts (numbered 1) and new hosts (numbered 2). This model is described by the following <sup>270</sup> system of ordinary differential equations (ODEs): <sup>271</sup>

$$\frac{dI_1}{dt} = a_1\beta I_1 + (1 - a_2)\nu\beta I_2 - \gamma_1 I_1$$
(1a)

$$\frac{dI_2}{dt} = a_2 \beta \nu I_2 + (1 - a_1)\beta I_1 - \gamma_2 I_2$$
(1b)

In this In the model, transmission events are possible within each type of hosts and between 272 the two types of hosts at a transmission rate  $\beta$ . The parameter Parameter  $\nu$  corresponds to the 273 transmission differential between the number of partners of the classical hosts  $(I_1)$  and that of the 274 new hosts $(I_2)$ . Individuals  $I_4$  rate differential between classical and new hosts. Individuals can be 275 'removed' from the infectious compartment i at a rate  $\gamma_1$  from an infectious compartment (I<sub>1</sub> or 276  $I_{2}$  via infection clearance, host death or change in host behaviour (e.g. condom use). This event 277 occurs at a removal rate  $\gamma_1$ . The assortativity between host types is given by the  $a_i$  (a value close to 278 1 means there is very little transmission to, which can be seen as the percentage of transmissions 279 that occur with hosts from the other type) same type, is captured by parameter  $a_i$ . 280

The basic effective reproduction number (denoted  $R_0$ ) is the number of secondary cases caused by an infectious individual in a fully susceptible host population [?]. We seek to infer the  $R_0$  from 282

254

250

268

Table 1. Prior distributions for the birth-death model parameters over the three time intervals.  $t_0$  is the date of origin of the epidemics in the studied area,  $t_1$  is the date of introduction of  $3^{rd}$  generation HCV tests,  $t_2$  is the date of emergence of the epidemic in 'new' hosts and  $t_f$  is the time of the most recent sampled sequence.

Interval	$\gamma_{\Gamma}\gamma_{i_{\sim}}$	$\gamma_2 - \nu$	$R_0^{(1)}$	$a_i$
$\operatorname{height}[t_0, t_1]$	$\operatorname{Unif}(0.1, 4)$	$\frac{\text{Unif}(0.1,4)}{0}$	Unif(0.9, 15)	$\operatorname{Unif}(0,1)$
	·	-	'	
$[t_1, t_2]$			$\underline{\text{Unif}(0.1,3)}$	<del>Unif(0.13)</del> -
	· [	-	'	
$[t_2, t_3]$	. 1	$\underbrace{\text{Unif}(0,10)}_{\sim}$	$\operatorname{Unif}(1, 10)$	

the classical epidemic, denoted  $R_0^{(1)}$  and defined by  $R_0^{(1)} = \beta/\gamma_1$ , and as well as the  $R_0$  of the new epidemic, denoted  $R_0^{(2)}$  and defined by  $\frac{R_0^{(2)}}{R_0^2} = \frac{\nu\beta/\gamma_2}{\nu\beta_0^2} = \frac{\nu\beta/\gamma_2}{\gamma\beta_0^2} = \frac{\nu\beta/\gamma_2}{\gamma\beta_0^2} = \frac$ 

The doubling time of an epidemics  $(t_D)$  correspond corresponds to the time required for the number of infected hosts to double in sizeand it. It is usually estimated in the early stage of an epidemics, when epidemic growth can assumed to be exponential. Here, we assumed To calculate it, we assume perfect assortativity  $(a_1 = a_2 = 1)$  and approximated approximate the initial exponential growth rate by  $\beta - \gamma_1$  for 'classical' hosts and  $\nu\beta - \gamma_2$  for 'new' hosts. Following [?], we obtain  $t_D^{(1)} = \ln(2)/(\beta - \gamma_1)$  and  $t_D^{(2)} = \ln(2)/(\nu\beta - \gamma_2)$ .

 $R_0^{(1)}$  is assumed to vary over We consider three time intervals: from  $t_0$  to  $t_1$ , from  $t_1$  to  $t_2$ , from 291  $t_2$  to  $t_f$ . During the first interval  $[t_0, t_1]$ ,  $t_0$  being the year of the origin of the epidemic in the 292 area of Lyon, we assume that only classical hosts are present. The second interval  $[t_1, t_2]$ , begins 293 in  $t_1 = 1997.3$  with the introduction of the third generation HCV tests, which we assume to have 294 decreased affected  $R_0^{(1)}$  through the decrease of the transmission rate  $\beta$ . Finally, the 'new' hosts 295 appear during the last interval  $[t_2, t_f]$ . We also wish to date the origin of this second outbreak(, 296 where  $t_2$ , which we infer, is the date of origin of the second outbreak. The final time  $(t_f)$  is given 297 by the sampling date of our most recent sequence, which is set by the most recent sampling date 298 in our dataset (2018.39). The prior distributions used are summarized in Table 1 and shown in 299 Figure 2. 300

We used To simulate phylogenies, we use a simulator implemented in R via the Rcpp packageto simulate epidemiological trajectories and transmission sampled trees. The simulator resembles that developed by [?] and uses Gillespie's stochastic simulation algorithm to simulate epidemiological trajectoriesgiven our model. Further details about this simulator can be found elsewhere preprint by Danesh et al. to be submitted to bioRxiv. 302

Following other phylodynamics studies, we assume that a time-scaled phylogenyof an epidemie ean be correlated to a sampled transmission tree in which a branching represents a transmission event and a leaf represents a... This is done in a two-step procedure. First, epidemiological trajectories are simulated using the compartmental model in equation 1 and Gillespie's stochastic event-driven simulation algorithm [?]. The number of individuals in each compartment and the reactions occurring through the simulations of trajectories, such as recovery or transmission events, 311 are recorded. Using the target phylogeny, we know when sampling events occur. For each simulation, 312 each sampling date is randomly associated to a host compartment using the observed fraction of 313 each infection type (here 68% of the dates associated with 'classical' hosts type and 32% with 'new' 314 hosts). Once the sampling dates are added to the trajectories, we move to the second step, which 315 involves simulating the phylogeny. This step starts from the last sampling date and follows the 316 epidemiological trajectory through a coalescent process, that is backward-in-time. Each backward 317 step in the trajectory can induce a tree modification: a sampling event - Here, our simulator 318 generates phylogenies of infections using the coalescent approach based on simulated trajectories 319 and sampling dates. Importantly, we leads to a labelled leaf in the phylogeny, a transmission event 320 can lead to the coalescence of two sampled lineages or to no modification of the phylogeny (if one 321 of the lineages is not sampled). 322

We implicitly assume that the sampling rate is low, which is consistent with the limited number of sequences in the dataset. We also assume that the virus can still be transmitted after sampling.

We simulated 61,000 simulate 71,000 phylogenies from known parameter sets drawn in the prior distributions shown in Table1. These were 1. These are used to perform the rejection step and build the regression model in the Approximate Bayesian Computation (ABC) inference.

## ABC inference

#### Summary statistics

Phylogenies are rich objects and to compare them we <u>used-break them into</u> summary statistics. These were are chosen to capture the epidemiological information that we wanted to extractof interest. In particular, we used the summary statistics based on following an earlier study, we use summary statistics from branch lengths, topology of the tree tree topology, and lineage-through-time (LTT) plot developed by [?].

We also computed additional compute new summary statistics to extract information regarding the heterogeneity of the population, the assortativity, and the difference between the two  $R_0$ . To do so, we annotated annotate each internal node by associating it with a probability of being to be in a particular state (here the type of host, classical or new). This probability was assumed to be host type, 'classical' or 'new'). We assume that this probability is given by the ratio 339

$$P(Y) = \frac{\text{number of leaves labelled } Y}{\text{number of descendent leaves}}$$
(2)

328

329

340

where Y is a type of host .

Each node could therefore be state (or host type). Each node is therefore annotated with n <sup>341</sup> ratios, n being the number of possible states(i. e. types of label). Since in our case n = 2, we only <sup>342</sup> followed follow one of the labels and used use the mean and the variance of the distribution of the <sup>343</sup> ratios (one for each node) as summary statistics. <sup>344</sup>

In a phylogeny, <u>'cherries</u> are pairs of leaves that are adjacent to a common ancestor. There are n(n + 1)/2 categories of cherries. Here, we <u>counted the number compute the</u> proportion of homogeneous cherries for each label and the <u>number proportion</u> of heterogeneous 345 cherries. Furthermore, we considered triplets, that is We also consider pitchforks, which we define as a cherry and a leaf adjacent to a common ancestor, and introduced introduce three categories: homogeneous triplets, triplets whose cherries were pitchforks, pitchforks whose cherries are homogeneous for a label and whose leaf was is labelled with another trait, and triplets whose cherries were heterogeneous. We expected the structure of cherries and triplets capture the information about the interaction between the different hosts. pitchforks whose cherries are heterogeneous. 338

The Lineage-Through-Time (LTT) plot displays the number of lineages of a phylogeny over 354 time:... In this plot, the number of lineages is incremented by one for each every time there is a 355 new branch in the phylogeny, and is decreased by one for each every time there is a new leaf in 356 the phylogeny. We used use the ratios defined for each internal node to build an a LTT for each 357 label type, which we refer to as an 'LTT label plot'. After each branching event in phylogeny, we 358 incremented increment the number of lineages by the value of the ratio of the internal node for the 359 given label. This number of lineages was is decreased by one for each every time there is a leaf in 360 the phylogeny. In the end, we obtained obtain n = 2 LTT label plots. 361

Finally, for each label, we computed some of the same compute some of our branch lengths 362 summary statistics as for the unlabelled phylogeny on homogeneous elusters and heterogeneous 363 <del>clusters</del> on homogeneous clades and heterogeneous clades present in the phylogeny. Homogeneous 364 <del>clusters were clades</del> are defined by their root having a ratio of 1 for one type of label and their 365 size being greater than  $N_{\min}$ . For heterogeneous cluster, we kept clades, we keep the size criterion 366 and imposed impose that the ratio was is smaller than 1 but greater than a threshold  $\epsilon$ . After 367 preliminary analyses, we set  $N_{\rm min} = 4$  leaves and  $\epsilon = 0.7$ . We therefore obtained obtain a set 368 of homogeneous elusters clades and a set of heterogeneous elusters clades, the branch lengths of 369 which were pooled we pool into two sets to compute the summary statistics of heterogeneous and 370 homogeneous <del>clusters.</del> clades. Note that we always select the largest clade, for both homogeneous 371 and heterogeneous cases, to avoid redundancy. 372

#### **Regression-ABC**

373

We first measured measure multicollinearity between summary statistics using variance inflation factors (VIF). Each summary statistic was is kept if its VIF value was is lower than 10. This step led stepwise VIF test leads to the selection of 88 summary statistics out of 234.

We then <u>used use</u> the **abc** function from the **abc** R package to infer posterior distributions <sup>377</sup> from rejection only generated using only the rejection step. Finally, we performed perform linear <sup>378</sup> adjustment using an elastic net regression. <sup>379</sup>

The abc\_abc function performs a classical one-step rejection algorithm [?] using a tolerance parameter  $P_{\delta}$ , which represents a percentile of the simulations that are close to the target. For this, we calculate a Euclidian distance between the simulations. To compute the distance between a simulation and the target using the, we use the Euclidian distance between normalized simulated vector of summary statistics and the normalized target vector. 380

Prior to linear adjustment, the abc function performs smooth weighting using an Epanechnikov <sup>385</sup> kernel [?]. Then, using the glmnet package in R, we implemented implement an elastic-net (EN) <sup>386</sup> adjustment, which balances the Ridge and the LASSO regression penalties [?]. The EN performing <sup>387</sup> a linear regression, is it it is not subject to the risk of over-fitting that may occur for non-linear regressions (e.g. when using neural networks, support vector machines or random forests).

We inferred posterior distributions of In the end, we obtain posterior distributions for  $t_0, t_2, a_1, a_2, \nu, \gamma_1, \gamma_2, R_0^{(1),t_1}$  and  $R_0^{(1),t_2}$  using our ABC-EN regression model with  $P_{\delta} = 0.1$ .

#### Parametric bootstrap and cross validation

Parametric bootstrap validation consisted in simulating 1,000 transmission additional trees-

Our parametric bootstrap validation consists in simulating 5,000 additional phylogenies from parameter sets drawn in posterior distributions. We then computed compute summary statistics and performed perform a principal component analysis (PCA) on the vectors of summary statistics for the simulated and for the target data. If the posterior distribution is informative, we expect the target data to be similar to the simulated phylogenies. On the contrary, if the posterior distribution can generate phylogenies with a variety of shapes, the target data can be outside the cloud of simulated phylogenies in the PCA.

In order to assess the robustness of our ABC-EN method to infer epidemiological parameters of our BD model, we performed also perform a 'leave-one-out' cross-validation . This consisted as in [?]. This consists in inferring posterior distributions of the parameters from one simulated treephylogeny, assumed to be the target treephylogeny, using the ABC-EN method with the remaining 60,000 simulated trees. We ran 60,999 simulated phylogenies. We run the cross-validation 100 times with 100 different target trees and measured the phylogenies. We consider three parameter distributions  $\theta$ : the prior distribution, the prior distribution reduced by the feasibility of the simulations and the ABC inferred posterior distribution. For each of these parameter distributions, we measure the median and compute, for each simulation scenario, the mean relative error of inference (MRE) such as:

 $MRE = \frac{1}{100} \sum_{i=1}^{100} \left| \frac{\theta_i}{\Theta} - 1 \right|$ 

where  $\Theta$  is the true value.

# Acknowledgments

We thank Jūlija Pečerska for her help with Beast2. GD is funded by the Fondation pour la Recherche Médicale (FRM grant number ECO20170637560). GD and SA acknowledge further support from the CNRS, the IRD and the itrop HPC (South Green Platform) at IRD montpellier, which provided HPC resources that contributed to the results reported here (https://bioinfo.ird.fr/).

402

392 393

401

(3)